# 18-447 Computer Architecture Lecture 3: ISA Tradeoffs

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### Agenda for Today

Deep dive into ISA and its tradeoffs

### Upcoming Readings

- Next Week (More ISA Tradeoffs + Your Lab + Homework):
  - MIPS ISA Tutorial
  - P&P Chapter 5: LC-3 ISA
  - □ P&P, revised Appendix A − LC3b ISA
- The Week After (Microarchitecture):
  - P&H, Chapter 4, Sections 4.1-4.4
  - P&P, revised Appendix C LC3b datapath and microprogrammed operation
- We have provided example critical reviews for you to see, on the course website

#### Last Lecture Recap

- Levels of Transformation
  - Algorithm, ISA, Microarchitecture
- Moore's Law
- What is Computer Architecture
- Why Study Computer Architecture
- Fundamental Concepts
- Von Neumann Model
- Dataflow Model
- ISA vs. Microarchitecture
- Assignments: HW0 (today!), Lab1 (Jan 23), HW1 (Jan 28)

#### Review: ISA vs. Microarchitecture

#### ISA

- Agreed upon interface between software and hardware
  - SW/compiler assumes, HW promises
- What the software writer needs to know to write and debug system/user programs
- Microarchitecture
  - Specific implementation of an ISA
  - Not visible to the software
- Microprocessor
  - ISA, uarch, circuits
  - "Architecture" = ISA + microarchitecture

Problem
Algorithm
Program
ISA
Microarchitecture
Circuits

Electrons

#### Review: ISA

#### Instructions

- Opcodes, Addressing Modes, Data Types
- Instruction Types and Formats
- Registers, Condition Codes

#### Memory

- Address space, Addressability, Alignment
- Virtual memory management
- Call, Interrupt/Exception Handling
- Access Control, Priority/Privilege
- I/O: memory-mapped vs. instr.
- Task/thread Management
- Power and Thermal Management
- Multi-threading support, Multiprocessor support



Intel® 64 and IA-32 Architectures Software Developer's Manual

> Volume 1: Basic Architecture

#### Microarchitecture

- Implementation of the ISA under specific design constraints and goals
- Anything done in hardware without exposure to software
  - Pipelining
  - In-order versus out-of-order instruction execution
  - Memory access scheduling policy
  - Speculative execution
  - Superscalar processing (multiple instruction issue?)
  - Clock gating
  - Caching? Levels, size, associativity, replacement policy
  - Prefetching?
  - Voltage/frequency scaling?
  - Error correction?

### Property of ISA vs. Uarch?

- ADD instruction's opcode
- Number of general purpose registers
- Number of ports to the register file
- Number of cycles to execute the MUL instruction
- Whether or not the machine employs pipelined instruction execution

- Remember
  - Microarchitecture: Implementation of the ISA under specific design constraints and goals

### Design Point

- A set of design considerations and their importance
  - leads to tradeoffs in both ISA and uarch
- Considerations
  - Cost
  - Performance
  - Maximum power consumption
  - Energy consumption (battery life)
  - Availability
  - Reliability and Correctness
  - Time to Market

Problem
Algorithm
Program
ISA
Microarchitecture
Circuits
Electrons

 Design point determined by the "Problem" space (application space), the intended users/market

### Application Space

#### Dream, and they will appear...

Other examples of the application space that continue to drive the need for unique design points are the following:

- scientific applications such as those whose computations control nuclear power plants, determine where to drill for oil, and predict the weather;
- transaction-based applications such as those that handle ATM transfers and e-commerce business;
- business data processing applications, such as those that handle inventory control, payrolls, IRS activity, and various personnel record keeping, whether the personnel are employees, students, or voters;
- network applications, such as high-speed routing of Internet packets, that enable the connection of your home system to take advantage of the Internet;
- guaranteed delivery (a.k.a. real time) applications that require the result of a computation by a certain critical deadline;
- embedded applications, where the processor is a component of a larger system that is used to solve the (usually) dedicated application;
- media applications such as those that decode video and audio files;
- random software packages that desktop users would like to run on their PCs.

Each of these application areas has a very different set of characteristics. Each application area demands a different set of tradeoffs to be made in specifying the microprocessor to do the job.

