# 15-410, Operating System Design & Implementation Pebbles Kernel Specification September 20, 2017

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## 1 Introduction

This document defines the correct behavior of kernels for the Fall 2017 edition of 15-410. The goal of this document is to supply information about behavior rather than implementation details. In Project 2 you will be given a kernel binary exhibiting these behaviors upon which to build your thread library; later, in Project 3, you will construct a kernel which behaves this way.

#### 1.1 Overview

The 410 kernel environment supports multiple address spaces via hardware paging, preemptive multitasking, and a small set of important system calls. Also, the kernel supplies device drivers for the keyboard, the console, and the interval timer.

# 2 User Execution Environment

#### 2.1 Tasks and Threads

The "Pebbles" kernel supports multiple independent *tasks*, each of which serves as a protection domain. A task's resources include various memory regions and "invisible" kernel resources (such as a queue of task-exit notifications). Some Pebbles kernels support file I/O, in which case file descriptors are task resources as well.

Execution proceeds by the kernel scheduling threads. Each thread represents an independently-schedulable register set; all memory references and all system calls issued by a thread represent accesses to resources defined and owned by the thread's enclosing task. A task may contain multiple threads, in which case all have equal access to all task resources. A carefully designed set of cooperating library routines can leverage this feature to provide a simplified version of POSIX "Pthreads."

Multiprocessor versions of the kernel may simultaneously run multiple threads of a single task, one thread for each of several tasks, or a mixture.

When a task begins execution of a new program, the operating system builds several memory regions from the executable file and command line arguments:

- A read-only code region containing machine instructions
- An optional read-only-constant data region
- A read/write data region containing some variables
- A stack region containing a mixture of variables and procedure call return information. The stack begins at some "large" address and extends downward for some fixed distance. A memory access which "runs off the bottom of the stack" will cause an exception, which by default will kill the thread performing the access (see Sections 2.2 and 2.3).

In addition, the task may add memory regions as specified below. All memory added to a task's address space after it begins running is zeroed before any thread of the task can access it.

Pebbles allows one task to create another though the use of the fork() and exec() system calls, which you will not need for Project 2 (the shell program which we provide so you can launch your test programs does use them).

## 2.2 Exception Handling

When a thread issues an instruction which results in an exception, the hardware transfers control to the kernel. If it is not possible to repair the program's execution and restart the instruction, it is the kernel's responsibility to kill the thread—generally after printing a detailed explanation of the exception to the system console. This last-resort behavior is described in the documentation for the vanish() system call (below). In the Pebbles environment, a thread's execution may be repaired in one of two ways. Each thread is entitled to catch and handle its own exceptions. However, in some situations an exception occurs not because a thread did something wrong but because the exception helps the kernel achieve some secret purpose. In those situations the kernel should secretly handle the exception.

#### 2.2.1 Software Exception Handling

Each thread may optionally register with the kernel a "software exception handler" (see the swexn() system call description below). If a thread encounters an exception while it has an exception handler registered, the kernel will attempt to invoke that handler instead of carrying out the default exception outcome. As a side effect of invoking the handler, the handler is de-registered.

A software exception handler is passed the execution state of the thread at the time of the exception, in the form of a set of register values (see Figure 1). The handler may inspect the saved execution state to determine what went wrong and may take various actions as it sees fit (e.g., printing messages, changing application state, and/or adjusting the address space). When the handler is complete, it will generally instruct the kernel to register a handler for the next exception and/or atomically replace its current registers with a set of values derived from the saved register state that caused the exception. The replacement execution state may result in the original instruction being retried or in some other instruction being run instead.

Because exceptions are synchronous to the instruction stream, there is no question about which order multiple exceptions for a single thread should be processed in: each time the hardware reports an exception, the kernel will invoke the thread's user-space exception handler, if registered, to handle

```
typedef struct ureg_t {
    unsigned int cause;
                        /* Or else zero. */
    unsigned int cr2;
    unsigned int ds;
    ...some registers omitted...
    unsigned int edi;
    unsigned int esi;
    ...some registers omitted...
    unsigned int error_code;
    unsigned int eip;
    unsigned int cs;
    unsigned int eflags;
    unsigned int esp;
    unsigned int ss;
} ureg_t;
```

Figure 1: struct ureg\_t from syscall.h

that exception. In general, the author of a software exception handler will wish to ensure that the handler does not itself encounter an exception, though this is not an absolute rule.

