18-600 Foundations of Computer Systems

Lecture 18:
"Virtual Memory Concepts and Systems"

John P. Shen & Zhiyi Yu
November 2, 2016

18-600

SE

PL

OS

CA

➢ Required Reading Assignment:
- **Chapter 9 of CS:APP (3rd edition) by Randy Bryant & Dave O'Hallaron.**

Electrical & Computer ENGINEERING

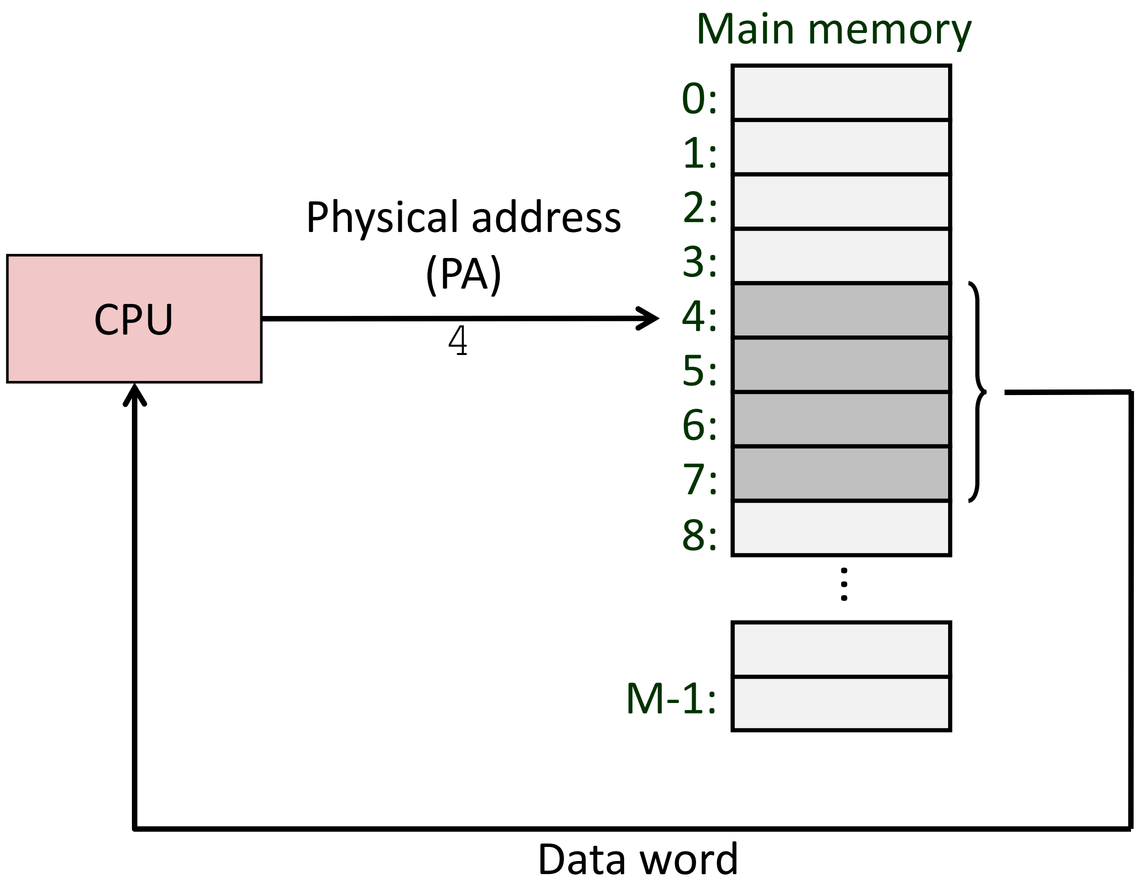
Carnegie Mellon University

Today

- **Address spaces**
- VM as a tool for caching, memory management, and memory protection
- Address translation
- Simple memory system example
- Case study: Core i7/Linux memory system
- Memory mapping

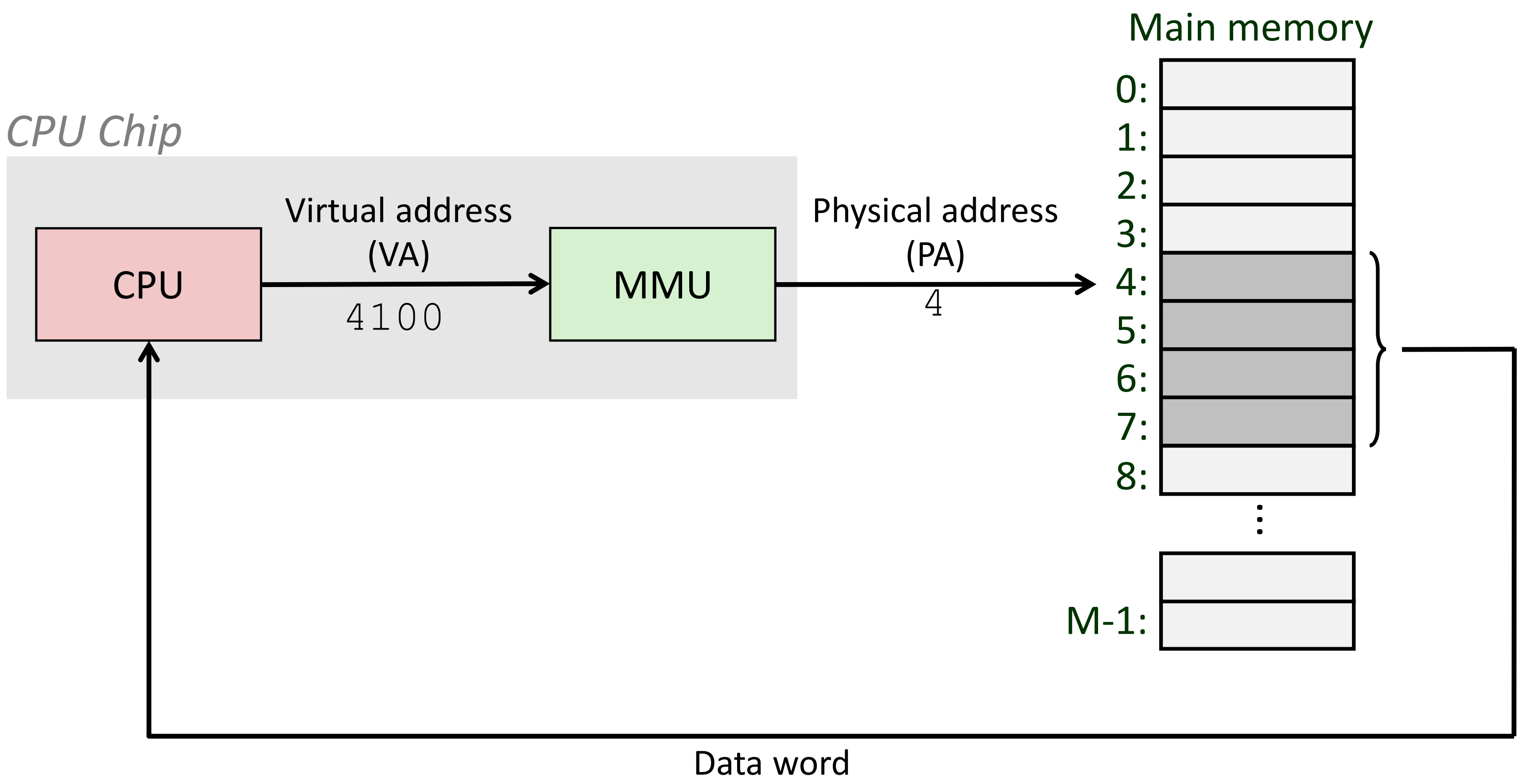
Electrical & Computer ENGINEERING

A System Using Physical Addressing



- Used in "simple" systems like embedded microcontrollers in devices like cars, elevators, and digital picture frames

A System Using Virtual Addressing



- **Used in all modern servers, laptops, and smart phones**
- **One of the great ideas in computer science**

Address Spaces

- **Linear address space:** Ordered set of contiguous non-negative integer addresses:

  {0, 1, 2, 3 … }

- **Virtual address space:** Set of $N = 2^n$ virtual addresses

  {0, 1, 2, 3, …, N-1}

- **Physical address space:** Set of $M = 2^m$ physical addresses

  {0, 1, 2, 3, …, M-1}

- **Virtual address space is usually larger than the physical address space (DRAM)**

Why Virtual Memory (VM)?

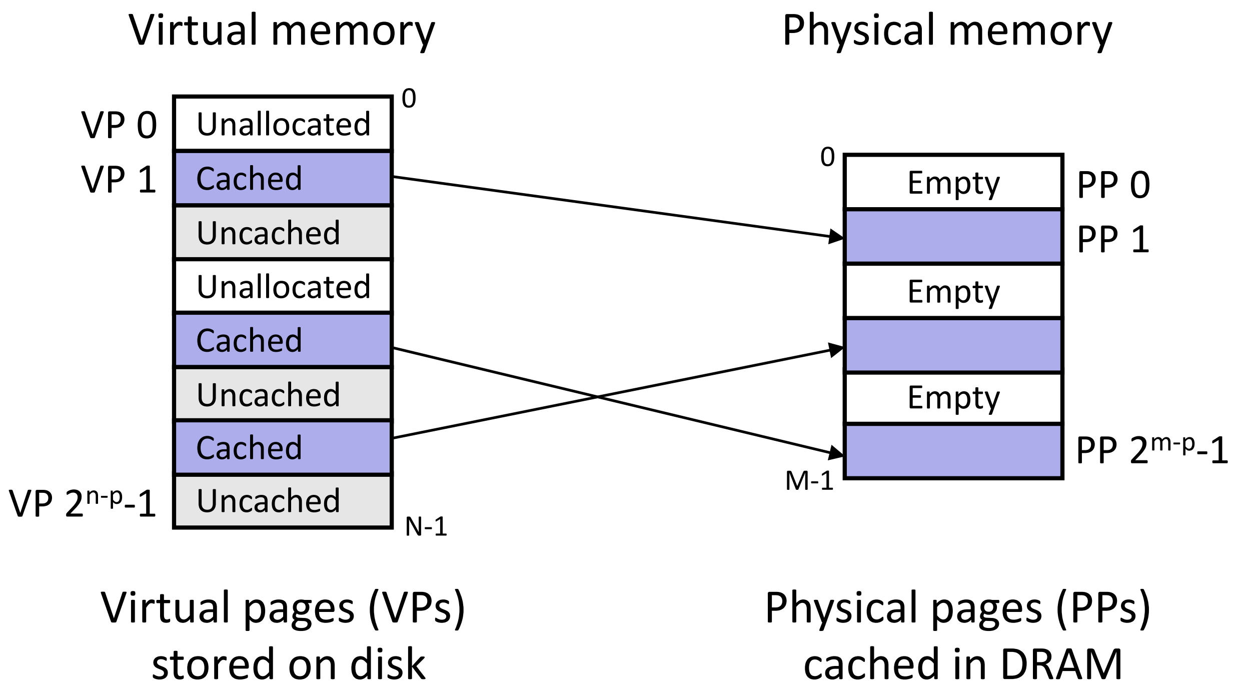
- **Uses main memory efficiently**
  - Use DRAM as a cache for parts of a virtual address space
- **Simplifies memory management**
  - Each process gets the same uniform linear address space
- **Isolates address spaces**
  - One process can't interfere with another's memory
  - User program cannot access privileged kernel information and code

Today

- Address spaces
- **VM as a tool for caching, memory management, and memory protection**
- Address translation
- Simple memory system example
- Case study: Core i7/Linux memory system
- Memory mapping

VM as a Tool for Caching

- **Conceptually, *virtual memory* is an array of N contiguous bytes stored on disk.**
- **The contents of the array on disk are cached in *physical memory* (*DRAM cache*)**
  - These cache blocks are called *pages* (size is $P = 2^p$ bytes)



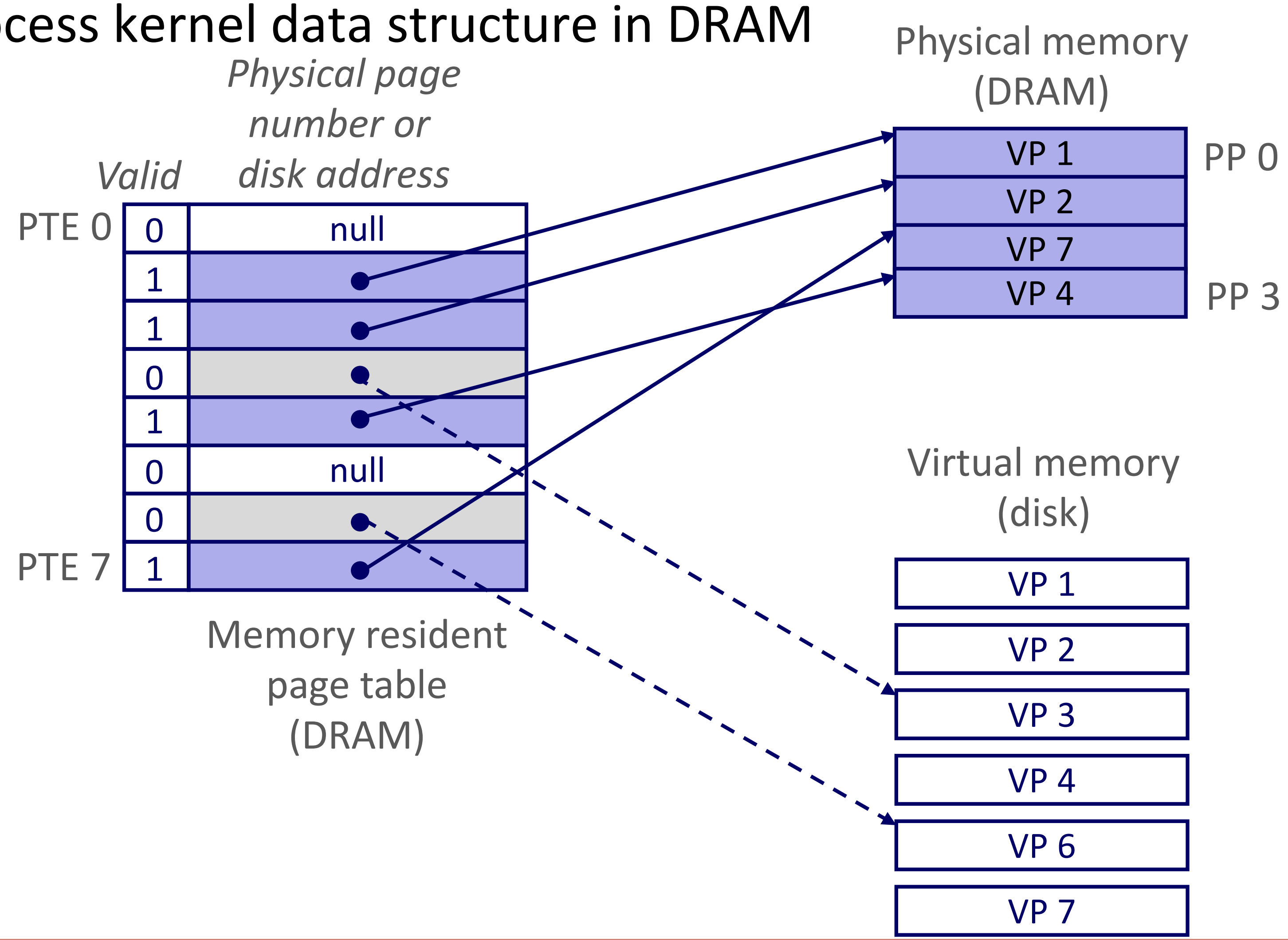
DRAM Cache Organization

- **DRAM cache organization driven by the enormous miss penalty**
  - DRAM is about ***10x*** slower than SRAM
  - Disk is about ***10,000x*** slower than DRAM

- **Consequences**
  - Large page (block) size: typically 4 KB, sometimes 4 MB
  - Fully associative
    - Any VP can be placed in any PP
    - Requires a "large" mapping function – different from cache memories
  - Highly sophisticated, expensive replacement algorithms
    - Too complicated and open-ended to be implemented in hardware
  - Write-back rather than write-through

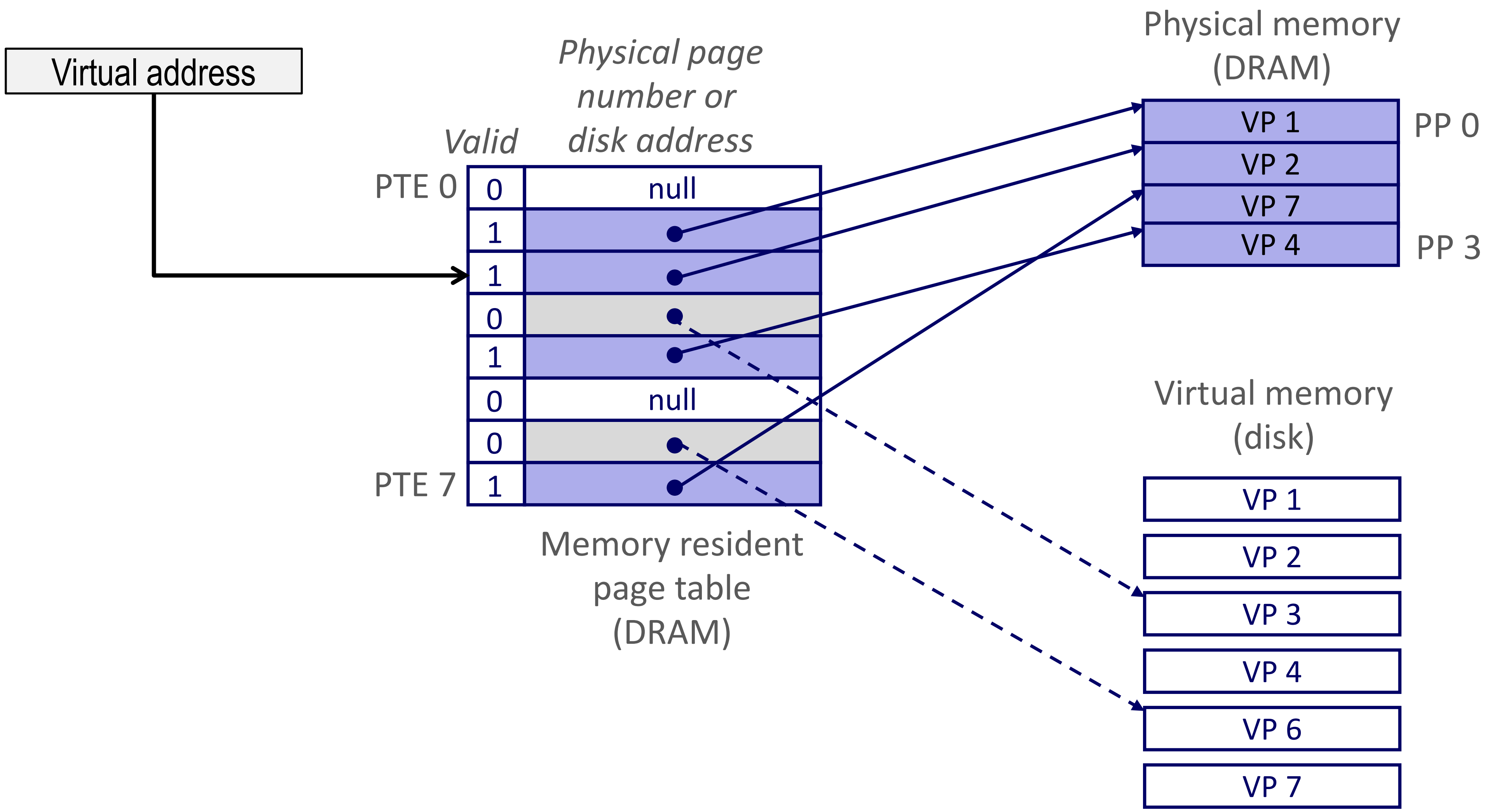
Enabling Data Structure: Page Table

- **A *page table* is an array of page table entries (PTEs) that maps virtual pages to physical pages.**
  - Per-process kernel data structure in DRAM