### Tradeoffs: Soul of Computer Architecture

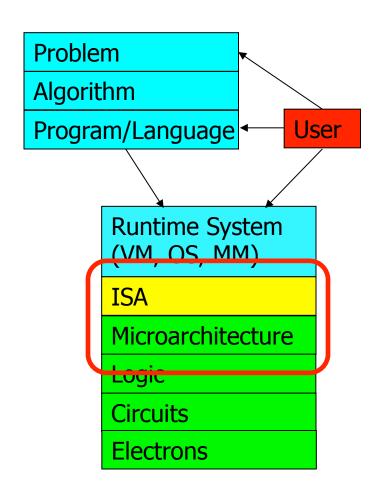
- ISA-level tradeoffs
- Microarchitecture-level tradeoffs
- System and Task-level tradeoffs
  - How to divide the labor between hardware and software

- Computer architecture is the science and art of making the appropriate trade-offs to meet a design point
  - Why art?

### Why Is It (Somewhat) Art?

New demands from the top (Look Up)

New issues and capabilities at the bottom (Look Down)

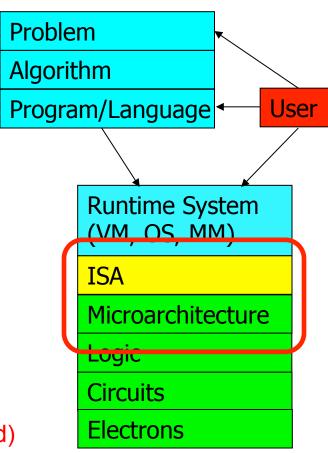


New demands and personalities of users (Look Up)

We do not (fully) know the future (applications, users, market)

### Why Is It (Somewhat) Art?

Changing demands at the top (Look Up and Forward)



Changing demands and personalities of users (Look Up and Forward)

Changing issues and capabilities at the bottom (Look Down and Forward)

And, the future is not constant (it changes)!

### Analogue from Macro-Architecture

- Future is not constant in macro-architecture, either
- Example: Can a power plant boiler room be later used as a classroom?

#### Macro-Architecture: Boiler Room

At the west end of campus was a small structure that housed the boiler room that functioned as the school's power plant. Below, in the rain beside the railroad tracks, a farmer's goat grazed and occasionally wandered up to eat the grass of this yet untamed end of campus.

Over a 20 month period from 1912 - 1914, Machinery Hall was built on top of that boiler room. The massive tower, which has become a symbol of Carnegie Mellon, was designed to disguise the smokestack. Architect Henry Hornbostel had created a "temple of technology" that would become one of the most renowned buildings of the Beaux Arts style in the country.

Early course catalogs described the boiler room as a classroom where students learned about power generating machinery. The tower continued to belch smoke until 1975, but in 1979 the boiler room became the cleanest room on campus with the construction of the Nanofabrication Facility. The coal bin area became the offices and computer room of the D-level.

### How Can We Adapt to the Future

- This is part of the task of a good computer architect
- Many options (bag of tricks)
  - Keen insight and good design
  - Good use of fundamentals and principles
    - Efficient design
    - Heterogeneity
    - Reconfigurability
    - **...**
  - Good use of the underlying technology
  - ...

## ISA Principles and Tradeoffs

### Many Different ISAs Over Decades

- **x86**
- PDP-x: Programmed Data Processor (PDP-11)
- VAX
- IBM 360
- CDC 6600
- SIMD ISAs: CRAY-1, Connection Machine
- VLIW ISAs: Multiflow, Cydrome, IA-64 (EPIC)
- PowerPC, POWER
- RISC ISAs: Alpha, MIPS, SPARC, ARM
- What are the fundamental differences?
  - E.g., how instructions are specified and what they do
  - E.g., how complex are the instructions

#### Instruction

- Basic element of the HW/SW interface
- Consists of
  - opcode: what the instruction does
  - operands: who it is to do it to
  - Example from the Alpha ISA:

31 26	25 21	20 16	15	5 4	. 0	
Opcode			Number			PALcode Format
Opcode	RA		Disp			Branch Format
Opcode	RA	RB	Disp	)		Memory Format
Opcode	RA	RB	Function		RC	Operate Format