Note that this handler approach is used for *exceptions*, as opposed to *interrupts* or *traps*.

#### 2.2.2 Internal Exception Handling

Finally, note that page faults *generally* invoke the software exception mechanism, but kernels are free to add "secret" page faults in order to implement features such as copy-on-write; those "secret" page faults, which result though a thread has issued a *logically valid* memory request, are handled secretly by the kernel and do *not* cause a user-space software exception handler to be invoked.

#### 2.3 Stack Growth

Many runs of many programs use very little stack space, but some runs of some programs use quite a bit. It can be difficult to predict the exact amount of stack space a program will use. Traditional Unix kernels conveniently provide traditional single-threaded C programs with an automatically-growing stack region. When a program starts running, the kernel has provided a stack of some size; if the program "runs off the bottom" of this stack, the hardware will invoke an exception handler in the kernel. The kernel typically responds to memory exceptions which are not "too far" past the bottom of the stack by allocating new memory, growing the stack, and transparently restarting the program. If a traditional Unix kernel can't allocate more memory for the program, it generally terminates the program's execution.

It is less clear how to handle stack growth in multi-threaded programs: there are multiple stack regions, and it's difficult for a kernel to know how much memory to allocate to each one before refusing to add more.

In the Pebbles run-time environment, stack-growth behavior is completely in the hands of user code. When the first thread starts running in a newly created address space, the kernel will have provided a stack of some size (see the exec() documentation), constructed as if via a call to the new\_pages() system call; any future growth of that stack is then the responsibility of user-space application code. If a thread encounters a page fault, the user-space software exception handler has a chance to react; otherwise, the kernel's default policy will kill the thread. In the case of a page fault, the cause field of the ureg structure will be 14 (SWEXN\_CAUSE\_PAGEFAULT), the eip field will contain the address of the faulting instruction, the cr2 field will contain the memory address which resulted in the fault, and the error\_code field will contain the reason why that memory address was inaccessible (see Intel's documentation of the page-fault exception for details).

It is convenient to be able to run old-fashioned single-threaded C programs (e.g., cat, gcc) which expect automatic stack growth as part of a typical POSIX run-time environment. Naturally, these programs do not understand Pebbles software exception handlers. Therefore, one of your deliverables will be a piece of code which handles page faults and attempts to grow the stack as appropriate.

# 3 The System Call Interface

#### 3.1 Invocation and Return

User code will make requests of the kernel by issuing a trap instruction (which Intel calls a "software interrupt") using the INT instruction. Interrupt numbers are defined in spec/syscall\_int.h.

To invoke a system call, the following protocol is followed. If the system call takes one 32-bit parameter, it is placed in the **%esi** register. Then the appropriate trap, as defined in <code>spec/syscall\_int.h</code>, is raised via the INT x instruction (each system call has been assigned its own INT instruction, hence its own value of x). If the system call expects more than one 32-bit parameter, you should construct in memory a "system call packet" containing the parameters, with subsequent parameters occupying higher memory addresses, and place the *address* of the packet in **%esi**. The diagram below shows a system call packet for the readline() system call.

When the system call completes, the return value, if any, will be available in the %eax register.

### 3.2 Semantics of the System Call Interface

The 410 kernel verifies that every byte of every system call argument lies in a memory region which the invoking thread's task has appropriate permission to access. System calls will return an integer error code less than zero if any part of any argument is invalid.

No action taken by user code should *ever* cause the kernel to crash, hang, or otherwise fail to perform its job.

A thread may be terminated *only* if:

- The thread invokes the vanish() sytem call.
- Some thread in the containing task invokes the task\_vanish() system call.
- The thread receives a hardware exception due to executing an instruction it should not be able to execute and has no software exception handler registered (see the specification of vanish() below).

In no other circumstances may the kernel end the execution of a thread.