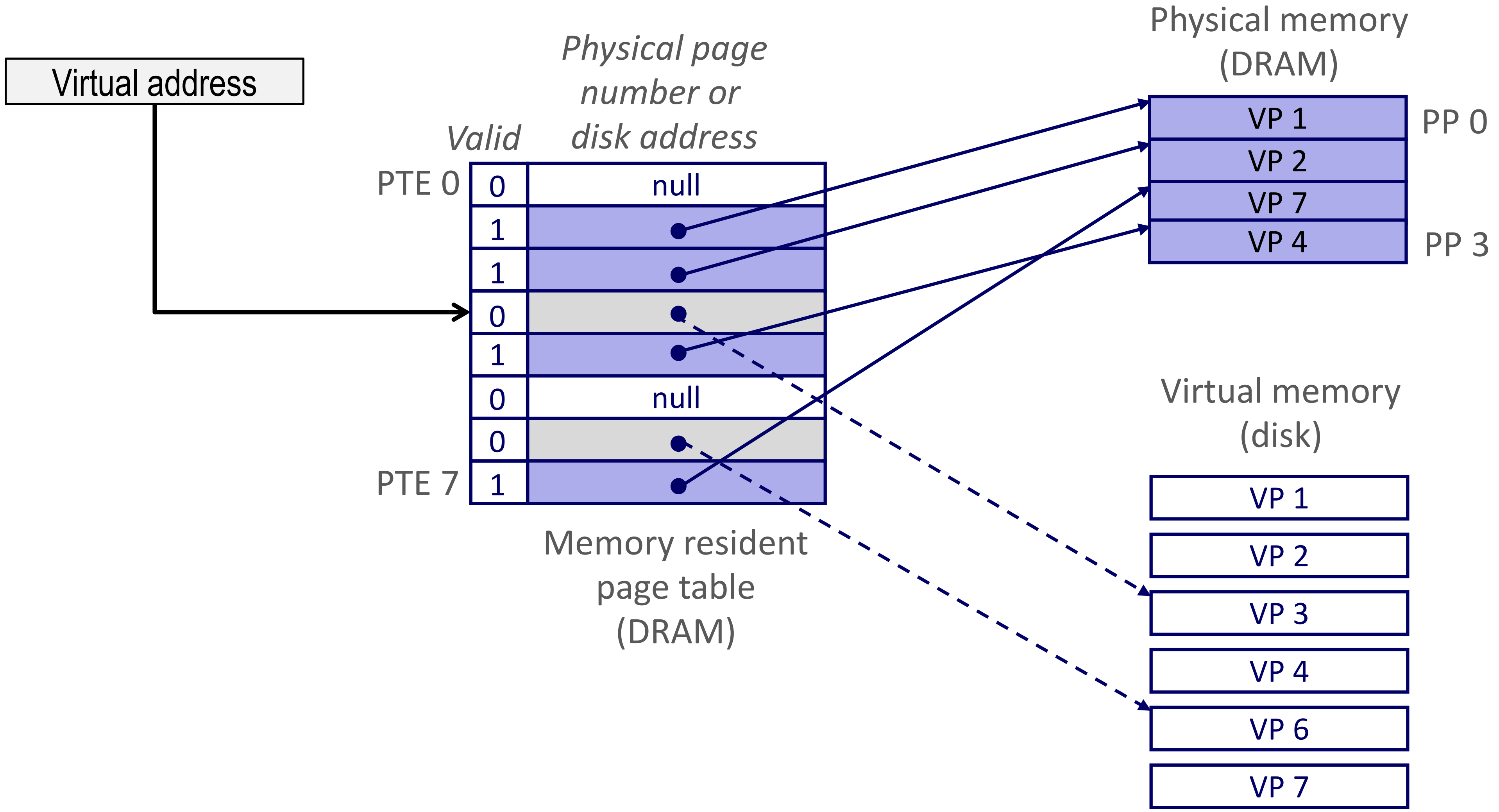
Page Hit

- ***Page hit:*** **reference to VM word that is in physical memory (DRAM cache hit)**



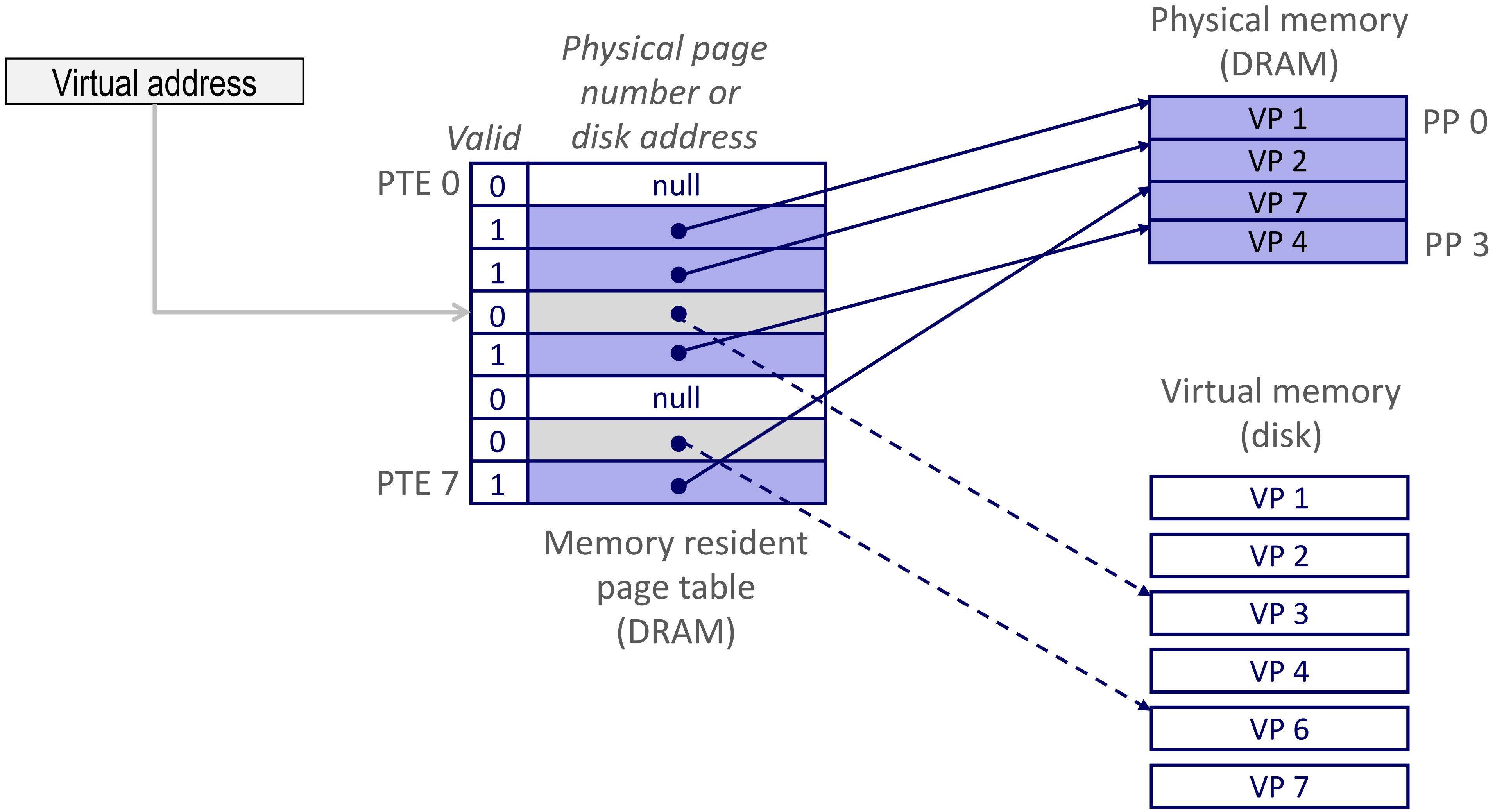
Page Fault

- ***Page fault:*** **reference to VM word that is not in physical memory (DRAM cache miss)**



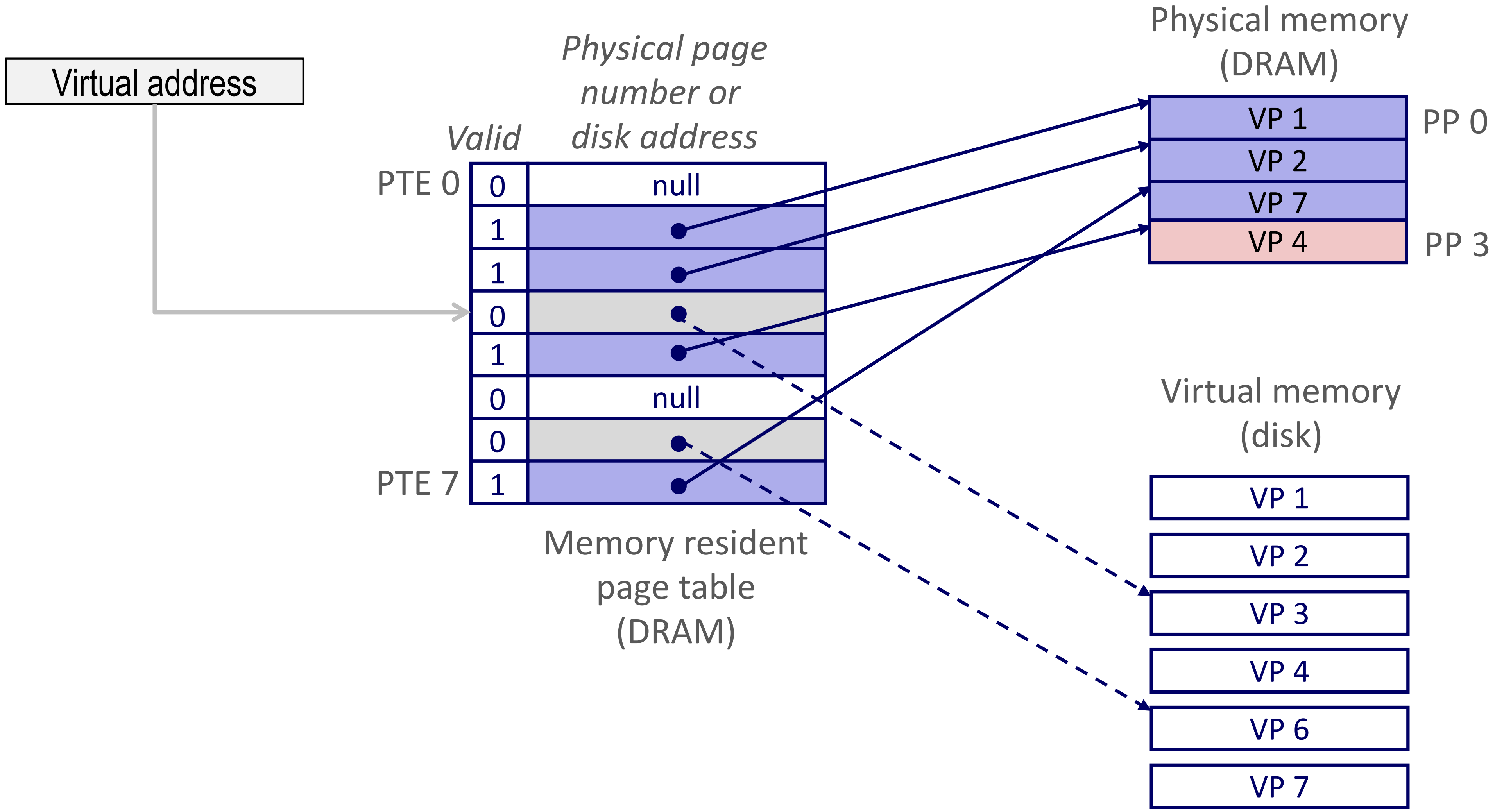
Handling Page Fault

- Page miss causes page fault (an exception)



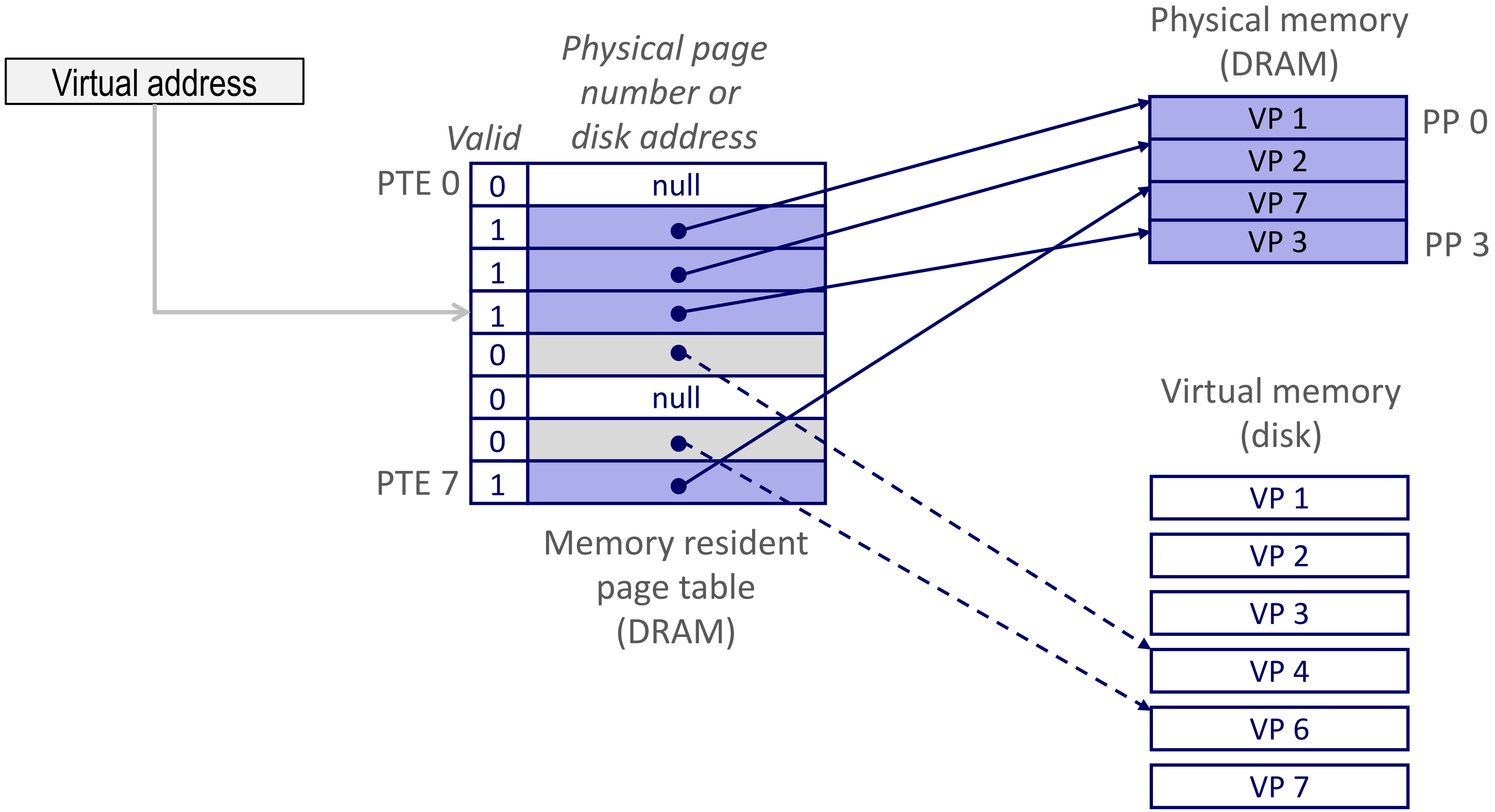
Handling Page Fault

- Page miss causes page fault (an exception)
- Page fault handler selects a victim to be evicted (here VP 4)



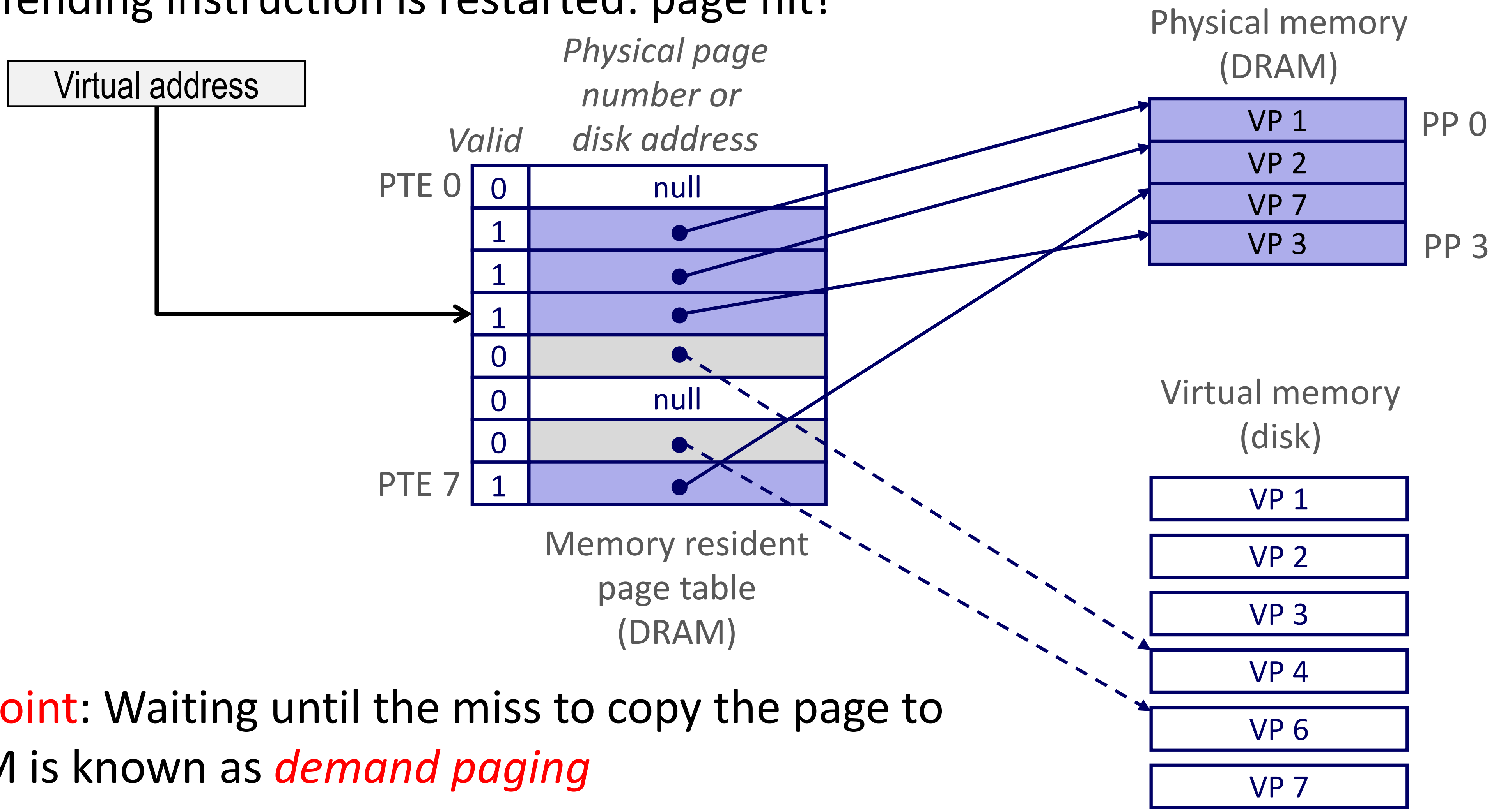
Handling Page Fault

- Page miss causes page fault (an exception)
- Page fault handler selects a victim to be evicted (here VP 4)



Handling Page Fault

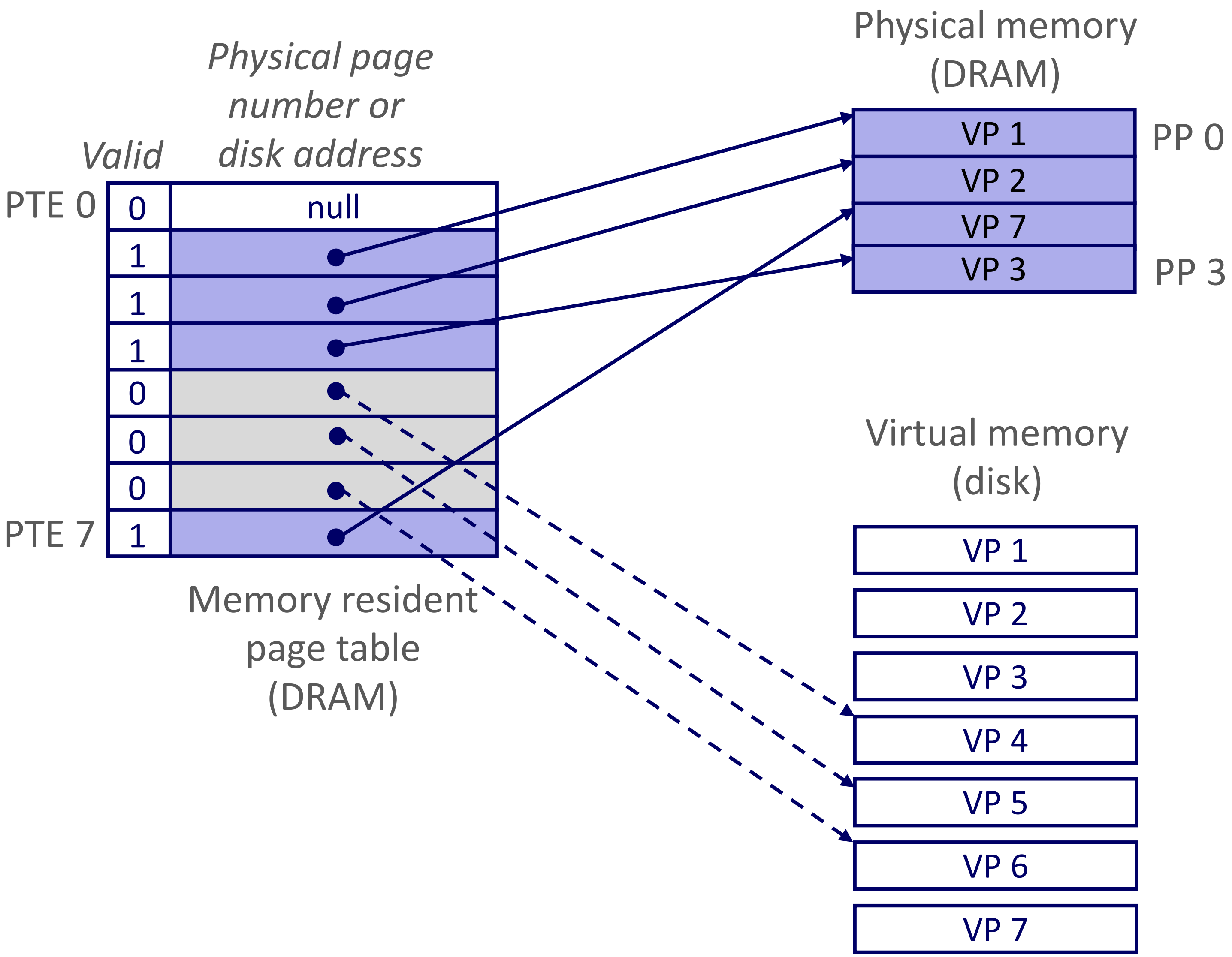
- Page miss causes page fault (an exception)
- Page fault handler selects a victim to be evicted (here VP 4)
- Offending instruction is restarted: page hit!