### MIPS

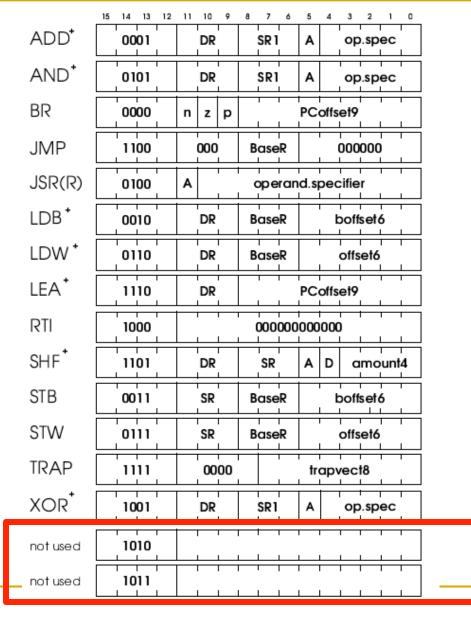
0	rs	rt	rd	shamt	funct		R-type							
6-bit	5-bit	5-bit	5-bit	5-bit	6-bit									
opcode	rs		l-type											
6-bit	5-bit	5-bit	16-bit				,							
opcode	immedia	•	J-type											
6-bit	26-bit						-							

#### ARM

#### 

Cond	0	0	I	C	po	cod	le	S	Rn R					Operand 2						d 2		Data Processing / PSR Transfer
Cond	0	0	0	0	0	0	Α	s	Rd		Rn			Rs				0	0	1	Rm	Multiply
Cond	0	0	0	0	1	U	Α	s	RdHi	F	RdLo			Rn				0	0	1	Rm	Multiply Long
Cond	0	0	0	1	0	В	0	0	Rn		Rd		0	0	0	0	1	0	0	1	Rm	Single Data Swap
Cond	0	0	0	1	0	0	1	0	1 1 1 1	1	1 1	1	1	1	1	1	0	0	0	1	Rn	Branch and Exchange
Cond	0	0	0	Р	U	0	w	L	Rn		Rd			0	0	0	1	S	Н	1	Rm	Halfword Data Transfer: register offset
Cond	0	0	0	Р	U	1	w	L	Rn	Rd			Offset			1	S	Н	1	Offset	Halfword Data Transfer: immediate offset	
Cond	0	1	ī	Р	U	В	w	L	Rn		Rd			Offset								Single Data Transfer
Cond	0	1	1		•					1									Undefined			
Cond	1	0	0	Р	U	s	W	L	Rn	Rn Register List E								Block Data Transfer				
Cond	1	0	1	L		_	_	_				Off	se	t								Branch
Cond	1	1	0	Р	U	N	w	L	Rn	CRd			CP#			Offset			Off	set	Coprocessor Data Transfer	
Cond	1	1	1	0	(	Р	Or	С	CRn	(	CRd			CP#			CP	)	0	CRm	Coprocessor Data Operation	
Cond	1	1	1	0	CF	PC	pc	L	CRn	Rd		CP#				CP	)	1	CRm	Coprocessor Register Transfer		
Cond	1	1	1	1	Ignored by processor							Software Interrupt										

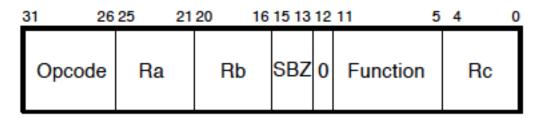
### Set of Instructions, Encoding, and Spec

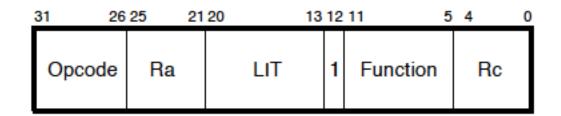


- Example from LC-3b ISA
  - http:// www.ece.utexas.edu/ ~patt/11s.460N/ handouts/new byte.pdf
- x86 Manual
- Why unused instructions?
- Aside: concept of "bit steering"
  - A bit in the instruction determines the interpretation of other bits

### Bit Steering in Alpha

Figure 3-4: Operate Instruction Format





If bit <12> of the instruction is 0, the Rb field specifies a source register operand.

If bit <12> of the instruction is 1, an 8-bit zero-extended literal constant is formed by bits <20:13> of the instruction. The literal is interpreted as a positive integer between 0 and 255 and is zero-extended to 64 bits. Symbolically, the integer Rbv operand is formed as follows:

#### What Are the Elements of An ISA?

#### Instruction sequencing model

- Control flow vs. data flow
- Tradeoffs?