Many system calls have the property that there are multiple illegal invocations. For example, the readfile() system call takes a pointer parameter and a length parameter; for any given invocation of the system call, either parameter or both might be invalid. The kernel is allowed to carry out validity checks in any order which is convenient for it. In some situations, a validity check can be carried out "early" (before the kernel does a substantial amount of work related to a system call) or "late" (after some work has been done, perhaps including side effects visible to user code). In general, both "early" and "late" checks for validity are legal, as long as the way the system call invocation fails matches the description of the system call in a reasonable way.

# 3.3 System Call Stub Library

While the kernel provides system calls for your use, it does not provide a "C library" which accesses those calls. Before your programs can get the kernel to do anything for them, you will need to implement an assembly code "stub" for each system call.

Stub routines *must* be one per file and you should arrange for the Makefile infrastructure you are given to build them into libsyscall.a (see the README file in the tarball). While system call stubs resemble the trap handler wrappers you wrote for Project 1, they are different in one critical way. Since your kernel must always be ready to respond to any interrupt or trap, it can

potentially use every wrapper during each execution, and all must be linked (once) into the kernel executable. However, the average user program does *not* invoke every system call during the course of its execution. In fact, many user programs contain only a trivial amount of code. If you create one huge system call stub file containing the code to invoke every system call, the linker will happily append the huge of file to *every* user-level program you build and your "RAM disk" file system will overflow, probably when we are trying to grade your project. So don't do that.

While the project tarball contains a single syscall.c, full of blank system call stubs, this is only a convenience so that you can link test programs before you have completed all your stubs—as you write each stub, this file should get smaller until eventually being deleted.

When building your stub library, you *must* match the declarations we have provided in <code>spec/syscall.h</code> in every detail. Otherwise, our test programs will not link against your stub library. If you think there is a problem with a declaration we have given you, explain your thinking to us—don't just "fix" the declaration. Any system-call entry code which doesn't map straightforwardly from a declaration in <code>syscall.h</code> into code isn't a "genuine" stub routine and shouldn't be part of <code>libsyscall.a</code>—code specific to some application or facility should be in the appropriate place in the directory tree.

Please remember your x86 calling convention rules. If you modify any callee-saved registers inside your stub routines, you must restore their values before returning to your caller. The kernel, of course, always preserves the values of all user-modifiable registers except when it explicity modifies them according to the system call specifications.

# 4 System Call Specifications

#### 4.1 Overview

The system calls provided by the 410 kernel can be broken into five groups, namely

- Life Cycle
- Thread Management
- Memory Management
- Console I/O
- Miscellaneous System Interaction

The following descriptions of system calls use C function declaration syntax even though the actual system call interface, as described in Section 3, is defined in terms of assembly-language primitives. This means that student teams must write a system call stub library, as described in Section 3.3, in order to invoke any system calls. This stub library is a deliverable.

Unless otherwise noted, system calls return zero on success and an error code less than zero if something goes wrong.

One system call, thread\_fork, is presented without a C-style declaration. This is because the actions performed by thread\_fork are outside of the scope of, and manipulate, the C language runtime environment. You will need to determine for yourself the correct manner and context for invoking thread\_fork. It is not an oversight that thread\_fork is "missing" from syscall.h, and you must not "fix" this oversight. If you feel a need to declare a C function called thread\_fork(), think carefully about whether that is really the best name for the function, what parameters it should take, who needs to "see" the declaration, etc.

#### 4.2 Task & Thread IDs

Task and thread identification numbers are monotonically increasing throughout the execution of the kernel. In other words, once there is a thread #35, there will not be another thread #35 until an intervening two billion threads have been created.

## 4.3 Life Cycle

This group contains system calls which manage the creation and destruction of tasks and threads.

• int fork(void) - Creates a new task. The new task receives an exact, coherent copy of all memory regions of the invoking task. The new task contains a single thread which is a copy of the thread invoking fork() except for the return value of the system call. If fork() succeeds, the invoking thread will receive the ID of the new task's thread and the newly created thread will receive the value zero. The exit status (see below) of a newly-created task is 0. If a thread in the task invoking fork() has a software exception handler registered, the corresponding thread in the newly-created task will have exactly the same handler registered.

Errors are reported via a negative return value, in which case no new task has been created. Some Pebbles kernel implementations reject calls to fork() which take place while the invoking task contains more than one thread.

• thread\_fork - Creates a new thread in the current task (i.e., the new thread will share all task resources as described in Section 2.1). The value of %esi is ignored, i.e., the system call has no parameters.