Key point: Waiting until the miss to copy the page to DRAM is known as *demand paging*

Allocating Pages

- **Allocating a new page (VP 5) of virtual memory.**

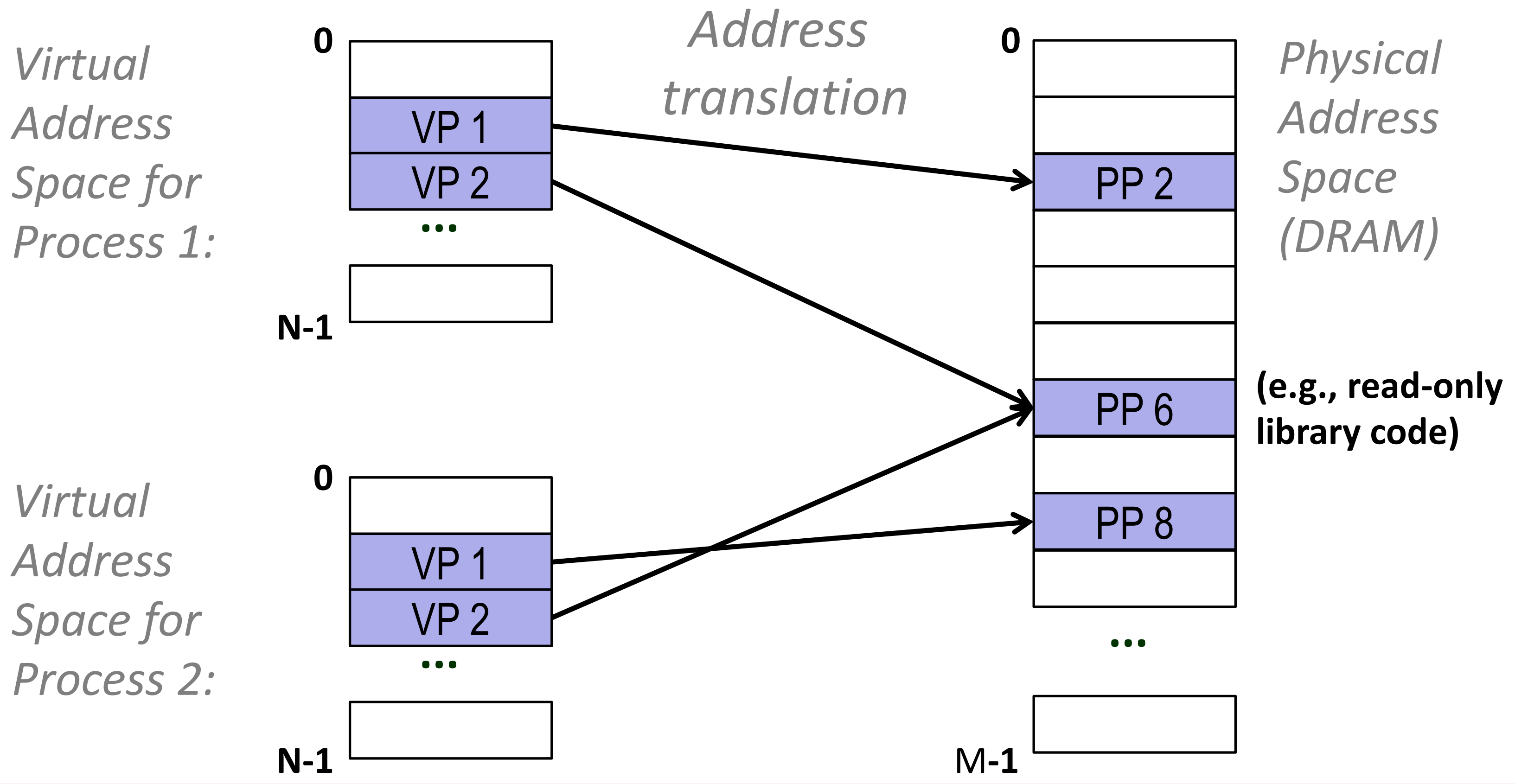


Locality to the Rescue Again!

- **Virtual memory seems terribly inefficient, but it works because of locality.**
- **At any point in time, programs tend to access a set of active virtual pages called the *working set***
  - Programs with better temporal locality will have smaller working sets
- **If (working set size < main memory size)**
  - Good performance for one process after compulsory misses
- **If (SUM(working set sizes) > main memory size)**
  - *Thrashing:* Performance meltdown where pages are swapped (copied) in and out continuously

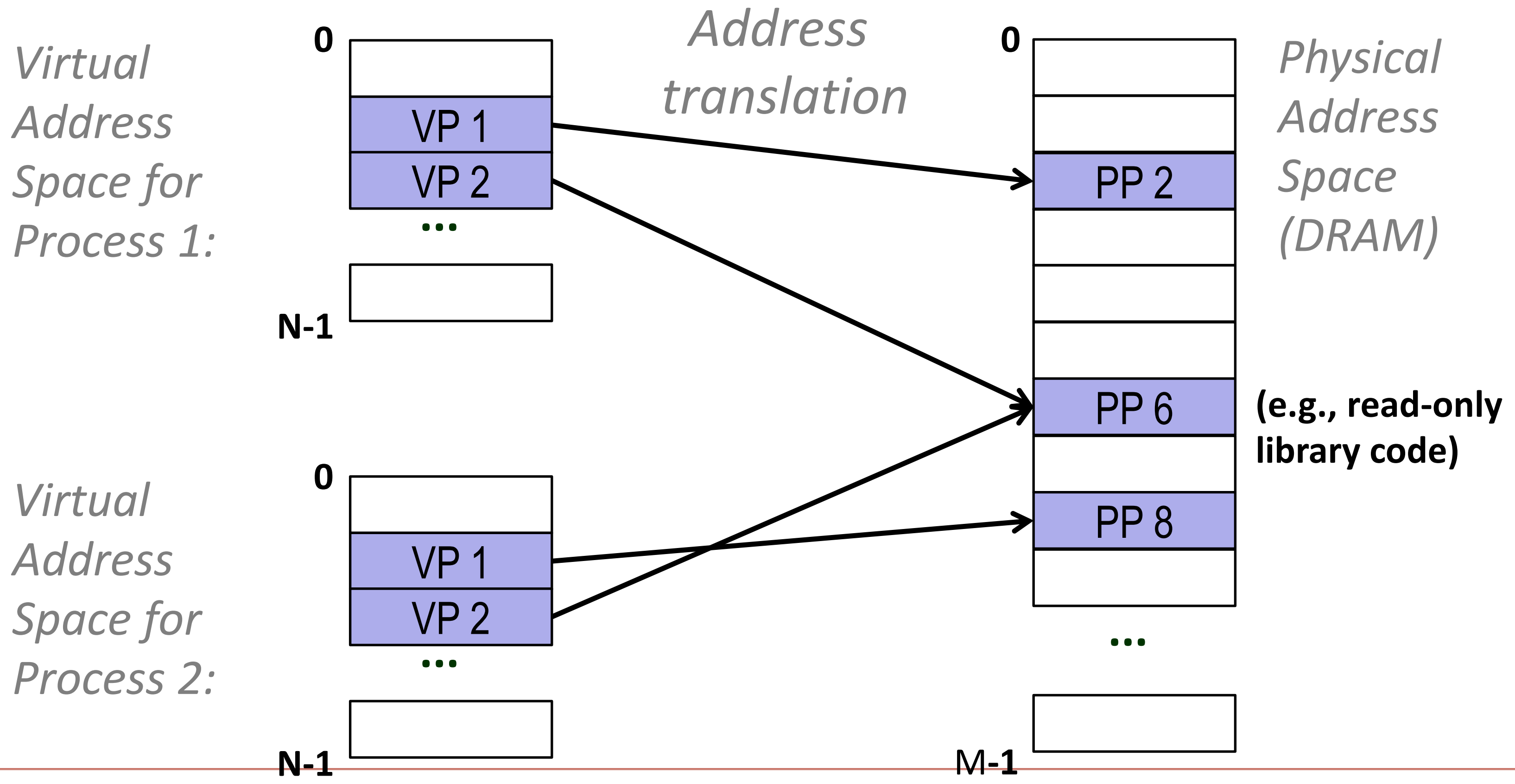
VM as a Tool for Memory Management

- **Key idea: each process has its own virtual address space**
  - It can view memory as a simple linear array
  - Mapping function scatters addresses through physical memory
    - Well-chosen mappings can improve locality



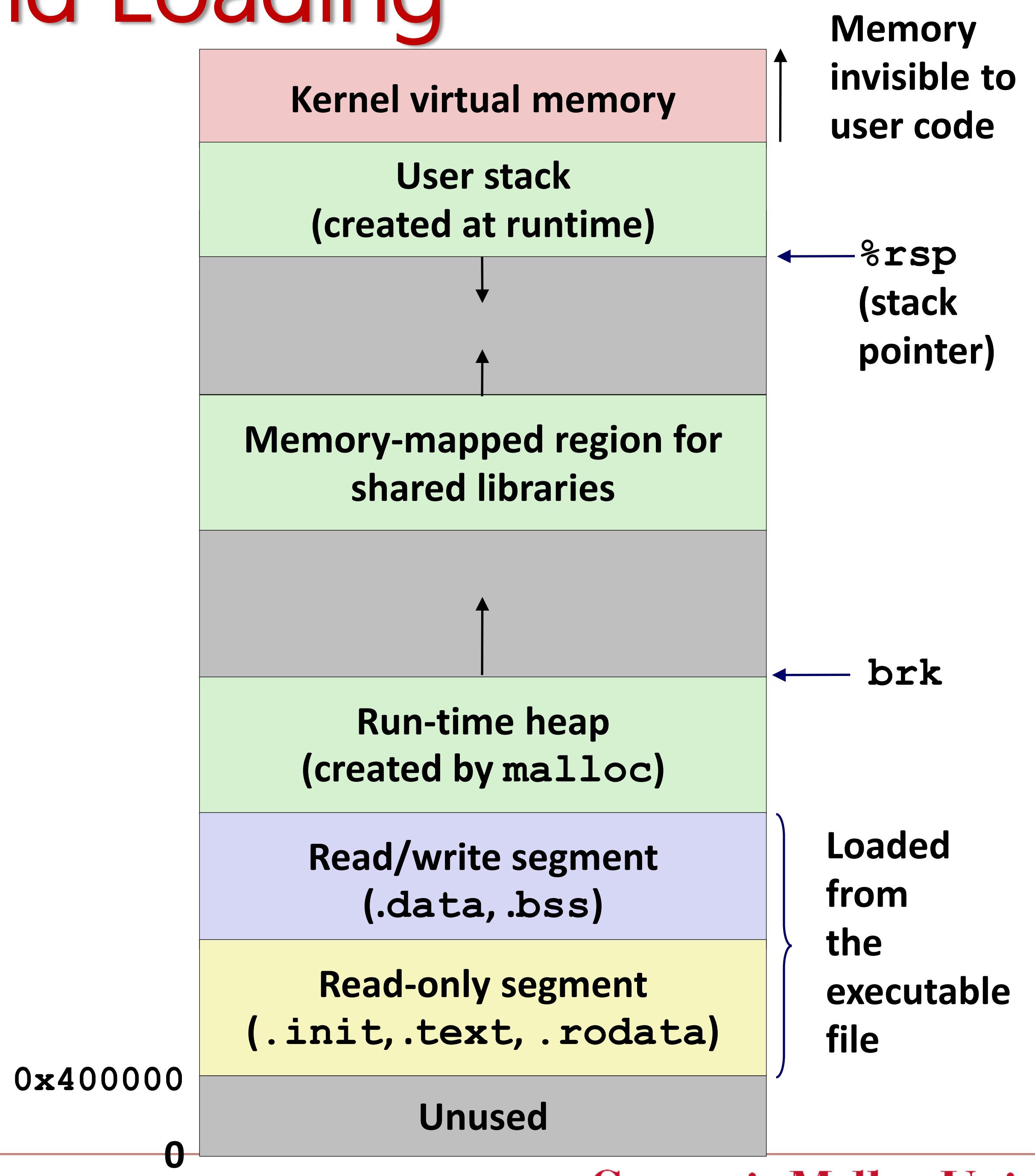
VM as a Tool for Memory Management

- **Simplifying memory allocation**
  - Each virtual page can be mapped to any physical page
  - A virtual page can be stored in different physical pages at different times
- **Sharing code and data among processes**
  - Map virtual pages to the same physical page (here: PP 6)



Simplifying Linking and Loading

- **Linking**
  - Each program has similar virtual address space
  - Code, data, and heap always start at the same addresses.
- **Loading**
  - **`execve`** allocates virtual pages for .text and .data sections & creates PTEs marked as invalid
  - The **`.text`** and **`.data`** sections are copied, page by page, on demand by the virtual memory system, the first time each page is referenced



VM as a Tool for Memory Protection

- **Extend PTEs with permission bits**
- **MMU checks these bits on each access**

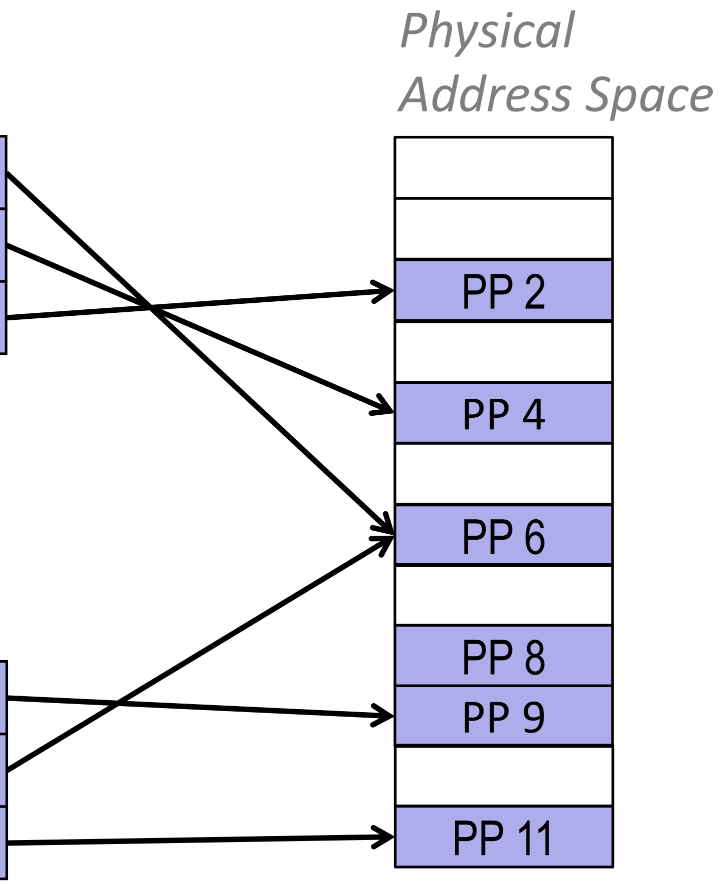
Process i:

	SUP (ervisor)	READ	WRITE	EXEC	Address
VP 0:	No	Yes	No	Yes	PP 6
VP 1:	No	Yes	Yes	Yes	PP 4
VP 2:	Yes	Yes	Yes	No	PP 2

⋮

Process j:

	SUP	READ	WRITE	EXEC	Address
VP 0:	No	Yes	No	Yes	PP 9
VP 1:	Yes	Yes	Yes	Yes	PP 6
VP 2:	No	Yes	Yes	Yes	PP 11



18-600 Foundations of Computer Systems

Lecture 18:

- Address spaces
- VM as a tool for caching, memory management, and memory protection
- **Address translation**
- Simple memory system example
- Case study: Core i7/Linux memory system
- Memory mapping

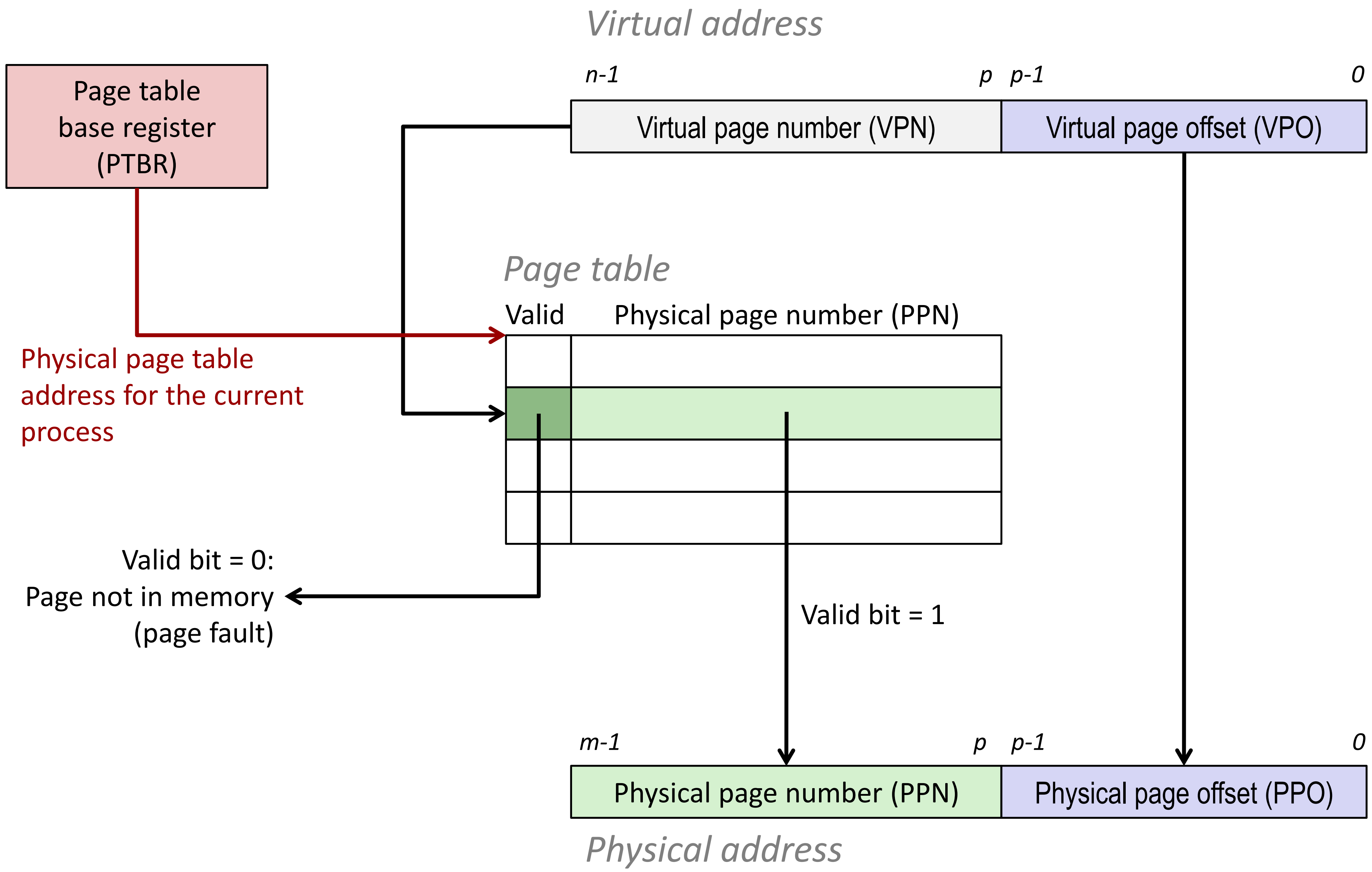
VM Address Translation

- **Virtual Address Space**
  - $V = \{0, 1, \ldots, N-1\}$
- **Physical Address Space**
  - $P = \{0, 1, \ldots, M-1\}$
- **Address Translation**
  - ***MAP: V → P U {∅}***
  - For virtual address ***a***:
    - ***MAP(a) = a'*** if data at virtual address ***a*** is at physical address ***a'*** in ***P***
    - ***MAP(a) = ∅*** if data at virtual address ***a*** is not in physical memory
      - Either invalid or stored on disk