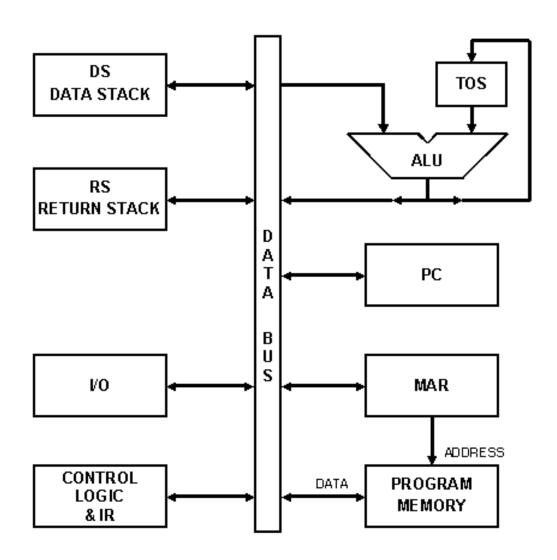
#### Instruction processing style

- Specifies the number of "operands" an instruction "operates" on and how it does so
- □ 0, 1, 2, 3 address machines
  - 0-address: stack machine (op, push A, pop A)
  - 1-address: accumulator machine (op ACC, ld A, st A)
  - 2-address: 2-operand machine (op S,D; one is both source and dest)
  - 3-address: 3-operand machine (op S1,S2,D; source and dest separate)
- Tradeoffs? See your homework question
  - Larger operate instructions vs. more executed operations
  - Code size vs. execution time vs. on-chip memory space

### An Example: Stack Machine

- + Small instruction size (no operands needed for operate instructions)
  - Simpler logic
  - Compact code
- + Efficient procedure calls: all parameters on stack
  - No additional cycles for parameter passing
- -- Computations that are not easily expressible with "postfix notation" are difficult to map to stack machines
  - Cannot perform operations on many values at the same time (only top N values on the stack at the same time)
  - Not flexible

### An Example: Stack Machine (II)

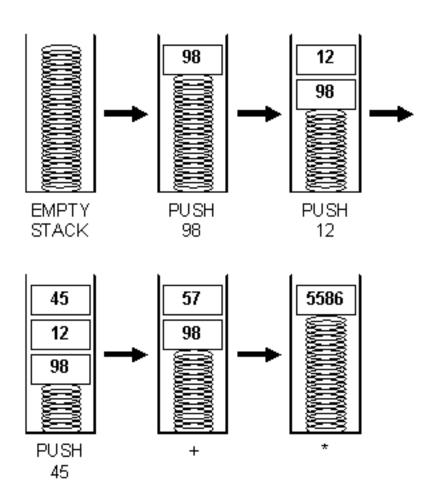


Koopman, "Stack Computers: The New Wave," 1989.

<a href="http://www.ece.cmu.edu/">http://www.ece.cmu.edu/</a>
<a href="http://www.ece.cmu.edu/">~koopman/stack\_computers/</a>
sec3 2.html

Figure 3.1 -- The canonical stack machine.

### An Example: Stack Machine Operation



Koopman, "Stack Computers: The New Wave," 1989.

<a href="http://www.ece.cmu.edu/">http://www.ece.cmu.edu/</a>
<a href="mailto:red">~koopman/stack\_computers/</a>
sec3 2.html

Figure 3.2 -- An example stack machine.

### Other Examples

- PDP-11: A 2-address machine
  - PDP-11 ADD: 4-bit opcode, 2 6-bit operand specifiers
  - Why? Limited bits to specify an instruction
  - Disadvantage: One source operand is always clobbered with the result of the instruction
    - How do you ensure you preserve the old value of the source?

- X86: A 2-address (memory/memory) machine
- Alpha: A 3-address (load/store) machine
- MIPS?
- ARM?

#### What Are the Elements of An ISA?

#### Instructions

- Opcode
- Operand specifiers (addressing modes)
  - How to obtain the operand? Why are there different addressing modes?