The invoking thread's return value in **%eax** is the thread ID of the newly-created thread; the new thread's return value is zero. *All* other registers in the new thread will be initialized to the same values as the corresponding registers in the old thread. A thread newly created by thread\_fork has no software exception handler registered.

Threads are runnable as soon as they are created.

Errors are reported via a negative return value, in which case no new thread has been created. Some Pebbles kernel versions reject calls to fork() or exec() which take place while the invoking task contains more than one thread.

• int exec(char \*execname, char \*\*argvec) - Replaces the program currently running in the invoking task with the program stored in the file named execname. The argument argvec points to a null-terminated vector of null-terminated string arguments.

The number of strings in the vector and the vector itself will be transported into the memory of the new program where they will serve as the first and second arguments of the the new program's main(), respectively. Before the new program begins, %EIP will be set to the "entry point" (the first instruction of the main() wrapper, as advertised by the ELF linker). The stack pointer, %ESP, will be initialized appropriately so that the main() wrapper receives four parameters:

- 1. int argc count of strings in argv
- 2. char \*argv[] argument-string vector
- 3. void \*stack\_high highest legal (byte) address of the initial stack

4. void \*stack\_low - lowest legal (byte) address of the initial stack

It is conventional that argvec[0] is the same string as execname and argvec[1] is the first command line parameter, etc. Some programs will behave oddly if this convention is not followed.

Reasonable limits may be placed on the number of arguments that a user program may pass to exec(), and the length of each argument.

The kernel does as much validation as possible of the exec() request before deallocating the old program's resources.

On success, this system call does not return to the invoking program, since it is no longer running. If something goes wrong, an integer error code less than zero will be returned.

After a successful exec() the thread that begins execution of the new program has no software exception handler registered.

Some Pebbles kernel versions reject calls to exec() which take place while the invoking task contains more than one thread.

- void set\_status(int status) Sets the exit status of the current task to status.
- void vanish(void) Terminates execution of the calling thread "immediately." If the invoking thread is the last thread in its task, the kernel deallocates all resources in use by the task and makes the exit status of the task available to the parent task (the task which created this task using fork()) via wait(). If the parent task is no longer running, the exit status of the task is made available to the kernel-launched "init" task instead. The statuses of any child tasks that have not been collected via wait() should also be made available to the kernel-launched "init" task.

If the kernel decides to kill a thread, the effect should be as follows:

- The kernel should display an appropriate message on the console, generally including the reason the thread was killed and a register dump,
- If the thread is the sole thread in its task, the kernel should do the equivalent of set\_status(-2),
- The kernel should perform the equivalent of vanish() on behalf of the thread.

The vanish() of one thread, voluntary or involuntary, does not cause the kernel to destroy other threads in the same task.

#### • int wait(int \*status\_ptr) -

Collects the exit status of a task and stores it in the integer referenced by status\_ptr.

If no error occurs, the return value of wait() is the thread ID of the *original* thread of the exiting task, *not* the thread ID of the last thread in that task to vanish(). This should make sense if you consider how fork() and wait() interact.

The wait() system call may be invoked simultaneously by any number of threads in a task; exited child tasks may be matched to wait()'ing threads in any non-pathological way. Threads which cannot collect an already-exited child task when there exist child tasks which have not yet exited will generally block until a child task exits and collect the status of an exited child

task. However, threads which will definitely not be able to collect the status of an exited child task in the future must not block forever; in that case, wait() will return an integer error code less than zero.

The invoking thread may specify a status\_ptr parameter of zero (NULL) to indicate that it wishes to collect the ID of an exited task but wishes to ignore the exit status of that task. Otherwise, if the status\_ptr parameter does not refer to writable memory, wait() will return an integer error code less than zero instead of collecting a child task.

• void task\_vanish(int status) - Causes all threads of a task to vanish(). The exit status of the task, as returned via wait(), will be the value of the status parameter.

The threads must vanish() "in a timely fashion," meaning that it is *not* ok for task\_vanish() to "wait around" for threads to complete very-long-running or unbounded-time operations.