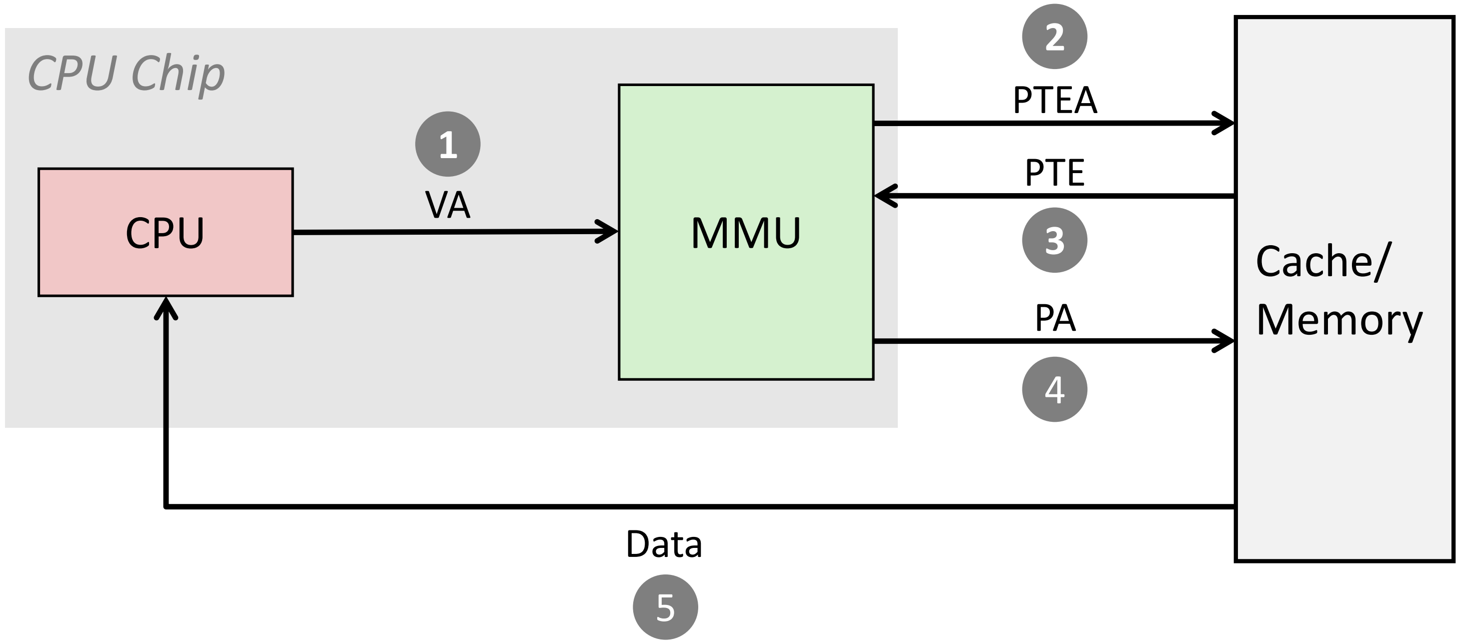
Summary of Address Translation Symbols

- **Basic Parameters**
  - **$N = 2^n$** : Number of addresses in virtual address space
  - **$M = 2^m$** : Number of addresses in physical address space
  - **$P = 2^p$** : Page size (bytes)
- **Components of the virtual address (VA)**
  - **TLBI**: TLB index
  - **TLBT**: TLB tag
  - **VPO**: Virtual page offset
  - **VPN**: Virtual page number
- **Components of the physical address (PA)**
  - **PPO**: Physical page offset (same as VPO)
  - **PPN:** Physical page number

Address Translation With a Page Table



Address Translation: Page Hit



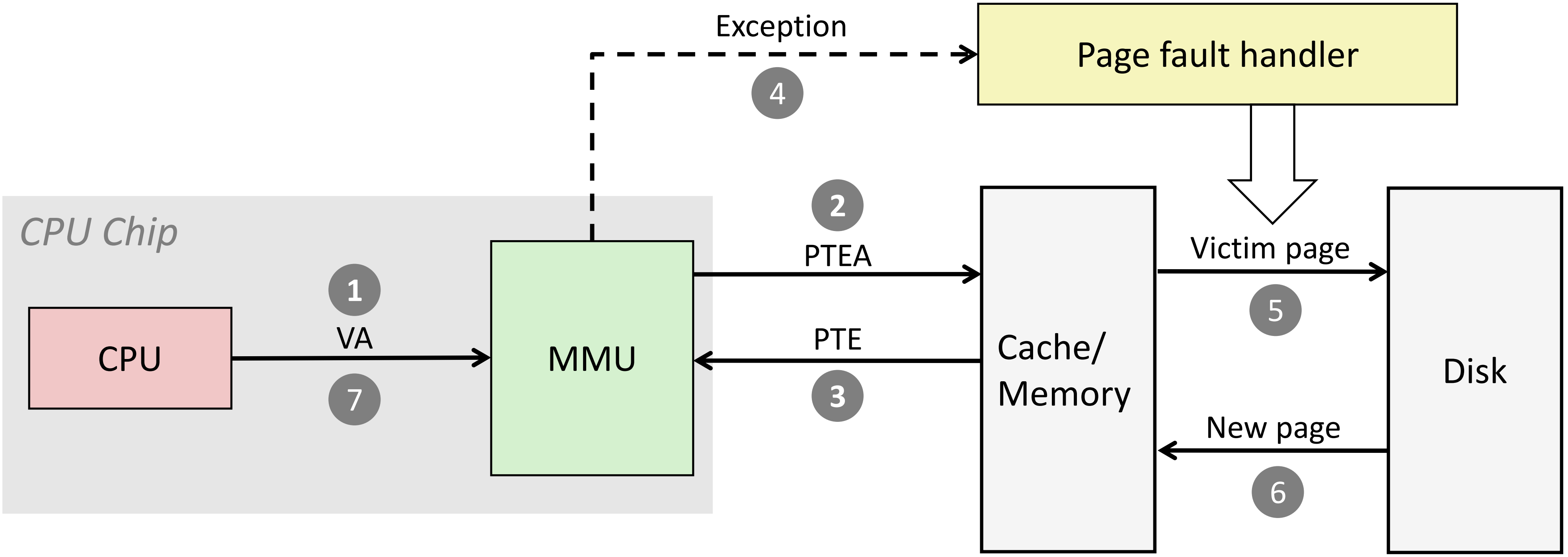
1) Processor sends virtual address to MMU

2-3) MMU fetches PTE from page table in memory

4) MMU sends physical address to cache/memory

5) Cache/memory sends data word to processor

Address Translation: Page Fault



1) Processor sends virtual address to MMU

2-3) MMU fetches PTE from page table in memory

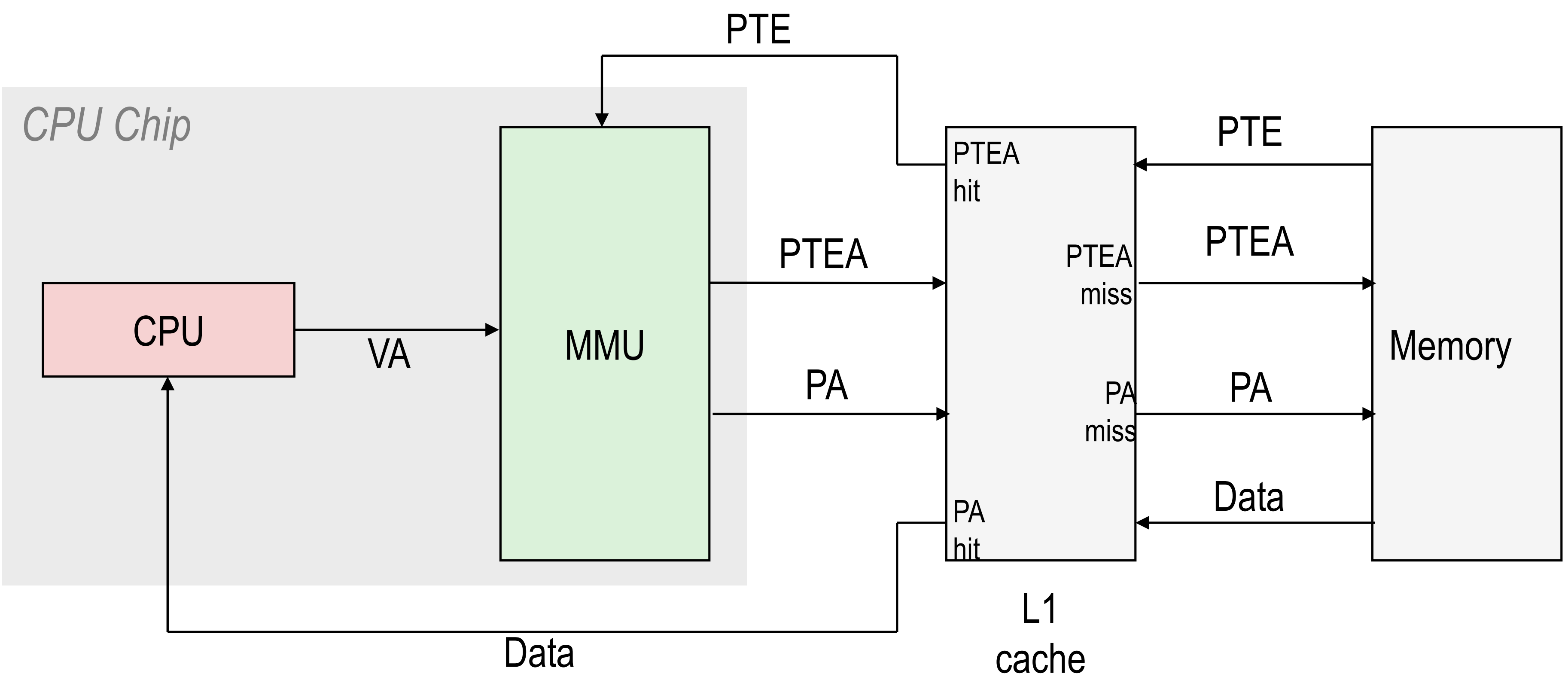
4) Valid bit is zero, so MMU triggers page fault exception

5) Handler identifies victim (and, if dirty, pages it out to disk)

6) Handler pages in new page and updates PTE in memory

7) Handler returns to original process, restarting faulting instruction

Integrating VM and Cache



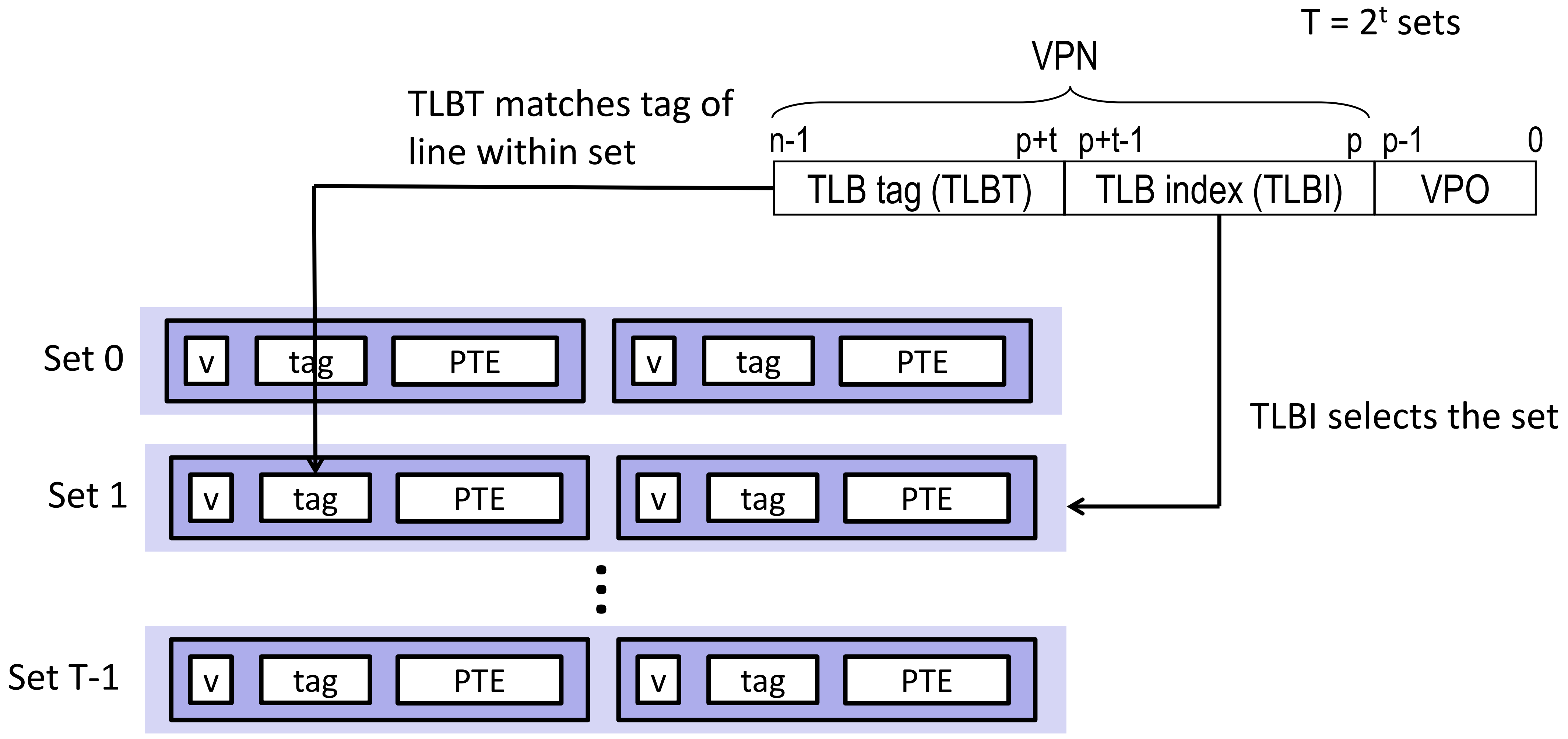
VA: virtual address, PA: physical address, PTE: page table entry, PTEA = PTE address

Speeding up Translation with a TLB

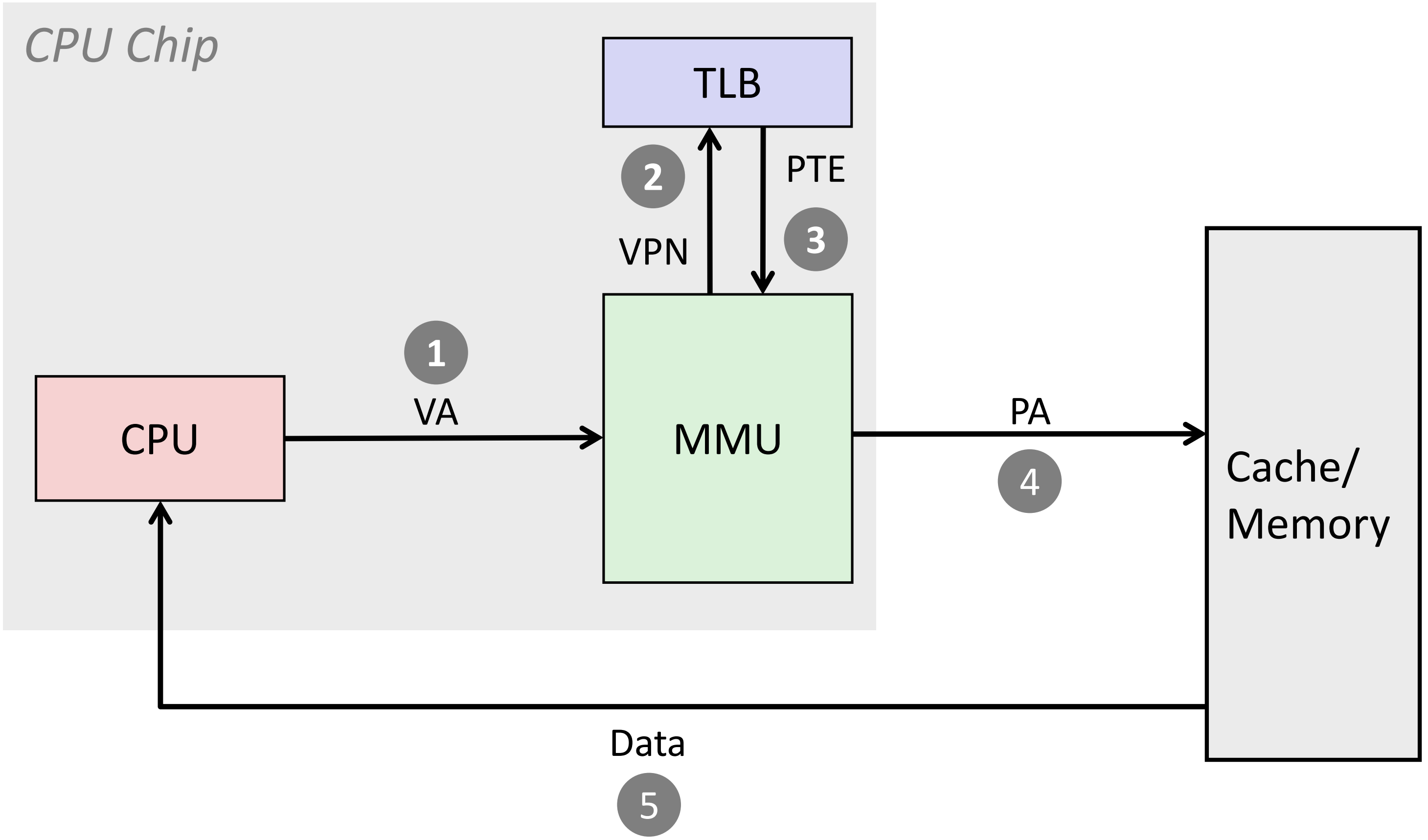
- **Page table entries (PTEs) are cached in L1 like any other memory word**
  - PTEs may be evicted by other data references
  - PTE hit still requires a small L1 delay
- **Solution: *Translation Lookaside Buffer* (TLB)**
  - Small set-associative hardware cache in MMU
  - Maps virtual page numbers to physical page numbers
  - Contains complete page table entries for small number of pages