#### Data types

- Definition: Representation of information for which there are instructions that operate on the representation
- Integer, floating point, character, binary, decimal, BCD
- Doubly linked list, queue, string, bit vector, stack
  - VAX: INSQUEUE and REMQUEUE instructions on a doubly linked list or queue; FINDFIRST
  - Digital Equipment Corp., "VAX11 780 Architecture Handbook," 1977.
  - X86: SCAN opcode operates on character strings; PUSH/POP

### Data Type Tradeoffs

- What is the benefit of having more or high-level data types in the ISA?
- What is the disadvantage?
- Think compiler/programmer vs. microarchitect
- Concept of semantic gap
  - Data types coupled tightly to the semantic level, or complexity of instructions
- Example: Early RISC architectures vs. Intel 432
  - Early RISC: Only integer data type
  - Intel 432: Object data type, capability based machine

### An Example: BCD

Each decimal digit is encoded with a fixed number of bits



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#### What Are the Elements of An ISA?

#### Memory organization

- Address space: How many uniquely identifiable locations in memory
- Addressability: How much data does each uniquely identifiable location store
  - Byte addressable: most ISAs, characters are 8 bits
  - Bit addressable: Burroughs 1700. Why?
  - 64-bit addressable: Some supercomputers. Why?
  - 32-bit addressable: First Alpha
  - Food for thought
    - □ How do you add 2 32-bit numbers with only byte addressability?
    - How do you add 2 8-bit numbers with only 32-bit addressability?
    - Big endian vs. little endian? MSB at low or high byte.
- Support for virtual memory

### Some Historical Readings

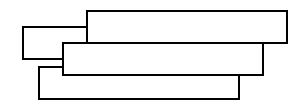
- If you want to dig deeper
- Wilner, "Design of the Burroughs 1700," AFIPS 1972.
- Levy, "The Intel iAPX 432," 1981.
  - http://www.cs.washington.edu/homes/levy/capabook/ Chapter9.pdf

#### What Are the Elements of An ISA?

- Registers
  - How many
  - Size of each register
- Why is having registers a good idea?
  - Because programs exhibit a characteristic called data locality
  - A recently produced/accessed value is likely to be used more than once (temporal locality)
    - Storing that value in a register eliminates the need to go to memory each time that value is needed

### Programmer Visible (Architectural) State

M[0]
M[1]
M[2]
M[3]
M[4]
M[N-1]



#### Registers

- given special names in the ISA (as opposed to addresses)
- general vs. special purpose

#### Memory

array of storage locations indexed by an address

#### **Program Counter**

memory address of the current instruction

Instructions (and programs) specify how to transform the values of programmer visible state

### Aside: Programmer Invisible State

- Microarchitectural state
- Programmer cannot access this directly
- E.g. cache state
- E.g. pipeline registers

# Evolution of Register Architecture

- Accumulator
  - a legacy from the "adding" machine days
- Accumulator + address registers
  - need register indirection
  - initially address registers were special-purpose, i.e., can only be loaded with an address for indirection
  - eventually arithmetic on addresses became supported
- General purpose registers (GPR)
  - all registers good for all purposes
  - grew from a few registers to 32 (common for RISC) to 128 in Intel IA-64

### Instruction Classes

- Operate instructions
  - Process data: arithmetic and logical operations
  - Fetch operands, compute result, store result
  - Implicit sequential control flow
- Data movement instructions
  - Move data between memory, registers, I/O devices
  - Implicit sequential control flow
- Control flow instructions
  - Change the sequence of instructions that are executed

### What Are the Elements of An ISA?

- Load/store vs. memory/memory architectures
  - Load/store architecture: operate instructions operate only on registers
    - E.g., MIPS, ARM and many RISC ISAs
  - Memory/memory architecture: operate instructions can operate on memory locations
    - E.g., x86, VAX and many CISC ISAs

## What Are the Elements of An ISA?

- Addressing modes specify how to obtain the operands
  - Absolute LW rt, 10000
     use immediate value as address
  - □ Register Indirect: LW rt,  $(r_{base})$  use GPR $[r_{base}]$  as address
  - □ Displaced or based: LW rt, offset( $r_{base}$ ) use offset+GPR[ $r_{base}$ ] as address
  - use  $GPR[r_{base}]+GPR[r_{index}]$  as address
  - Memory Indirect LW rt  $((r_{base}))$  use value at M[GPR[ $r_{base}$ ]] as address
  - a Auto inc/decrement LW Rt,  $(r_{base})$  use GRP $[r_{base}]$  as address, but inc. or dec. GPR $[r_{base}]$  each time