## 4.4 Thread Management

- int gettid() Returns the thread ID of the invoking thread.
- int yield(int tid) Defers execution of the invoking thread to a time determined by the scheduler, in favor of the thread with ID tid. If tid is -1, the scheduler may determine which thread to run next. Ideally, the only threads whose scheduling should be affected by yield() are the calling thread and the thread that is yield()ed to. If the thread with ID tid does not exist, is awaiting an external event in a system call such as readline() or wait(), or has been suspended via a system call, then an integer error code less than zero is returned. Zero is returned on success.
- int deschedule(int \*reject) Atomically checks the integer pointed to by reject and acts on it. If the integer is non-zero, the call returns immediately with return value zero. If the integer pointed to by reject is zero, then the calling thread will not be run by the scheduler until a make\_runnable() call is made specifying the deschedule()'d thread, at which point deschedule() will return zero.

An integer error code less than zero is returned if reject is not a valid pointer.

This system call is *atomic* with respect to make\_runnable(): the process of examining reject and suspending the thread will not be interleaved with any execution of make\_runnable() specifying the thread calling deschedule().

- int make\_runnable(int tid) Makes the deschedule()d thread with ID tid runnable by the scheduler. On success, zero is returned. An integer error code less than zero will be returned unless tid is the ID of a thread which exists but is currently non-runnable due to a call to deschedule().
- unsigned int get\_ticks(void) Returns the number of timer ticks which have occurred since system boot.
- int sleep(int ticks) Deschedules the calling thread until at least ticks timer interrupts have occurred after the call. Returns immediately if ticks is zero. Returns an integer error code less than zero if ticks is negative. Returns zero otherwise.

• typedef struct ureg\_t { // see Figure 1 } ureg\_t
typedef void (\*swexn\_handler\_t)(void \*arg, ureg\_t \*ureg)
int swexn(void \*esp3, swexn\_handler\_t eip, void \*arg, ureg\_t \*newureg) -

If esp3 and/or eip are zero, de-register an exception handler if one is currently registered.

If both esp3 and eip are non-zero, attempt to register a software exception handler. The parameter esp3 specifies an exception stack; it points to an address one word higher than the first address that the kernel should use to push values onto the exception stack. The parameter eip points to the first instruction of the handler function.

Whether or not a handler is being registered or de-registered, if newureg is non-zero, the kernel is requested to adopt the specified register values (including %EIP!) before the swexn() system call returns to user space (see syscall.h for a description of the ureg\_t structure and the register values it contains).

If a single invocation of the swexn() system call attempts to register or de-register a handler, and also attempts to specify new register values, and either request cannot be carried out, neither request will be.

If the invocation is invalid (e.g., the kernel is unable to obtain a complete set of registers by dereferencing a non-zero **newureg** pointer), an error code less than zero is returned (and no change is made to handler registration or register values).

The kernel **MUST** ensure that it does not allow a thread to assume register values which are unsafe in the sense of allowing the thread to crash the kernel. However, if a thread specifies **newureg** register values that will cause *the thread* to "crash," that is not the kernel's responsibility.

The kernel should also reject eip and esp3 values which are "clearly wrong" at the time of invocation. On the other hand, the kernel is not responsible for ensuring that values of those parameters which "appear reasonable" will always in the future lead to satisfactory execution for the thread.

It is not an error to register a new handler if one was previously registered or to de-register a handler when one was not registered.

When a software exception handler function (swexn\_handler\_t) begins running, it will be invoked via a stack frame which specifies two parameters, an opaque void\* which was specified when the handler was registered, and a pointer to a ureg area, which will be stored on the exception stack. The return address of the function will be some invalid address. Before the first instruction of the handler is run, the handler is automatically de-registered by the kernel.

The kernel should ensure when it invokes a software exception handler that the register values are sufficiently reasonable that the handler can run, assuming a reasonable handler was registered. Also, registers whose value is genuinely undefined when the handler is launched should be blanked to zero.

# 4.5 Memory Management

• int new\_pages(void \*base, int len) - Allocates new memory to the invoking task, starting at base and extending for len bytes.

new\_pages() will fail, returning a negative integer error code, if base is not page-aligned, if len is not a positive integral multiple of the system page size, if any portion of the region

represents memory already in the task's address space, if any portion of the region intersects a part of the address space reserved by the kernel,<sup>1</sup> or if the operating system has insufficient resources to satisfy the request.