Accessing the TLB

- **MMU uses the VPN portion of the virtual address to access the TLB:**

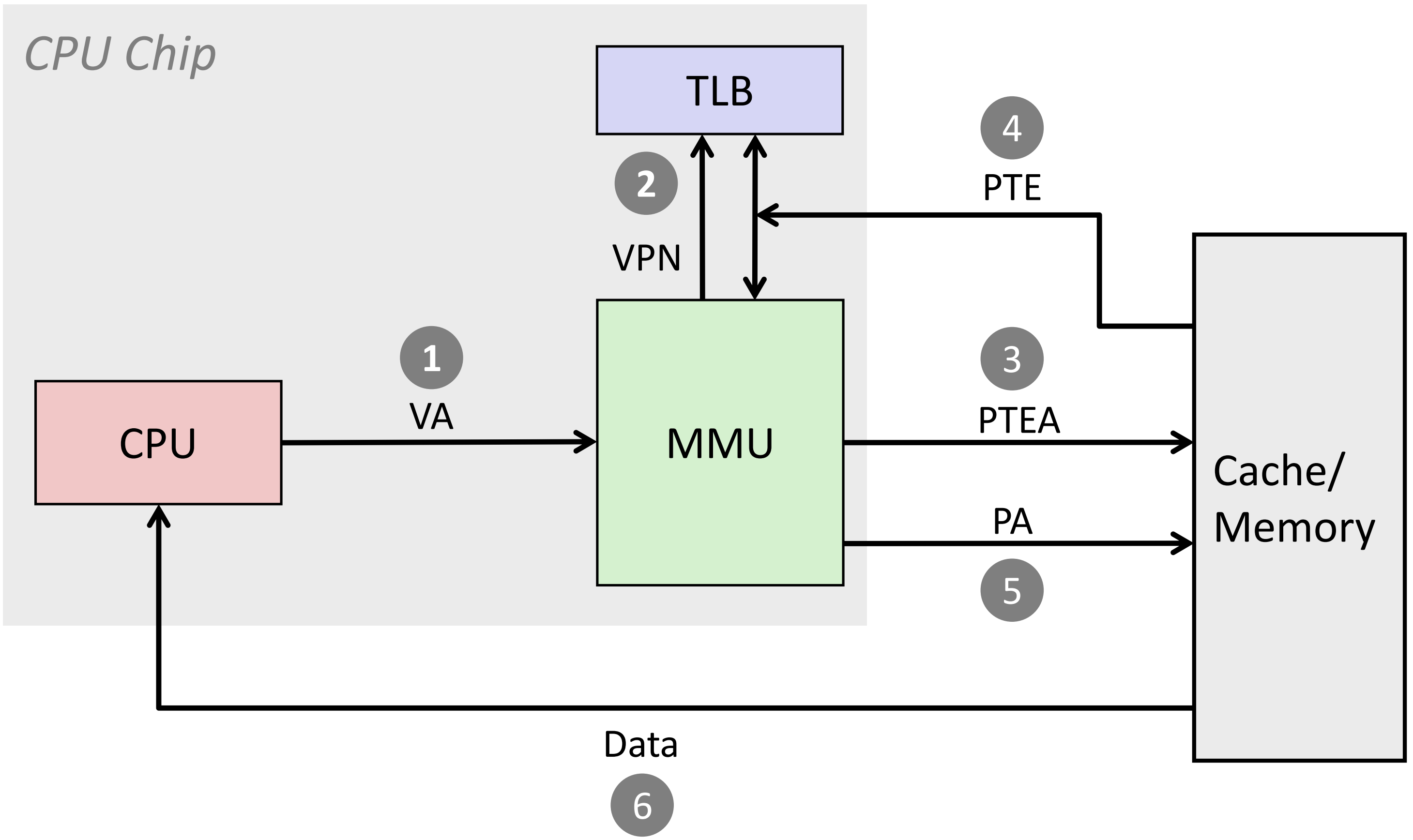


TLB Hit



A TLB hit eliminates a memory access

TLB Miss

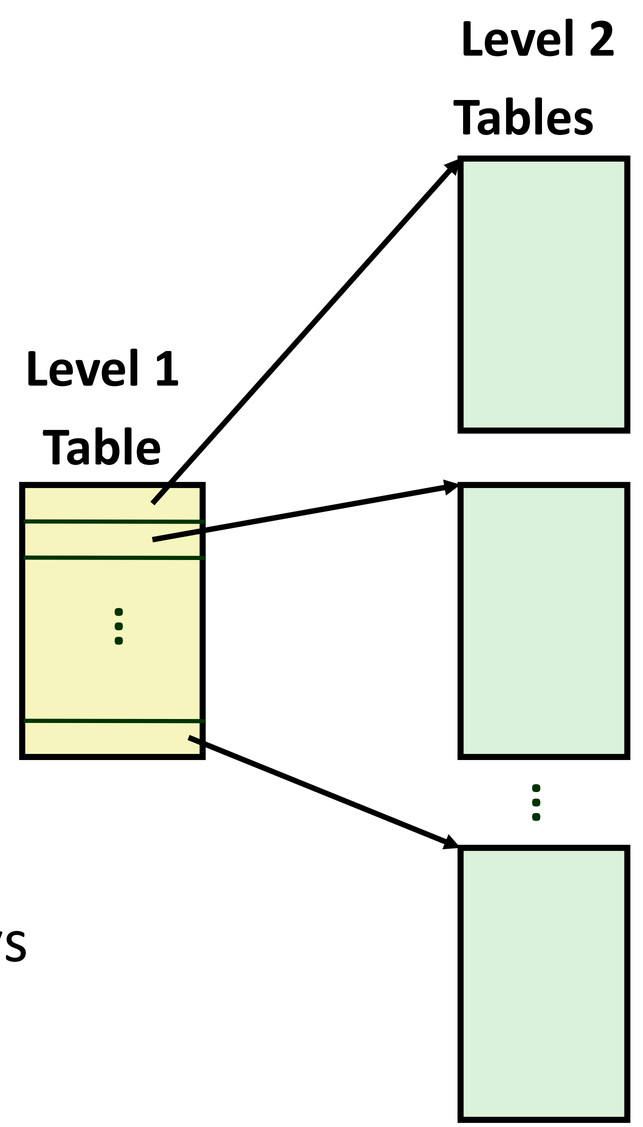


A TLB miss incurs an additional memory access (the PTE)

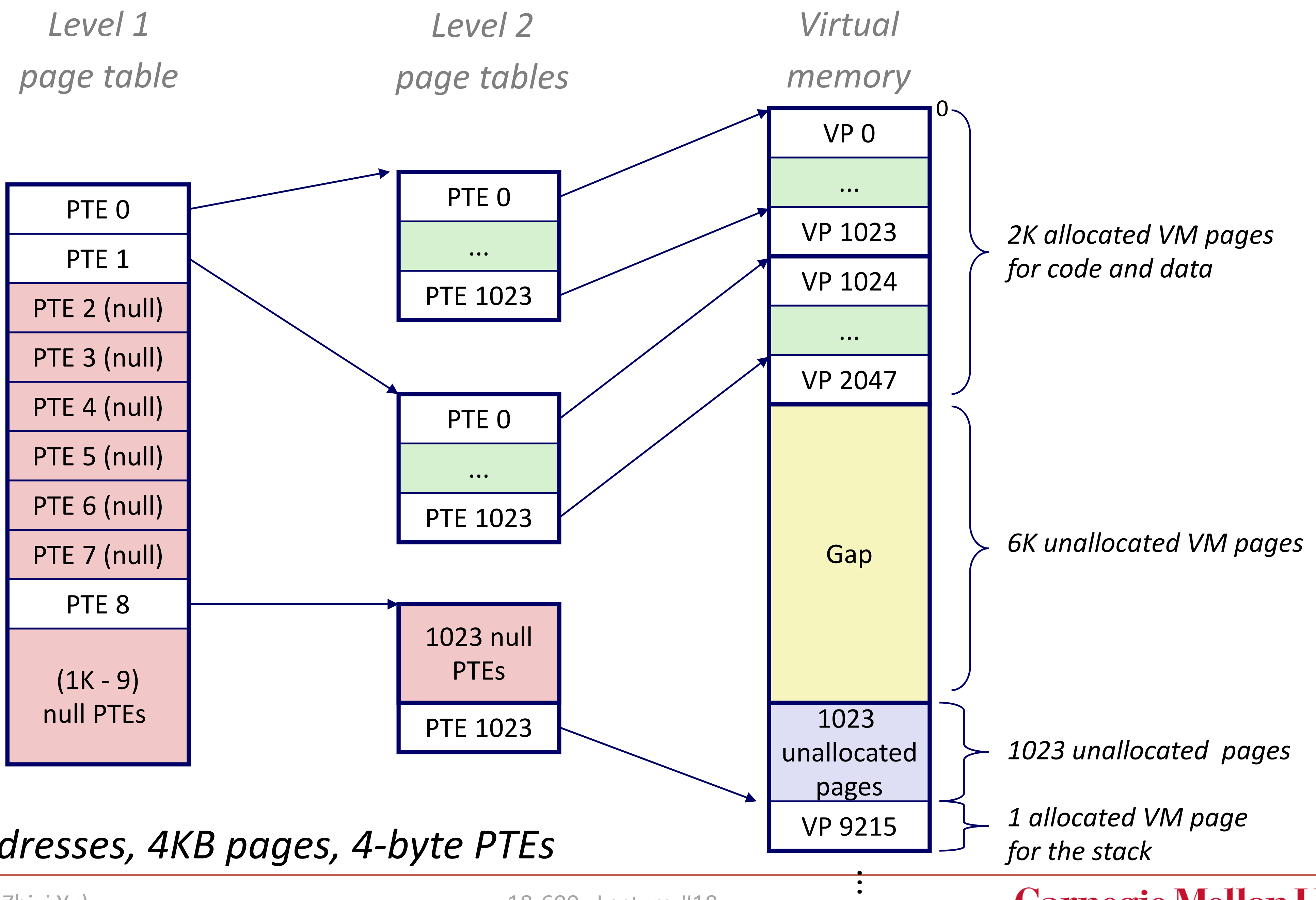
Fortunately, TLB misses are rare. Why?

Multi-Level Page Tables

- **Suppose:**
  - 4KB (2^{12}) page size, 48-bit address space, 8-byte PTE
- **Problem:**
  - Would need a 512 GB page table!
    - $2^{48} * 2^{-12} * 2^{3} = 2^{39}$ bytes
- **Common solution: Multi-level page table**
- **Example: 2-level page table**
  - Level 1 table: each PTE points to a page table (always memory resident)
  - Level 2 table: each PTE points to a page (paged in and out like any other data)

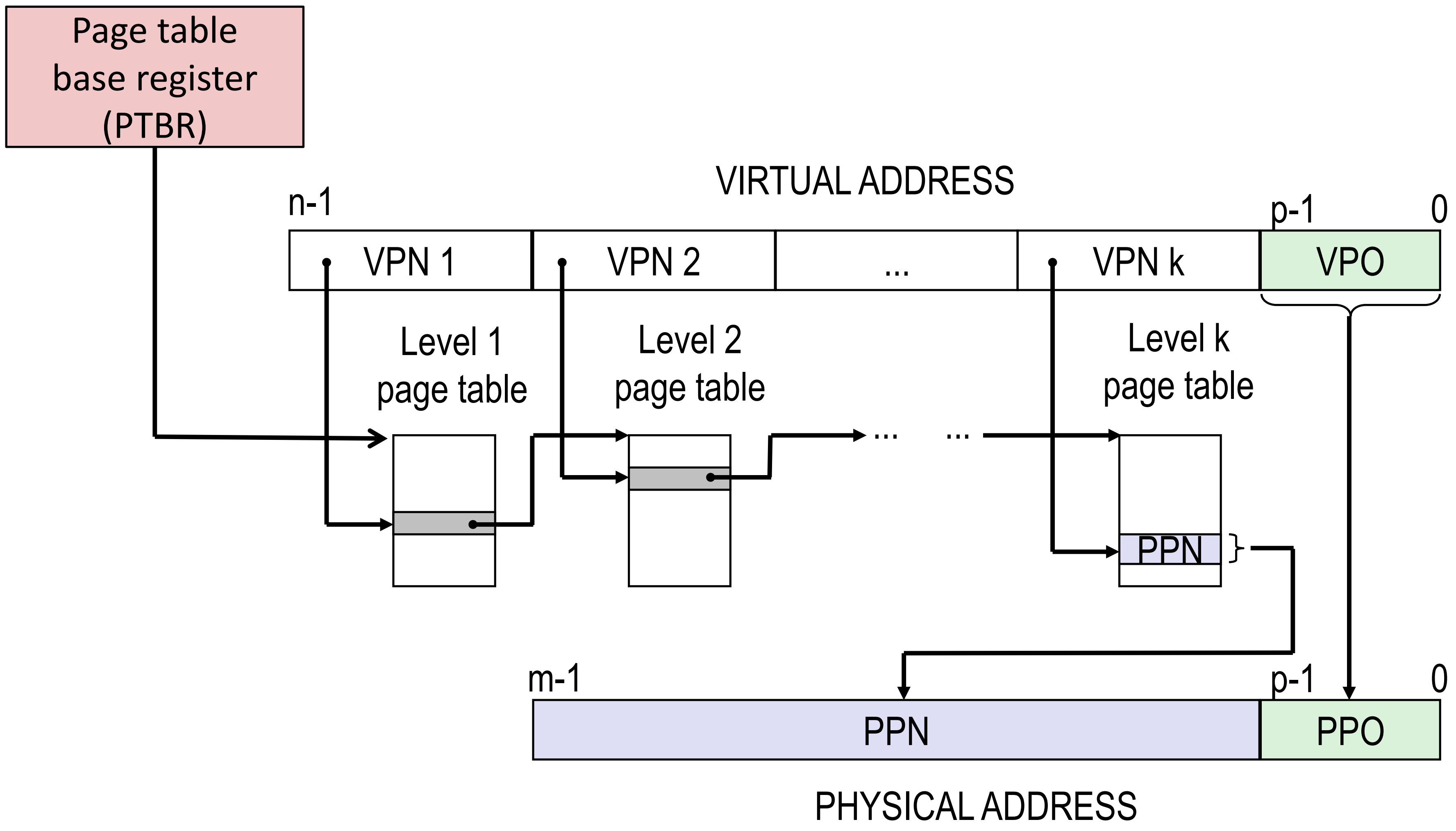


A Two-Level Page Table Hierarchy



32 bit addresses, 4KB pages, 4-byte PTEs

Translating with a k-level Page Table



18-600 Foundations of Computer Systems

Lecture 18:

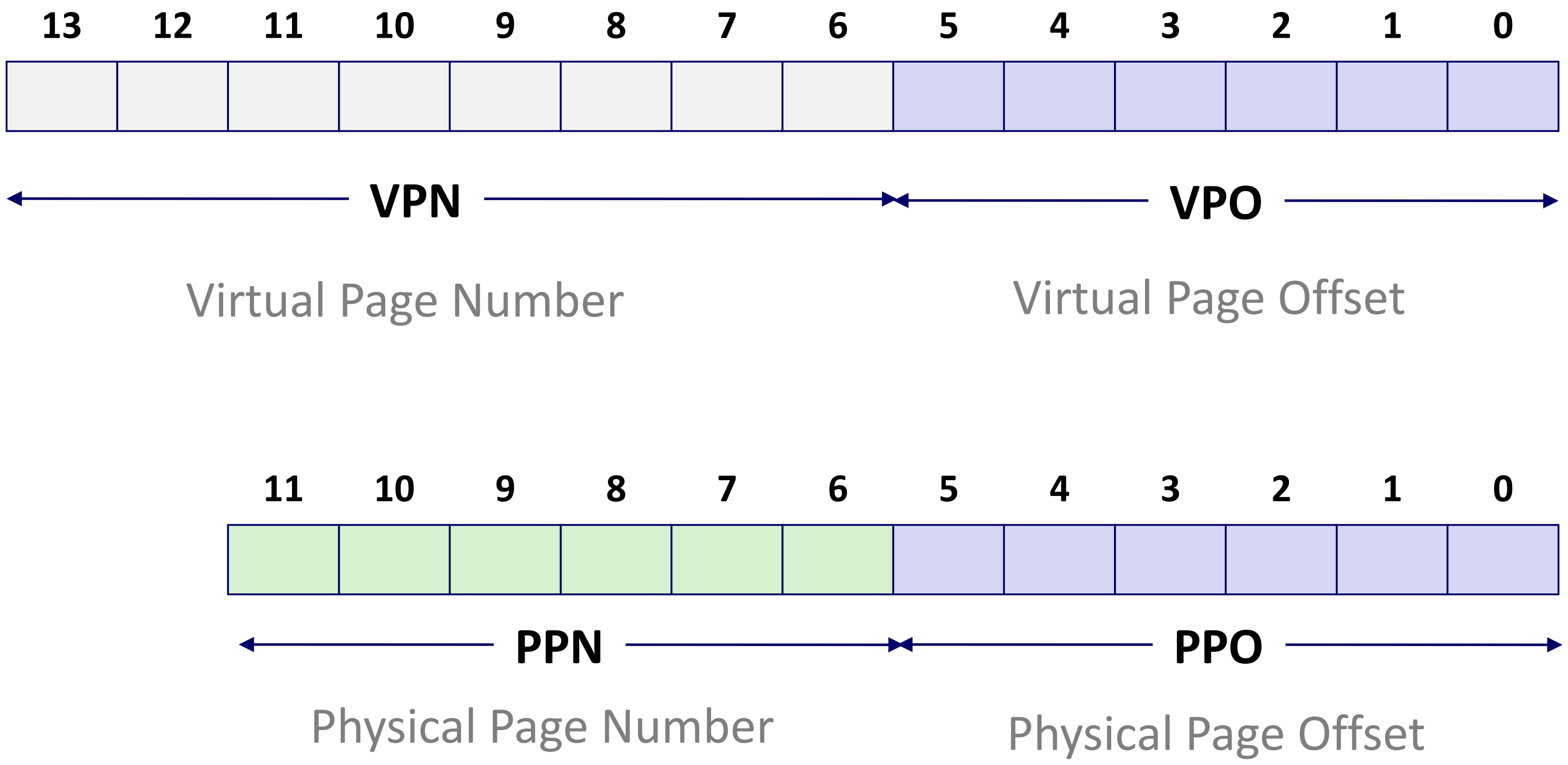
- Address spaces
- VM as a tool for caching, memory management, and memory protection
- Address translation
- **Simple memory system example**
- Case study: Core i7/Linux memory system
- Memory mapping

Review of Symbols

- **Basic Parameters**
  - **$N = 2^n$** : Number of addresses in virtual address space
  - **$M = 2^m$** : Number of addresses in physical address space
  - **$P = 2^p$** : Page size (bytes)
- **Components of the virtual address (VA)**
  - **TLBI**: TLB index
  - **TLBT**: TLB tag
  - **VPO**: Virtual page offset
  - **VPN**: Virtual page number
- **Components of the physical address (PA)**
  - **PPO**: Physical page offset (same as VPO)
  - **PPN:** Physical page number
  - **CO**: Byte offset within cache line
  - **CI:** Cache index
  - **CT**: Cache tag

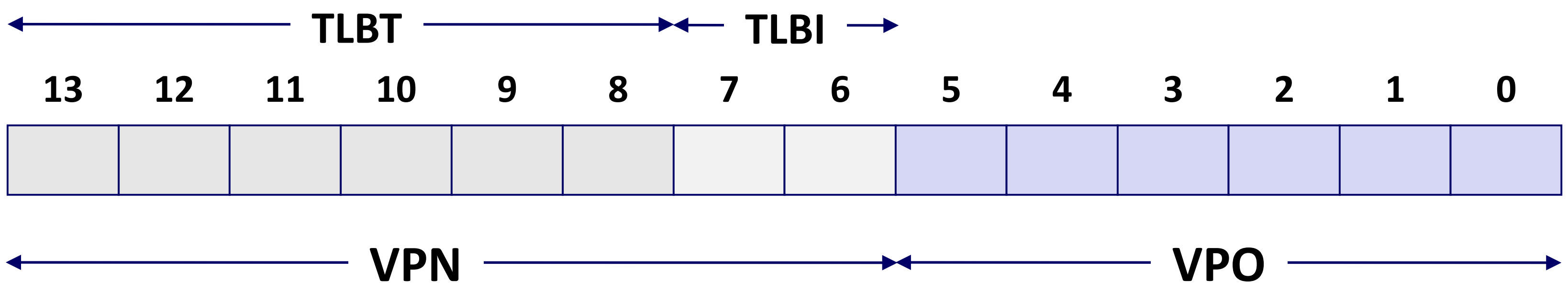
Simple Memory System Example

- **Addressing**
  - 14-bit virtual addresses
  - 12-bit physical address
  - Page size = 64 bytes