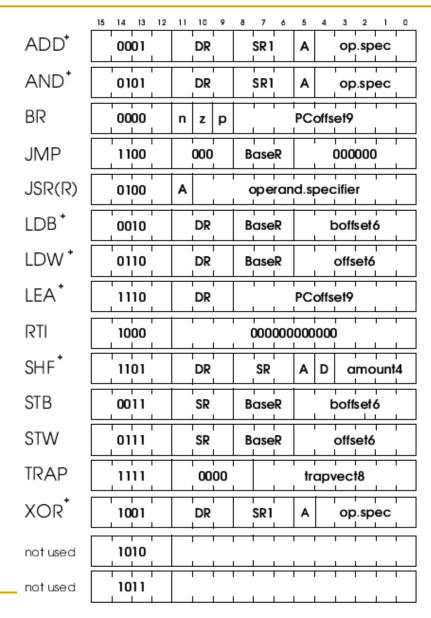
### What Are the Benefits of Different Addressing Modes?

- Another example of programmer vs. microarchitect tradeoff
- Advantage of more addressing modes:
  - Enables better mapping of high-level constructs to the machine: some accesses are better expressed with a different mode → reduced number of instructions and code size
    - Think array accesses (autoincrement mode)
    - Think indirection (pointer chasing)
    - Sparse matrix accesses
- Disadvantage:
  - More work for the compiler
  - More work for the microarchitect

# ISA Orthogonality

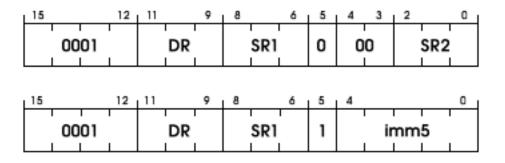
- Orthogonal ISA:
  - All addressing modes can be used with all instruction types
  - Example: VAX
    - (~13 addressing modes) x (>300 opcodes) x (integer and FP formats)
- Who is this good for?
- Who is this bad for?

# Is the LC-3b ISA Orthogonal?



# LC-3b: Addressing Modes of ADD

#### Encodings

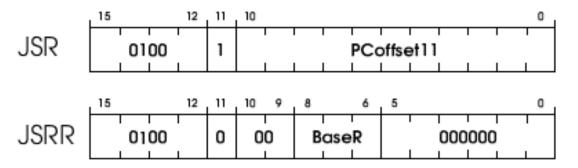


### Operation

```
if (bit[5] == 0)
   DR = SR1 + SR2;
else
   DR = SR1 + SEXT(imm5);
setcc();
```

# LC-3b: Addressing Modes of of JSR(R)

### Encodings



### Operation

```
R7 = PC<sup>†</sup>;
if (bit[11] == 0)
PC = BaseR;
else
PC = PC<sup>†</sup> + LSHF(SEXT(PCoffset11), 1);
```

### Description

First, the incremented PC is saved in R7. This is the linkage back to the calling routine. Then, the PC is loaded with the address of the first instruction of the subroutine, causing an unconditional jump to that address. The address of the subroutine is obtained from the base register (if bit[11] is 0), or the address is computed by sign-extending bits [10:0] to 16 bits, left-shifting the result one bit, and then adding this value to the incremented PC (if bit[11] is 1).

## What Are the Elements of An ISA?

- How to interface with I/O devices
  - Memory mapped I/O
    - A region of memory is mapped to I/O devices
    - I/O operations are loads and stores to those locations
  - Special I/O instructions
    - IN and OUT instructions in x86 deal with ports of the chip
  - Tradeoffs?
    - Which one is more general purpose?

### What Are the Elements of An ISA?

### Privilege modes

- User vs supervisor
- Who can execute what instructions?

### Exception and interrupt handling

- What procedure is followed when something goes wrong with an instruction?
- What procedure is followed when an external device requests the processor?
- Vectored vs. non-vectored interrupts (early MIPS)

### Virtual memory

- Each program has the illusion of the entire memory space, which is greater than physical memory
- Access protection
- We will talk about these later

## Another Question or Two

- Does the LC-3b ISA contain complex instructions?
- How complex can an instruction be?