Otherwise, the return code will be zero and the new memory will immediately be visible to all threads in the invoking task.

• int remove\_pages(void \*base) - Deallocates the specified memory region, which must presently be allocated as the result of a previous call to new\_pages() which specified the same value of base. Returns zero if successful or returns a negative integer failure code.

# 4.6 Console I/O

• int getchar() - Returns a single character from the character input stream. If the input stream is empty the thread is descheduled until a character is available. If some other thread is descheduled on a readline() or getchar(), then the calling thread must block and wait its turn to access the input stream. Characters processed by the getchar() system call should not be echoed to the console.

If the return code is zero or greater the low-order eight bits contain a character; otherwise, a negative integer failure code is returned.

• int readline(int len, char \*buf) - Reads the next line from the console and copies it into the buffer pointed to by buf.

If there is no line of input currently available, the calling thread is descheduled until one is. If some other thread is descheduled on a readline() or a getchar(), then the calling thread must block and wait its turn to access the input stream. The length of the buffer is indicated by len. If the line is smaller than the buffer, then the complete line including the newline character is copied into the buffer. If the length of the line exceeds the length of the buffer, only len characters should be copied into buf. Available characters should not be committed into buf until there is a newline character available, so the user has a chance to backspace over typing mistakes.

Characters that will be consumed by a readline() should be echoed to the console as soon as possible. If there is no outstanding call to readline() no characters should be echoed. Echoed user input may be interleaved with output due to calls to print(). Characters not placed in the buffer should remain available for other calls to readline() and/or getchar(). Some Pebbles kernel implementations may choose to regard characters which have been echoed to the screen but which have not been placed into a user buffer to be "dedicated" to readline() and not available to getchar().

The readline system call returns the number of bytes copied into the buffer. An integer error code less than zero is returned if buf is not a valid memory address, if buf falls in a read-only memory region of the task, or if len is "unreasonably" large.<sup>2</sup>

• int print(int len, char \*buf) - Prints len bytes of memory, starting at buf, to the console. The calling thread should not continue until all characters have been printed to the

<sup>&</sup>lt;sup>1</sup>Kernels are expected not to make tasteless reservations, e.g., the 18th megabyte.

<sup>&</sup>lt;sup>2</sup>Deciding on this threshold is easier than it may seem at first, so if you feel like you need to ask us for a clarification you should probably think further.

console. Output of two concurrent print()s should not be intermixed. If len is larger than some reasonable maximum or if buf is not a valid memory address, an integer error code less than zero should be returned.

Characters printed to the console invoke standard newline, backspace, and scrolling behaviors.

- int set\_term\_color(int color) Sets the terminal print color for any future output to the console. If color does not specify a valid color, an integer error code less than zero should be returned. Zero is returned on success.
- int set\_cursor\_pos(int row, int col) Sets the cursor to the location (row, col). If the location is not valid, an integer error code less than zero is returned. Zero is returned on success.
- int get\_cursor\_pos(int \*row, int \*col) Writes the current location of the cursor to the integers addressed by the two arguments. If either argument is invalid, an error code less than zero is returned and the values of *both* integers are undefined. Zero is returned on success.

### 4.7 Miscellaneous System Interaction

• int readfile(char \*filename, char \*buf, int count, int offset) - Attempts to fill the user-specified buffer buf with count bytes starting offset bytes from the beginning of the RAM disk file specified by filename. If there are fewer than count bytes left in the file starting at offset, then as many bytes as remain are copied.

Returns an error code less than zero if no file with the given name exists, count is negative, offset is less than zero or greater than the size of the file, or buf is not a valid buffer large enough to store count bytes. In this case, the contents of buf are undefined. Otherwise, the number of bytes stored into the buffer is returned (note that this value may be zero in some cases).

It is conventional that a file named "." exists which contains a list of the files which readfile() can access. Each entry in this file is null-terminated, and there is an extra null byte after the last filename's terminating null.

• void halt() - Ceases execution of the operating system. The exact operation of this system call depends on the kernel's implementation and execution environment. Kernels running under Simics should shut down the simulation via a call to SIM\_halt(). However, implementations should be prepared to do something reasonable if SIM\_halt() is a no-op, which will happen if the kernel is run on real hardware.