1. Simple Memory System TLB

- **4 sets, 16 entries, 4-way associative**



Set	Tag	PPN	Valid	Tag	PPN	Valid	Tag	PPN	Valid	Tag	PPN	Valid
0	03	–	0	09	0D	1	00	–	0	07	02	1
1	03	2D	1	02	–	0	04	–	0	0A	–	0
2	02	–	0	08	–	0	06	–	0	03	–	0
3	07	–	0	03	0D	1	0A	34	1	02	–	0

2. Simple Memory System Page Table

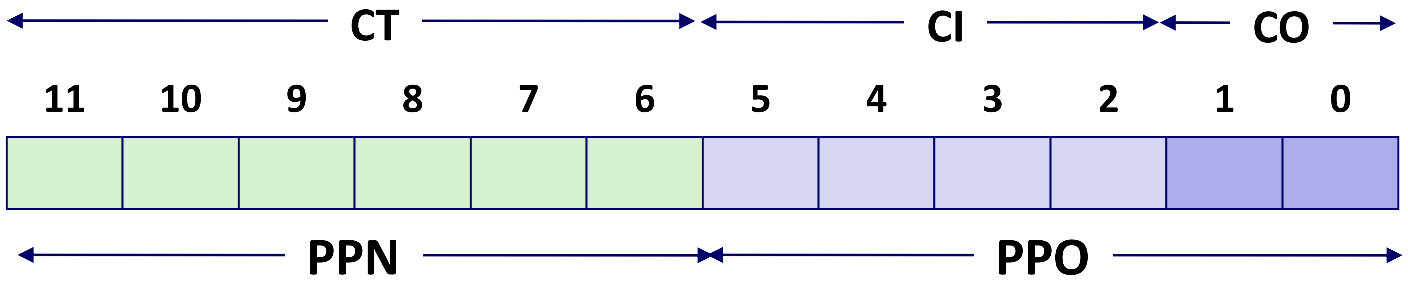
Only show first 16 entries (out of 256)

VPN	PPN	Valid
00	28	1
01	–	0
02	33	1
03	02	1
04	–	0
05	16	1
06	–	0
07	–	0

VPN	PPN	Valid
08	13	1
09	17	1
0A	09	1
0B	–	0
0C	–	0
0D	2D	1
0E	11	1
0F	0D	1

3. Simple Memory System Cache

- **16 lines, 4-byte block size**
- **Physically addressed**
- **Direct mapped**



Idx	*Tag*	*Valid*	*B0*	*B1*	*B2*	*B3*
0	19	1	99	11	23	11
1	15	0	–	–	–	–
2	1B	1	00	02	04	08
3	36	0	–	–	–	–
4	32	1	43	6D	8F	09
5	0D	1	36	72	F0	1D
6	31	0	–	–	–	–
7	16	1	11	C2	DF	03

Idx	*Tag*	*Valid*	*B0*	*B1*	*B2*	*B3*
8	24	1	3A	00	51	89
9	2D	0	–	–	–	–
A	2D	1	93	15	DA	3B
B	0B	0	–	–	–	–
C	12	0	–	–	–	–
D	16	1	04	96	34	15
E	13	1	83	77	1B	D3
F	14	0	–	–	–	–

Address Translation Example #1

Page size: 64 byte
VPO: 6 bits
VPN: 8 bits
TLB: 4 sets
TLBI: 2 bits
TLBT: 6 bits

Virtual Address: 0x03D4

TLBT						TLBI							
13	12	11	10	9	8	7	6	5	4	3	2	1	0
0	0	0	0	1	1	1	1	0	1	0	1	0	0
VPN								VPO					

VPN 0x0F TLBI 0x3 TLBT 0x03 TLB Hit? Y Page Fault? N PPN: 0x0D

Physical Address

block size: 4 byte
CO: 2 bits
Cache lines: 16
CI: 4 bits
CT: 6 bits

CT						CI				CO	
11	10	9	8	7	6	5	4	3	2	1	0
0	0	1	1	0	1	0	1	0	1	0	0
PPN						PPO					

CO 0 CI 0x5 CT 0x0D Hit? Y Byte: 0x36

Address Translation Example #2

Page size: 64 byte
VPO: 6 bits
VPN: 8 bits
TLB: 4 sets
TLBI: 2 bits
TLBT: 6 bits

Virtual Address: 0x0020

	TLBT						TLBI						
13	12	11	10	9	8	7	6	5	4	3	2	1	0
0	0	0	0	0	0	0	0	1	0	0	0	0	0
VPN								VPO					

VPN 0x00 TLBI 0 TLBT 0x00 TLB Hit? N Page Fault? N PPN: 0x28

Physical Address

block size: 4 byte
CO: 2 bits
Cache lines: 16
CI: 4 bits
CT: 6 bits

CT						CI				CO	
11	10	9	8	7	6	5	4	3	2	1	0
1	0	1	0	0	0	1	0	0	0	0	0
PPN						PPO					

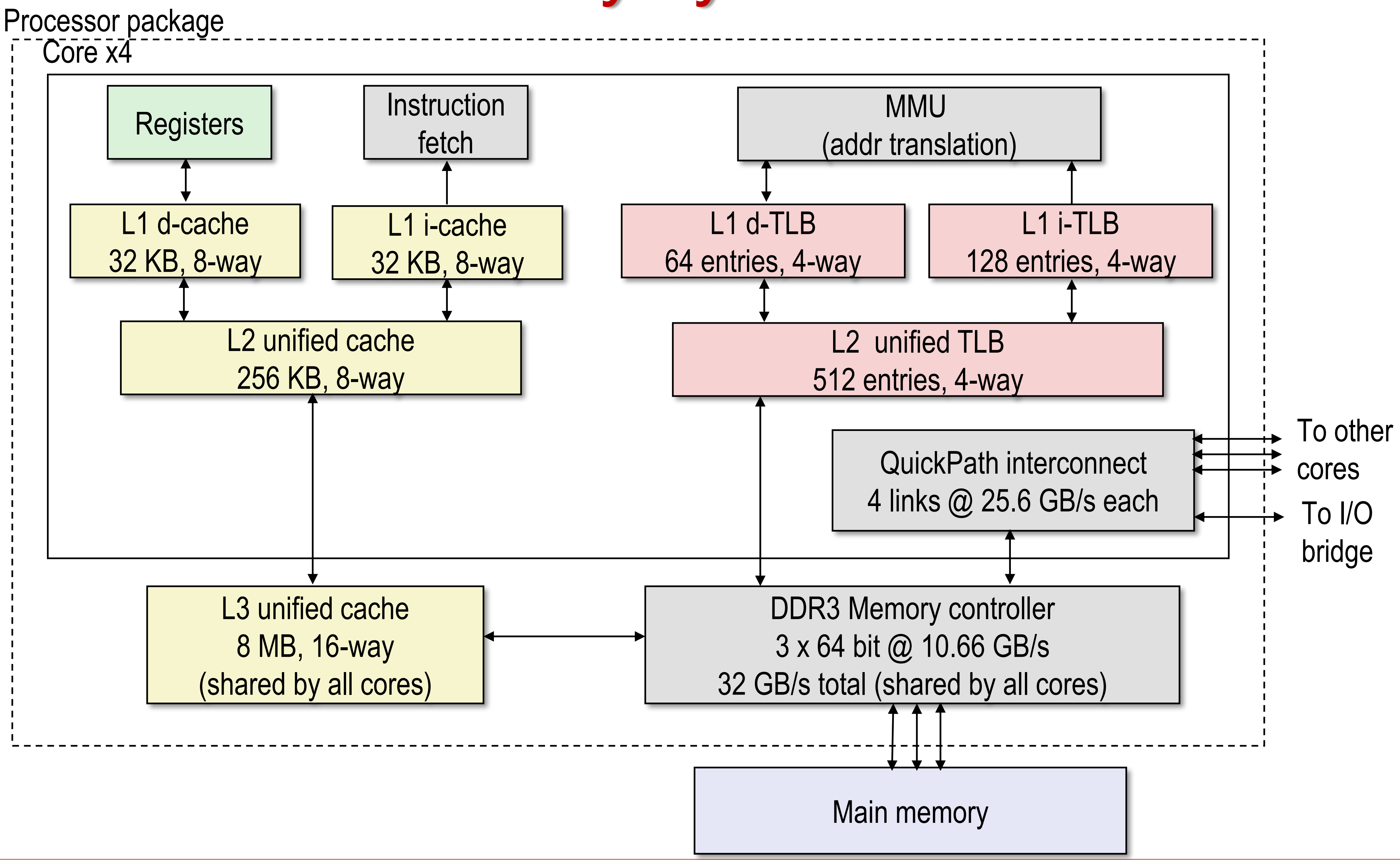
CO 0 CI 0x8 CT 0x28 Hit? N Byte: Mem

18-600 Foundations of Computer Systems

Lecture 18:

- Address spaces
- VM as a tool for caching, memory management, and memory protection
- Address translation
- Simple memory system example
- **Case study: Core i7/Linux memory system**
- Memory mapping

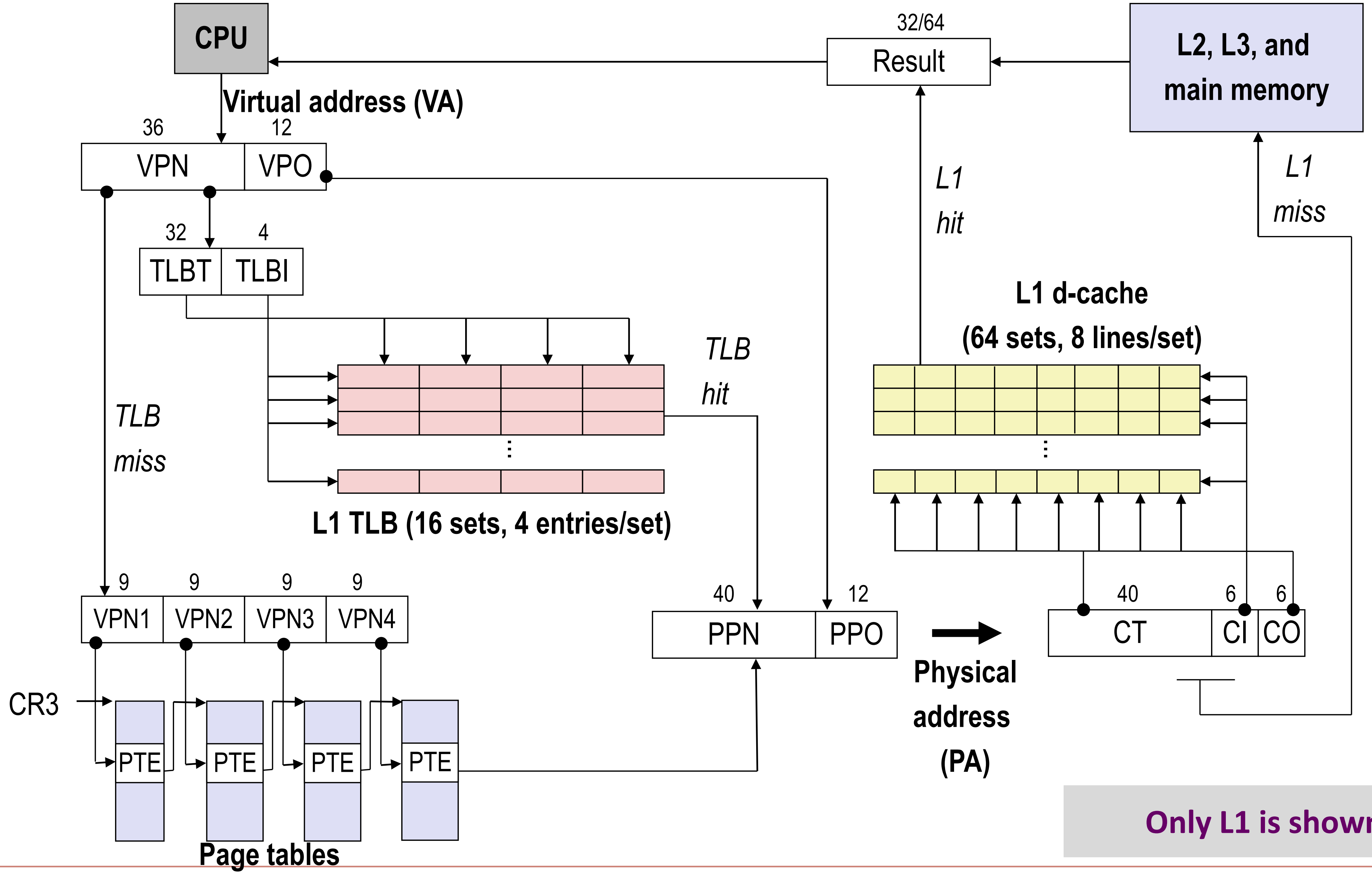
Intel Core i7 Memory System



Review of Symbols

- **Basic Parameters**
  - **$N = 2^n$** : Number of addresses in virtual address space
  - **$M = 2^m$** : Number of addresses in physical address space
  - **$P = 2^p$** : Page size (bytes)
- **Components of the virtual address (VA)**
  - **TLBI**: TLB index
  - **TLBT**: TLB tag
  - **VPO**: Virtual page offset
  - **VPN**: Virtual page number
- **Components of the physical address (PA)**
  - **PPO**: Physical page offset (same as VPO)
  - **PPN:** Physical page number
  - **CO**: Byte offset within cache line
  - **CI:** Cache index
  - **CT**: Cache tag

End-to-end Core i7 Address Translation



Only L1 is shown

Core i7 Level 1-3 Page Table Entries

63	62 … 52	51 … 12	11 … 9	8	7	6	5	4	3	2	1	0
XD	Unused	Page table physical base address	Unused	G	PS		A	CD	WT	U/S	R/W	P=1

Available for OS (page table location on disk)	P=0

Each entry references a 4K child page table. Significant fields:

P: Child page table present in physical memory (1) or not (0).

R/W: Read-only or read-write access access permission for all reachable pages.

U/S: user or supervisor (kernel) mode access permission for all reachable pages.

WT: Write-through or write-back cache policy for the child page table.

A: Reference bit (set by MMU on reads and writes, cleared by software).

PS: Page size either 4 KB or 4 MB (defined for Level 1 PTEs only).

Page table physical base address: 40 most significant bits of physical page table address (forces page tables to be 4KB aligned)

XD: Disable or enable instruction fetches from all pages reachable from this PTE.

Core i7 Level 4 Page Table Entries

63	62 … 52	51 … 12	11 … 9	8	7	6	5	4	3	2	1	0
XD	Unused	Page physical base address	Unused	G		D	A	CD	WT	U/S	R/W	P=1

Available for OS (page location on disk)	P=0

Each entry references a 4K child page. Significant fields:

P: Child page is present in memory (1) or not (0)

R/W: Read-only or read-write access permission for child page

U/S: User or supervisor mode access

WT: Write-through or write-back cache policy for this page

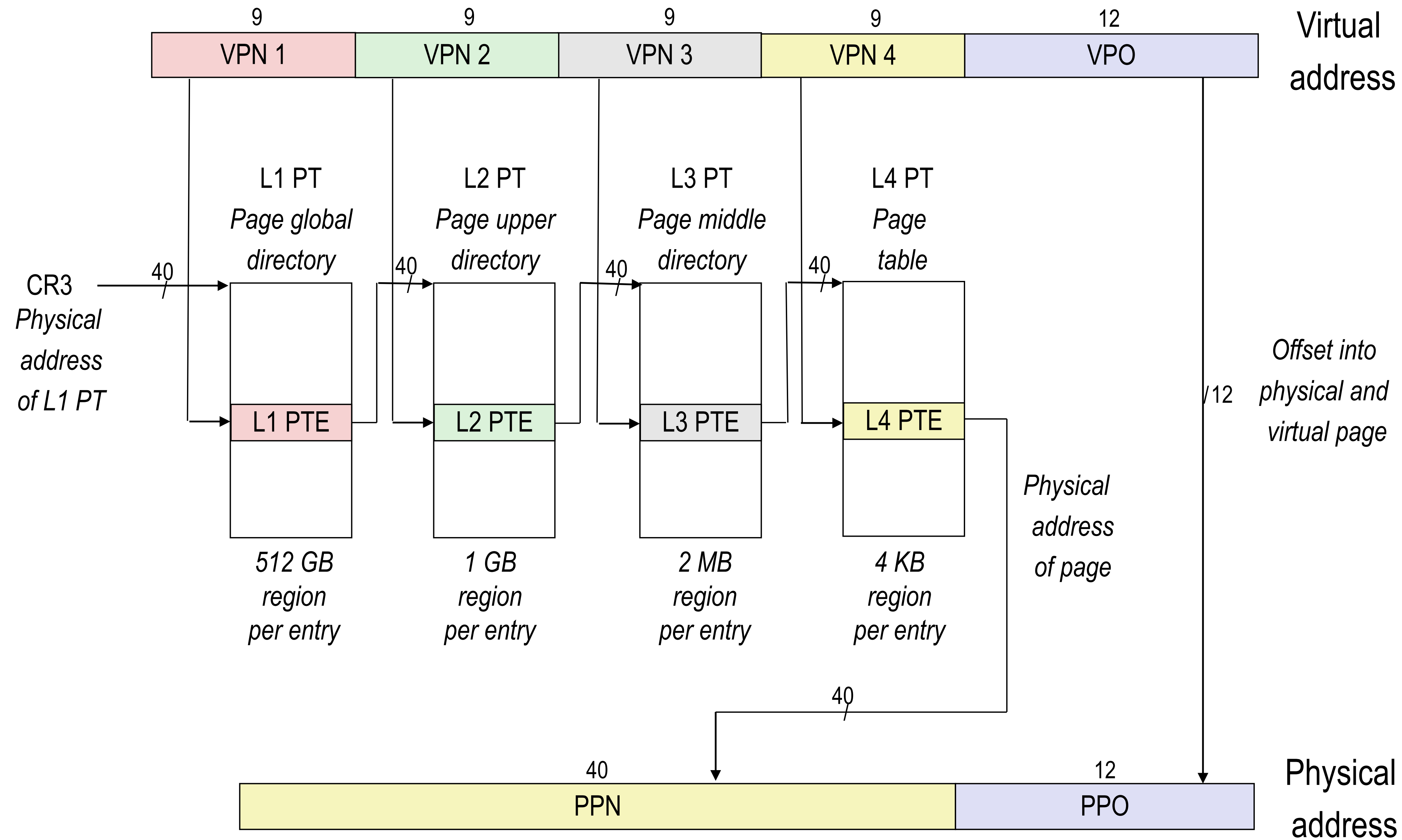
A: Reference bit (set by MMU on reads and writes, cleared by software)

D: Dirty bit (set by MMU on writes, cleared by software)

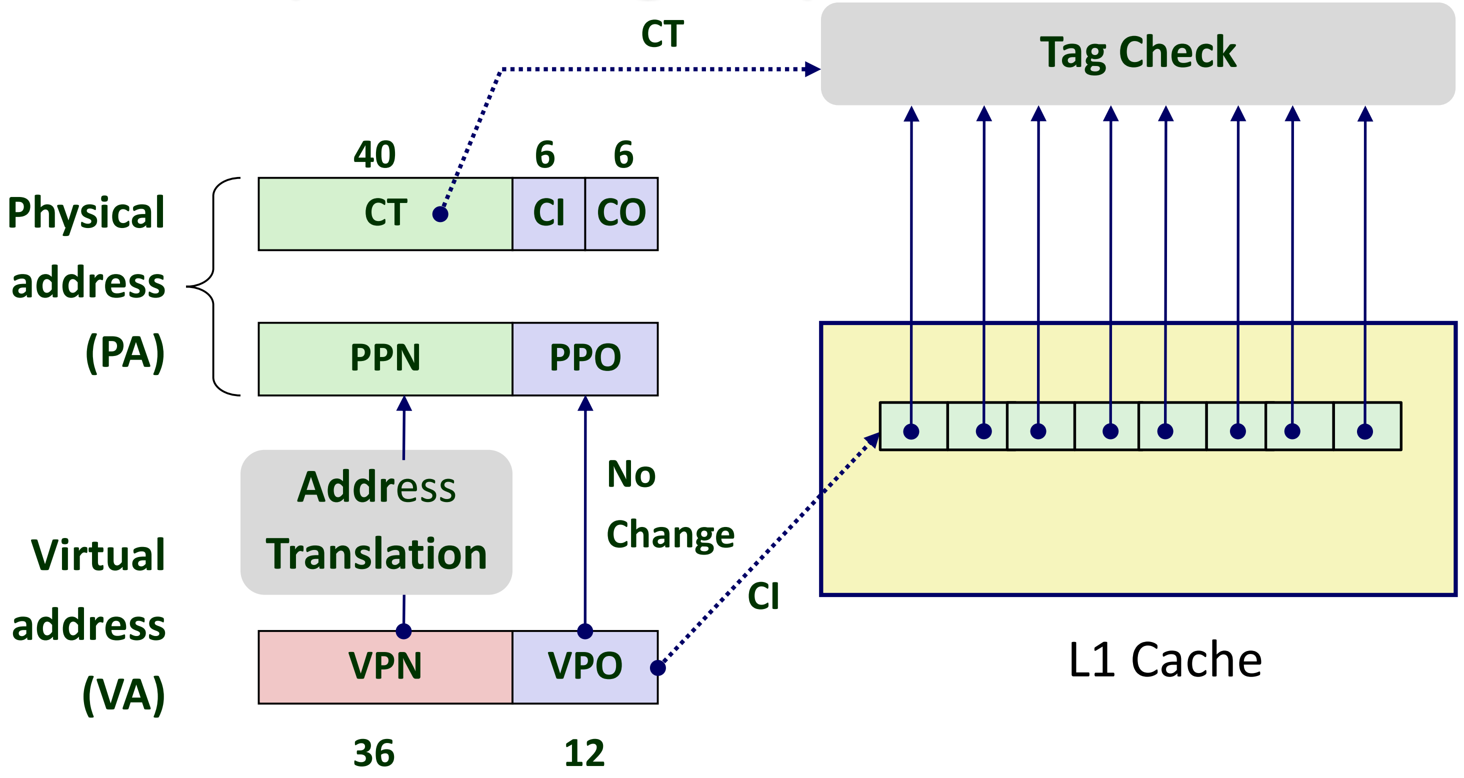
Page physical base address: 40 most significant bits of physical page address (forces pages to be 4KB aligned)

XD: Disable or enable instruction fetches from this page.