# Complex vs. Simple Instructions

- Complex instruction: An instruction does a lot of work, e.g. many operations
  - Insert in a doubly linked list
  - Compute FFT
  - String copy
- Simple instruction: An instruction does small amount of work, it is a primitive using which complex operations can be built
  - Add
  - XOR
  - Multiply

# Complex vs. Simple Instructions

- Advantages of Complex instructions
  - + Denser encoding → smaller code size → better memory utilization, saves off-chip bandwidth, better cache hit rate (better packing of instructions)
  - + Simpler compiler: no need to optimize small instructions as much
- Disadvantages of Complex Instructions
  - Larger chunks of work → compiler has less opportunity to optimize (limited in fine-grained optimizations it can do)
  - More complex hardware → translation from a high level to control signals and optimization needs to be done by hardware

# ISA-level Tradeoffs: Semantic Gap

- Where to place the ISA? Semantic gap
  - □ Closer to high-level language (HLL) → Small semantic gap, complex instructions
  - □ Closer to hardware control signals? → Large semantic gap, simple instructions
- RISC vs. CISC machines
  - RISC: Reduced instruction set computer
  - CISC: Complex instruction set computer
    - FFT, QUICKSORT, POLY, FP instructions?
    - VAX INDEX instruction (array access with bounds checking)

# ISA-level Tradeoffs: Semantic Gap

- Some tradeoffs (for you to think about)
- Simple compiler, complex hardware vs. complex compiler, simple hardware
  - Caveat: Translation (indirection) can change the tradeoff!
- Burden of backward compatibility
- Performance? Energy Consumption?
  - Optimization opportunity: Example of VAX INDEX instruction: who (compiler vs. hardware) puts more effort into optimization?
  - Instruction size, code size

## X86: Small Semantic Gap: String Operations

- An instruction operates on a string
  - Move one string of arbitrary length to another location
  - Compare two strings
- Enabled by the ability to specify repeated execution of an instruction (in the ISA)
  - Using a "prefix" called REP prefix
- Example: REP MOVS instruction
  - Only two bytes: REP prefix byte and MOVS opcode byte (F2 A4)
  - Implicit source and destination registers pointing to the two strings (ESI, EDI)
  - Implicit count register (ECX) specifies how long the string is

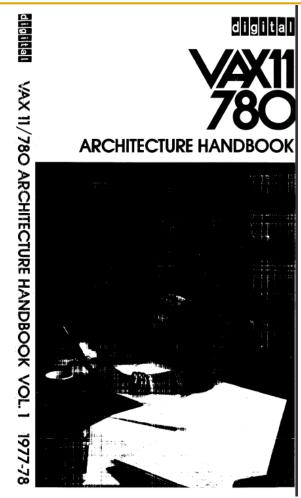
## X86: Small Semantic Gap: String Operations

```
REP MOVS (DEST SRC)
                                                                                                              DEST \leftarrow SRC:
                                                                                                              IF (Byte move)
                                                                                                                 THEN IF DF = 0
                                                                                                                     THEN
                                                                                                                         (R|E)SI \leftarrow (R|E)SI + 1;
IF AddressSize = 16
                                                                                                                         (R|E)DI \leftarrow (R|E)DI + 1;
     THEN
                                                                                                                     ELSE
                                                                                                                          (R|E)SI \leftarrow (R|E)SI - 1;
            Use CX for CountReg;
                                                                                                                         (R|E)DI \leftarrow (R|E)DI - 1;
     ELSE IF AddressSize = 64 and REX.W used
                                                                                                                 ELSE IF (Word move)
            THEN Use RCX for CountReg; FI;
                                                                                                                     THEN IF DF = 0
                                                                                                                          (R|E)SI \leftarrow (R|E)SI + 2;
     ELSE
                                                                                                                         (R|E)DI \leftarrow (R|E)DI + 2;
            Use ECX for CountReg;
                                                                                                                     ELSE
FI:
                                                                                                                          (R|E)SI \leftarrow (R|E)SI - 2;
                                                                                                                          (R|E)DI \leftarrow (R|E)DI - 2;
WHILE CountReg \neq 0
                                                                                                                 ELSE IF (Doubleword move)
     D0
                                                                                                                     THEN IF DF = 0
            Service pending interrupts (if any);
                                                                                                                         (R|E)SI \leftarrow (R|E)SI + 4;
                                                                                                                         (R|E)DI \leftarrow (R|E)DI + 4;
            Execute associated string instruction;
                                                                                                                         FI:
                                                                                                                     ELSE
            CountReg \leftarrow (CountReg - 1);
                                                                                                                         (R|E)SI \leftarrow (R|E)SI - 4;
            IF CountReq = 0
                                                                                                                         (R|E)DI \leftarrow (R|E)DI - 4;
                                                                                                                     FI;
                   THEN exit WHILE loop; FI;
                                                                                                                 ELSE IF (Quadword move)
            IF (Repeat prefix is REPZ or REPE) and (ZF = 0)
                                                                                                                     THEN IF DF = 0
                                                                                                                         (R|E)SI \leftarrow (R|E)SI + 8;
            or (Repeat prefix is REPNZ or REPNE) and (ZF = 1)
                                                                                                                         (R|E)DI \leftarrow (R|E)DI + 8;
                                                                                                                         FI:
                   THEN exit WHILE loop; FI;
                                                                                                                     ELSE
     OD;
                                                                                                                         (R|E)SI \leftarrow (R|E)SI - 8;
                                                                                                                         (R|E)DI \leftarrow (R|E)DI - 8;
                                                                                                                     FI:
                                                                                                              FI;
```