Core i7 Page Table Translation

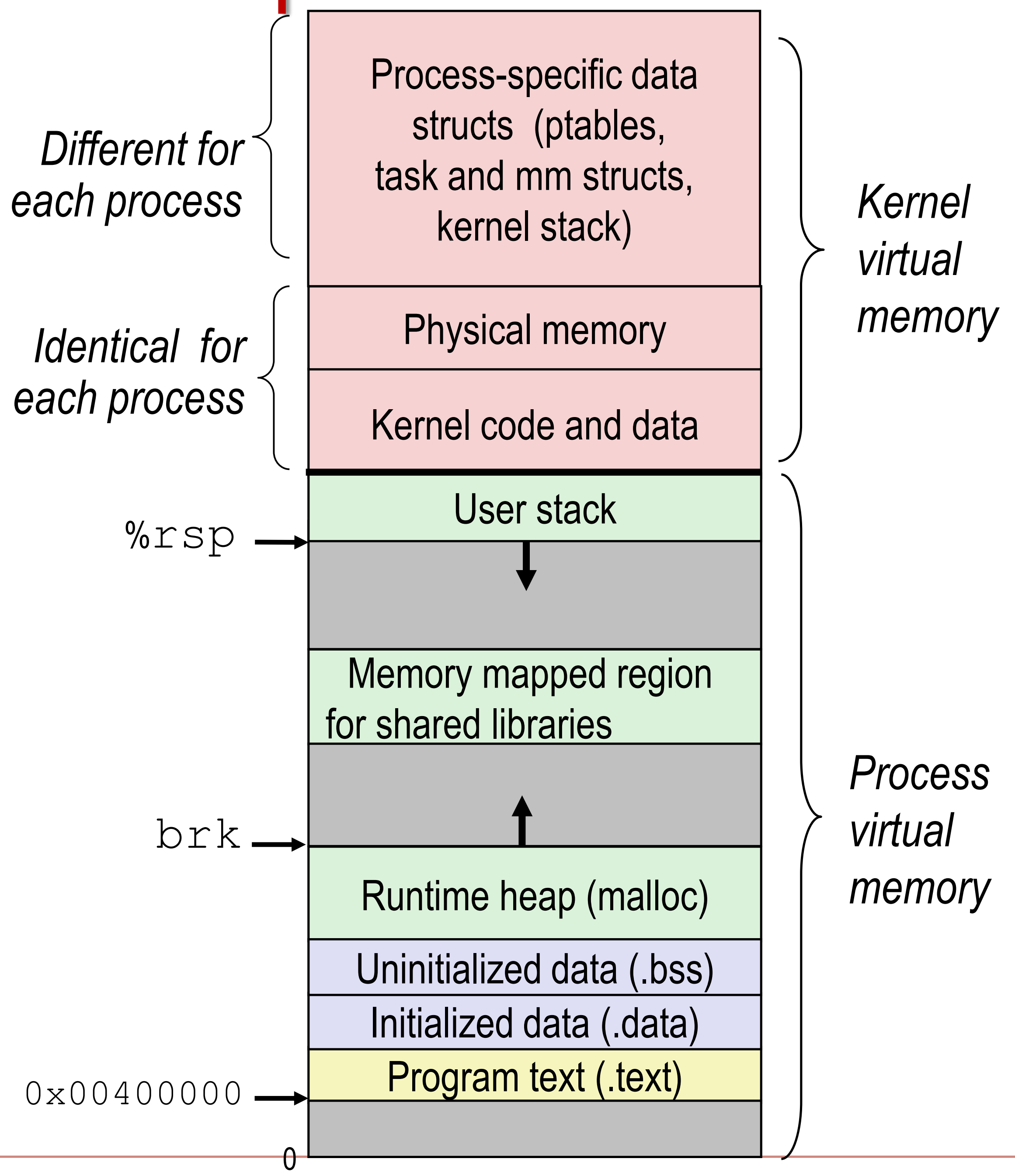


Cute Trick for Speeding Up L1 Access

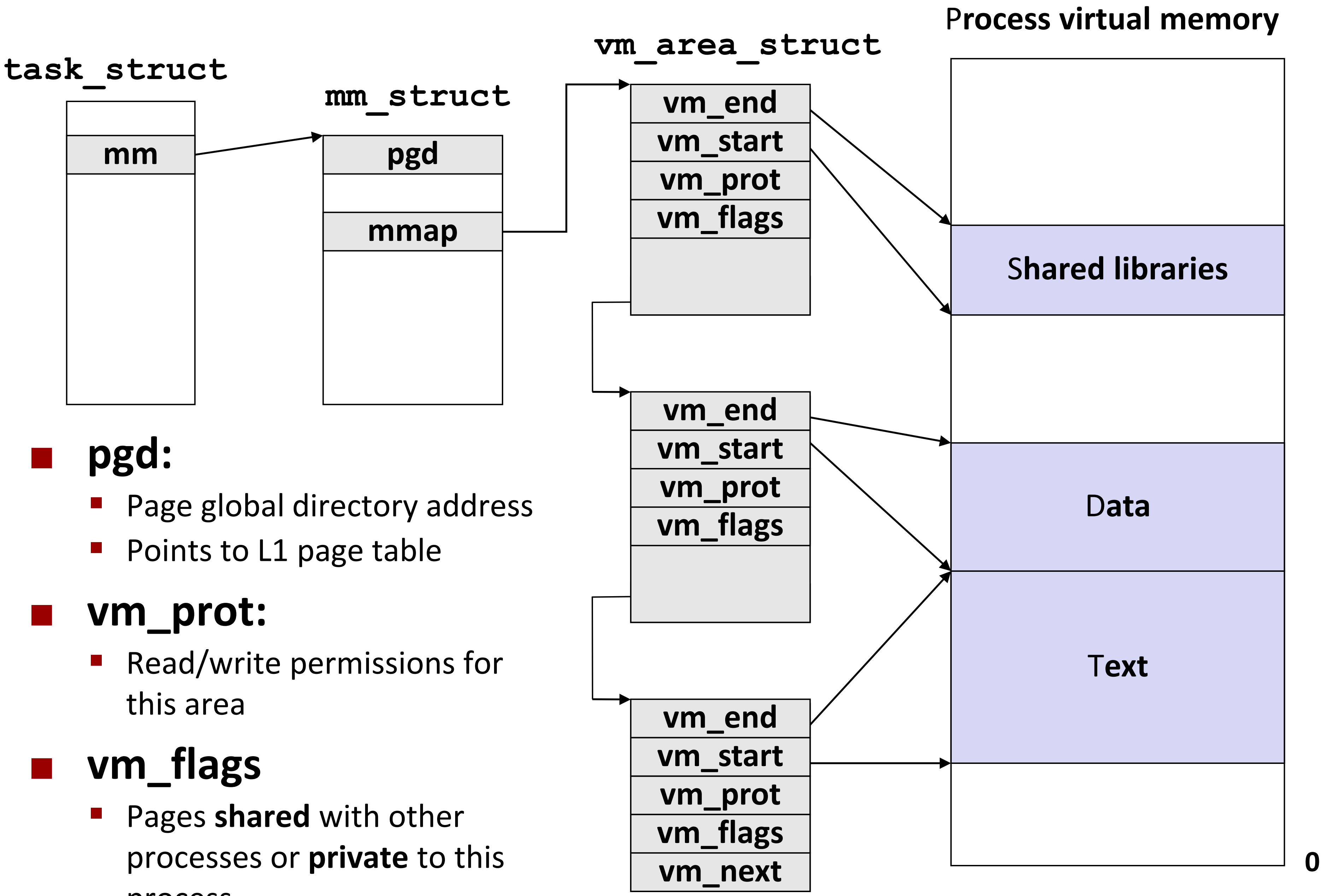


- **Observation**
  - Bits that determine CI identical in virtual and physical address
  - Can index into cache while address translation taking place
  - Generally we hit in TLB, so PPN bits (CT bits) available next
  - "Virtually indexed, physically tagged"
  - Cache carefully sized to make this possible

Virtual Address Space of a Linux Process

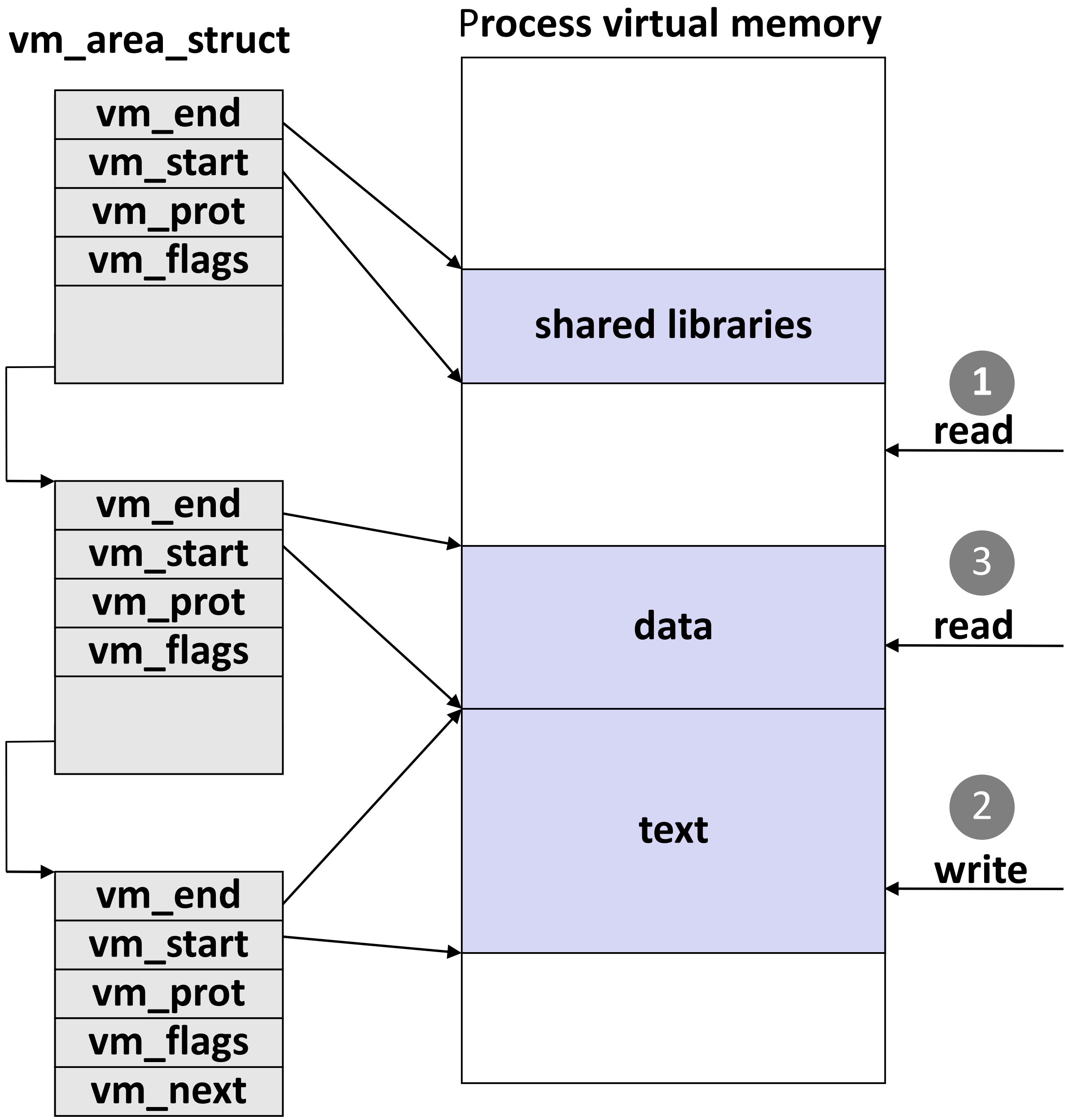


Linux Organizes VM as Collection of "Areas"



- **pgd:**
  - Page global directory address
  - Points to L1 page table
- **vm_prot:**
  - Read/write permissions for this area
- **vm_flags**
  - Pages **shared** with other processes or **private** to this process

Linux Page Fault Handling



Segmentation fault:
accessing a non-existing page

Normal page fault

Protection exception:
e.g., violating permission by writing to a read-only page (Linux reports as Segmentation fault)

18-600 Foundations of Computer Systems

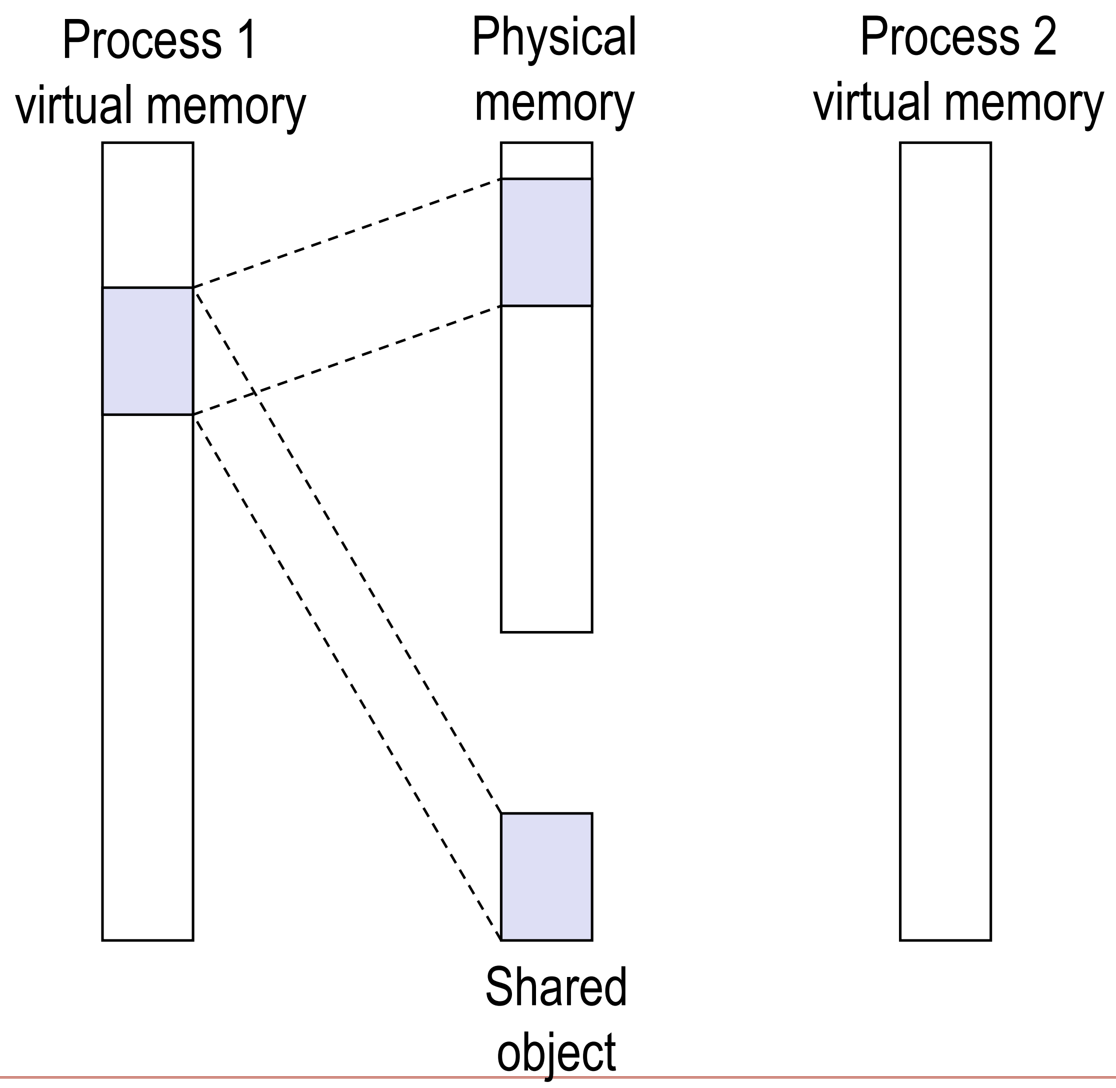
Lecture 18:

- Address spaces
- VM as a tool for caching, memory management, and memory protection
- Address translation
- Simple memory system example
- Case study: Core i7/Linux memory system
- **Memory mapping**

Memory Mapping

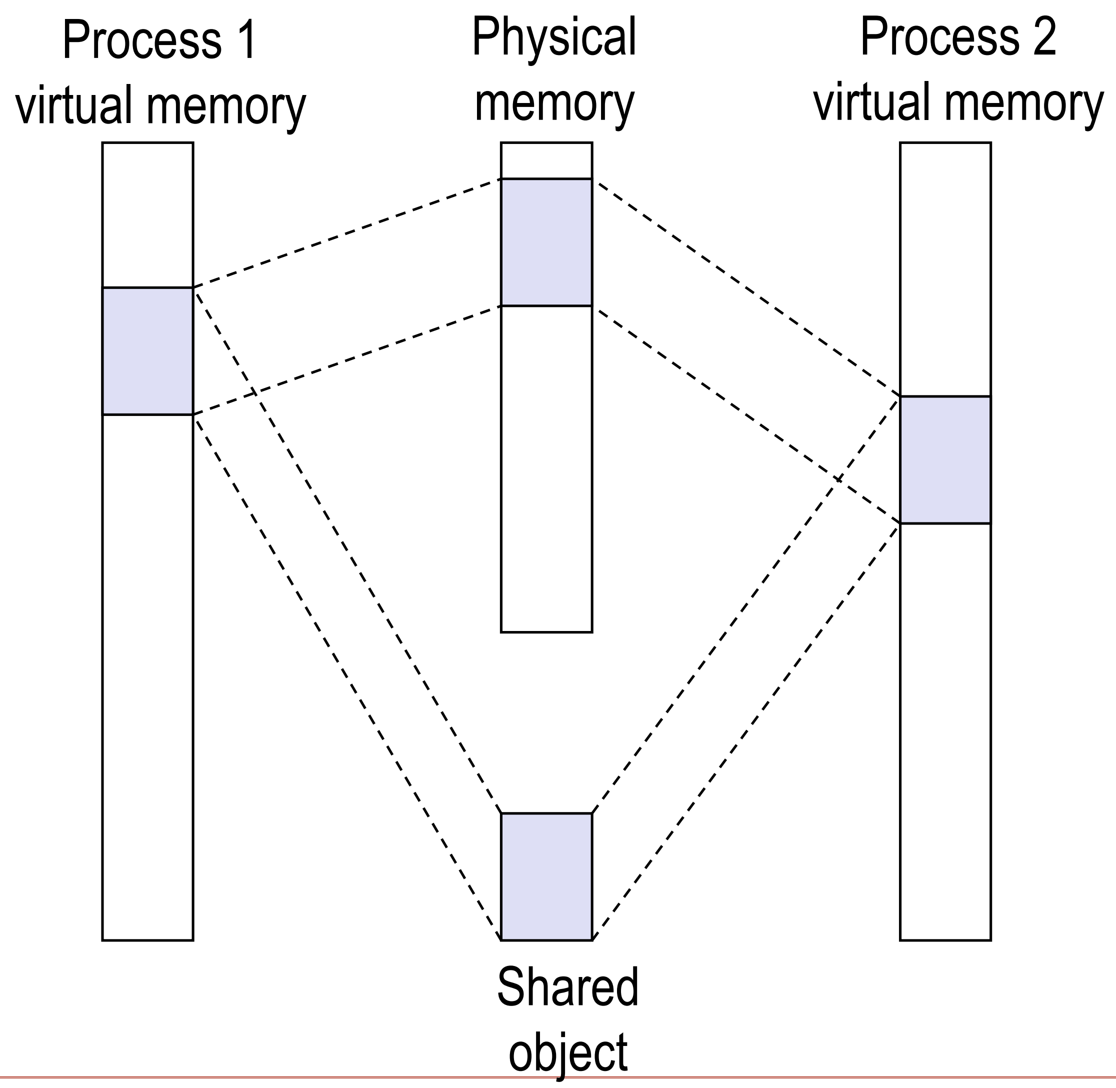
- **VM areas initialized by associating them with disk objects.**
  - Known as ***memory mapping***.
- **Area can be *backed by* (i.e., get its initial values from) :**
  - ***Regular file*** on disk (e.g., an executable object file)
    - Initial page bytes come from a section of a file
  - ***Anonymous file*** (e.g., nothing)
    - First fault will allocate a physical page full of 0's (***demand-zero page***)
    - Once the page is written to (***dirtied***), it is like any other page
- **Dirty pages are copied back and forth between memory and a special *swap file*.**

Sharing Revisited: Shared Objects



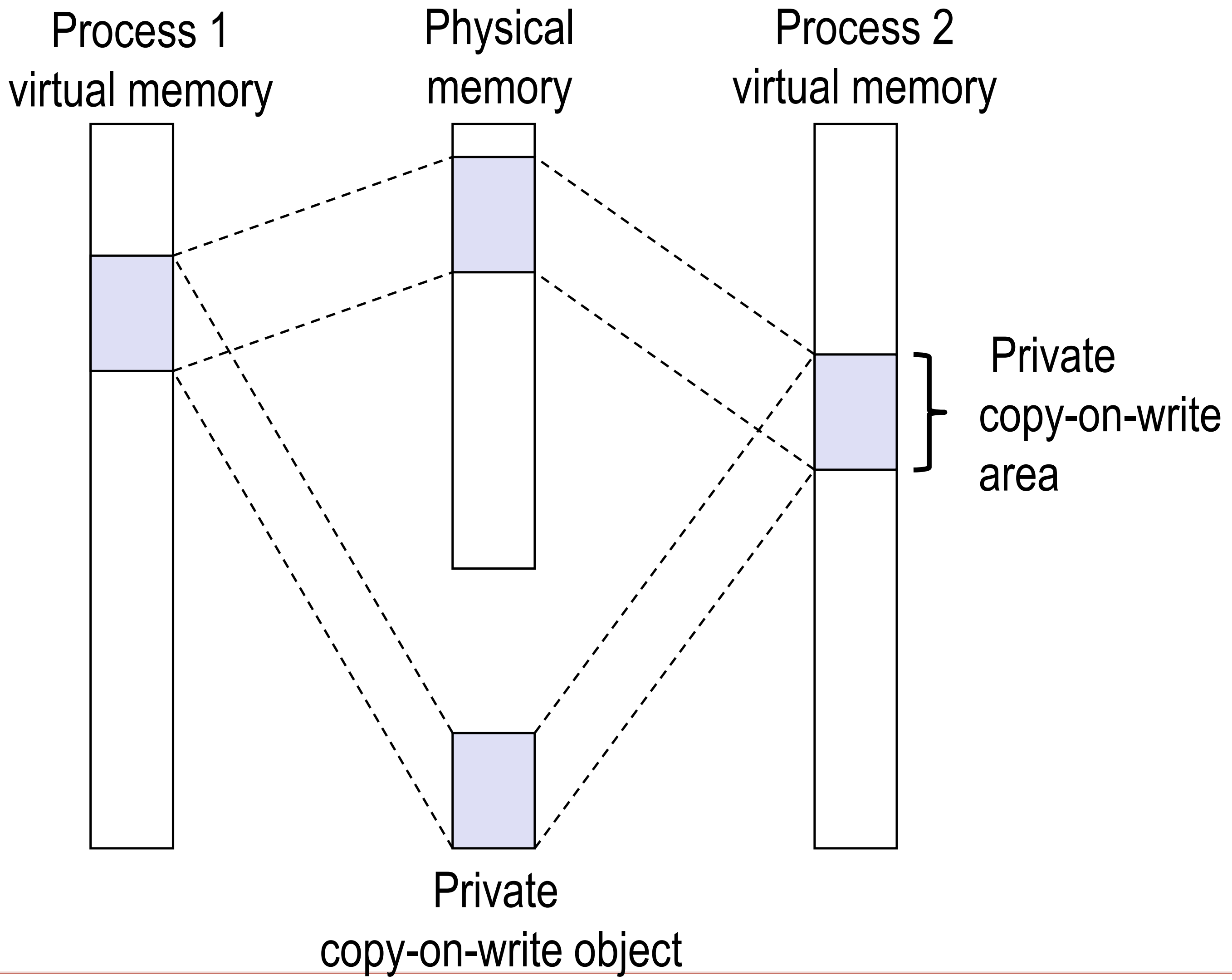
- **Process 1 maps the shared object.**

Sharing Revisited: Shared Objects



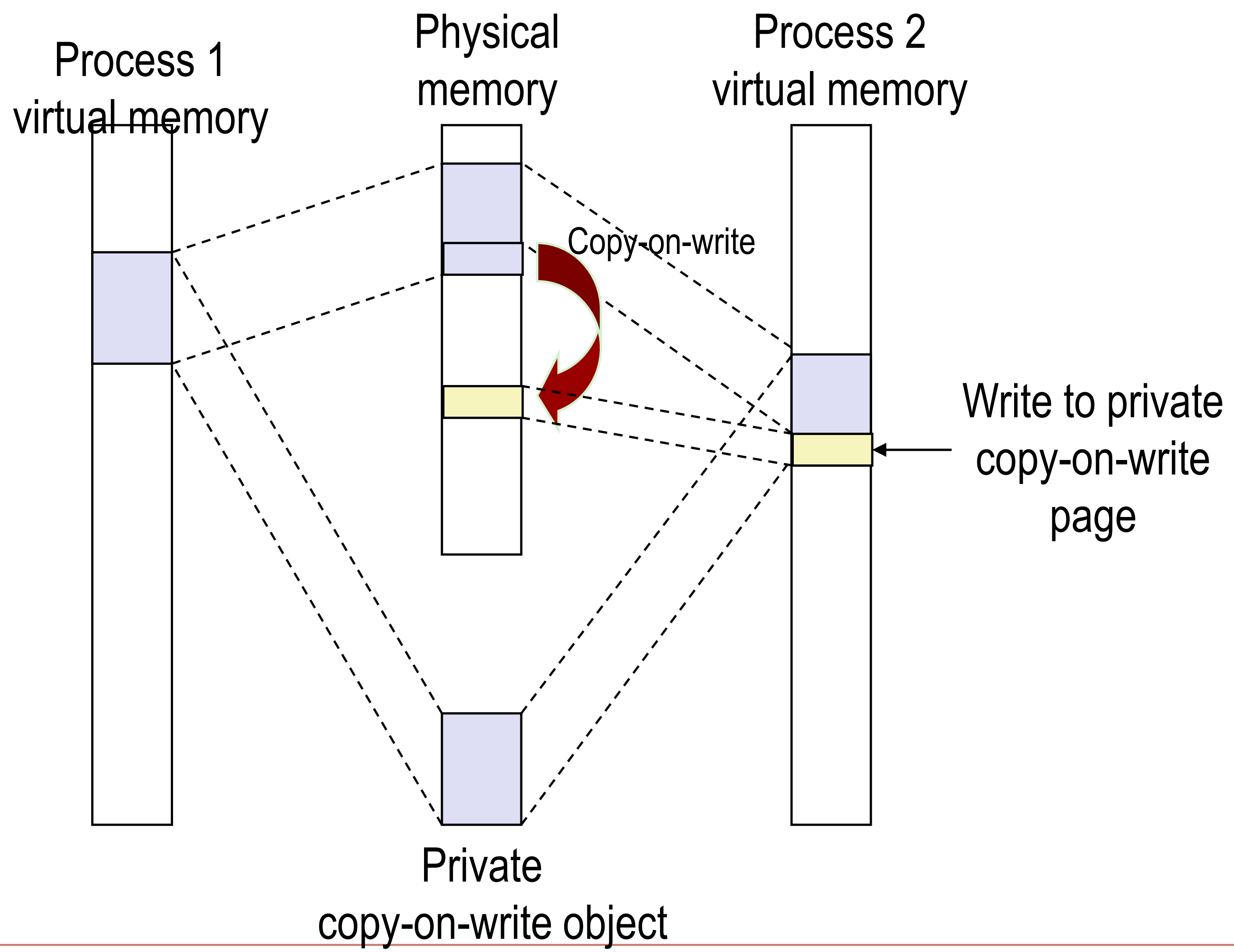
- **Process 2 maps the shared object.**
- Notice how the virtual addresses can be different.

Sharing Revisited: Private Copy-on-write (COW) Objects



- **Two processes mapping a *private copy-on-write (COW)* object.**
- **Area flagged as private copy-on-write**
- **PTEs in private areas are flagged as read-only**

Sharing Revisited: Private Copy-on-write (COW) Objects



- **Instruction writing to private page triggers protection fault.**
- **Handler creates new R/W page.**
- **Instruction restarts upon handler return.**
- **Copying deferred as long as possible!**

The `fork` Function Revisited

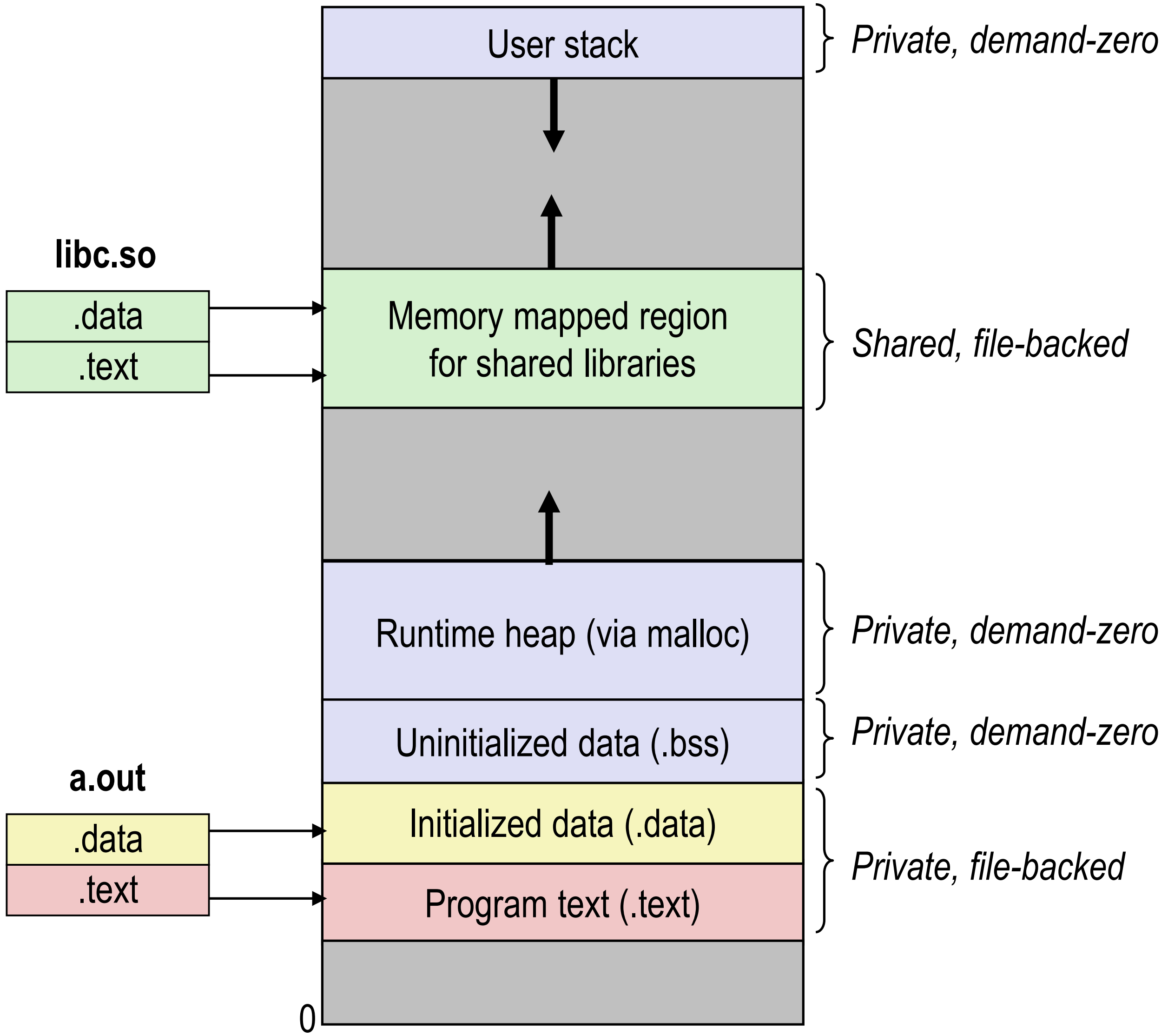
- **VM and memory mapping explain how `fork` provides private address space for each process.**

- **To create virtual address for new new process**
  - Create exact copies of current `mm_struct`, `vm_area_struct`, and page tables.
  - Flag each page in both processes as read-only
  - Flag each `vm_area_struct` in both processes as private COW

- **On return, each process has exact copy of virtual memory**

- **Subsequent writes create new pages using COW mechanism.**

The **execve** Function Revisited



- **To load and run a new program `a.out` in the current process using `execve`:**
- **Free `vm_area_struct`'s and page tables for old areas**
- **Create `vm_area_struct`'s and page tables for new areas**
  - Programs and initialized data backed by object files.
  - `.bss` and stack backed by anonymous files .
- **Set PC to entry point in `.text`**
  - Linux will fault in code and data pages as needed.

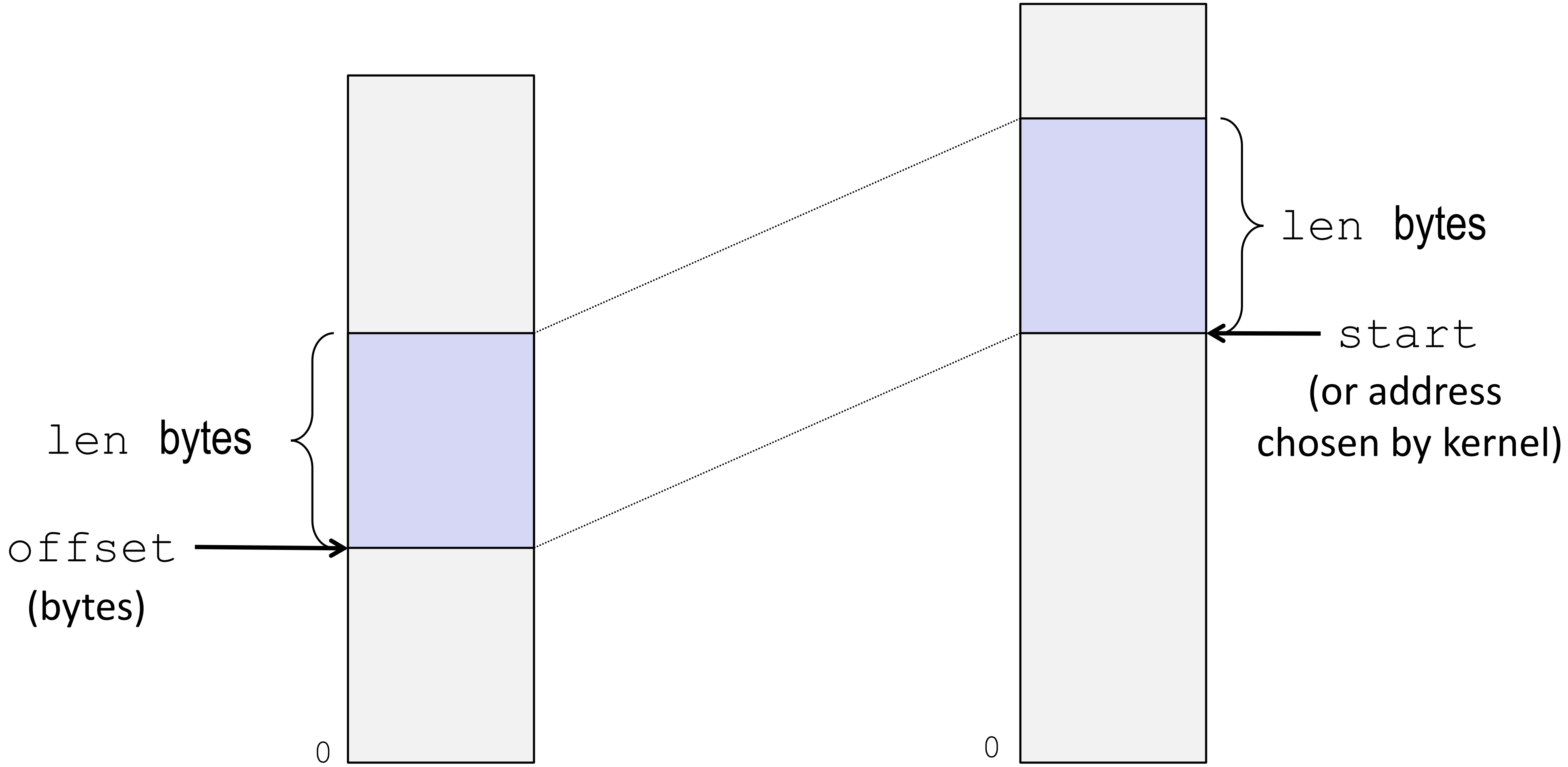
User-Level Memory Mapping

```
void *mmap(void *start, int len,
           int prot, int flags, int fd, int offset)
```

- **Map `len` bytes starting at offset `offset` of the file specified by file description `fd`, preferably at address `start`**
  - `start`: may be 0 for "pick an address"
  - `prot`: PROT_READ, PROT_WRITE, ...
  - `flags`: MAP_ANON, MAP_PRIVATE, MAP_SHARED, ...
- **Return a pointer to start of mapped area (may not be `start`)**

User-Level Memory Mapping

```
void *mmap(void *start, int len,
           int prot, int flags, int fd, int offset)
```



Disk file specified by file descriptor `fd`

Process virtual memory

Example: Using mmap to Copy Files

- Copying a file to `stdout` without transferring data to user space .

```
#include "csapp.h"

void mmapcopy(int fd, int size)
{

    /* Ptr to memory mapped area */
    char *bufp;

    bufp = Mmap(NULL, size,
                PROT_READ,
                MAP_PRIVATE,
                fd, 0);
    Write(1, bufp, size);
    return;
}
```

mmapcopy.c

```
/* mmapcopy driver */
int main(int argc, char **argv)
{
    struct stat stat;
    int fd;

    /* Check for required cmd line arg */
    if (argc != 2) {
        printf("usage: %s <filename>\n",
               argv[0]);
        exit(0);
    }

    /* Copy input file to stdout */
    fd = Open(argv[1], O_RDONLY, 0);
    Fstat(fd, &stat);
    mmapcopy(fd, stat.st_size);
    exit(0);
}
```

mmapcopy.c

Summary

- **Programmer's view of virtual memory**
  - Each process has its own private linear address space
  - Cannot be corrupted by other processes

- **System view of virtual memory**
  - Uses memory efficiently by caching virtual memory pages
    - Efficient only because of locality
  - Simplifies memory management and programming
  - Simplifies protection by providing a convenient interpositioning point to check permissions

18-600 Foundations of Computer Systems

Lecture 19: "Dynamic Memory Allocation"

John P. Shen & Zhiyi Yu
November 7, 2016

Next Time …

- Required Reading Assignment:
  - **Chapter 9 of CS:APP (3rd edition) by Randy Bryant & Dave O'Hallaron.**

Electrical & Computer ENGINEERING