How many instructions does this take in MIPS?

# Small Semantic Gap Examples in VAX

- FIND FIRST
  - Find the first set bit in a bit field
  - Helps OS resource allocation operations
- SAVE CONTEXT, LOAD CONTEXT
  - Special context switching instructions
- INSQUEUE, REMQUEUE
  - Operations on doubly linked list
- INDEX
  - Array access with bounds checking
- STRING Operations
  - Compare strings, find substrings, ...
- Cyclic Redundancy Check Instruction
- EDITPC
  - Implements editing functions to display fixed format output
- Digital Equipment Corp., "VAX11 780 Architecture Handbook," 1977-78.



# Small versus Large Semantic Gap

- CISC vs. RISC
  - □ Complex instruction set computer → complex instructions
    - Initially motivated by "not good enough" code generation
  - □ Reduced instruction set computer → simple instructions
    - John Cocke, mid 1970s, IBM 801
      - Goal: enable better compiler control and optimization



- Memory stalls (no work done in a complex instruction when there is a memory stall?)
  - When is this correct?
- □ Simplifying the hardware → lower cost, higher frequency
- Enabling the compiler to optimize the code better
  - Find fine-grained parallelism to reduce stalls

### An Aside

- An Historical Perspective on RISC Development at IBM
  - http://www-03.ibm.com/ibm/history/ibm100/us/en/icons/risc/

# How High or Low Can You Go?

### Very large semantic gap

- Each instruction specifies the complete set of control signals in the machine
- Compiler generates control signals
- Open microcode (John Cocke, circa 1970s)
  - Gave way to optimizing compilers

### Very small semantic gap

- ISA is (almost) the same as high-level language
- Java machines, LISP machines, object-oriented machines, capability-based machines

## A Note on ISA Evolution

ISAs have evolved to reflect/satisfy the concerns of the day

### Examples:

- Limited on-chip and off-chip memory size
- Limited compiler optimization technology
- Limited memory bandwidth
- Need for specialization in important applications (e.g., MMX)
- Use of translation (in HW and SW) enabled underlying implementations to be similar, regardless of the ISA
  - Concept of dynamic/static interface: translation/interpretation
  - Contrast it with hardware/software interface

## Effect of Translation

- One can translate from one ISA to another ISA to change the semantic gap tradeoffs
  - □ ISA (virtual ISA) → Implementation ISA
- Examples
  - Intel's and AMD's x86 implementations translate x86 instructions into programmer-invisible microoperations (simple instructions) in hardware
  - Transmeta's x86 implementations translated x86 instructions into "secret" VLIW instructions in software (code morphing software)
- Think about the tradeoffs

## Hardware-Based Translation

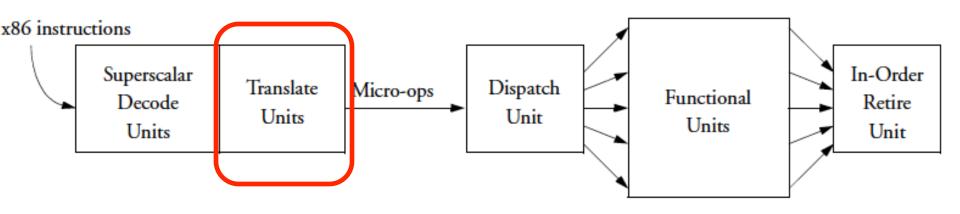


Figure 2. Conventional superscalar out-of-order CPUs use hardware to create and dispatch micro-ops that can execute in parallel.

Klaiber, "The Technology Behind Crusoe Processors," Transmeta White Paper 2000.

## Software-Based Translation

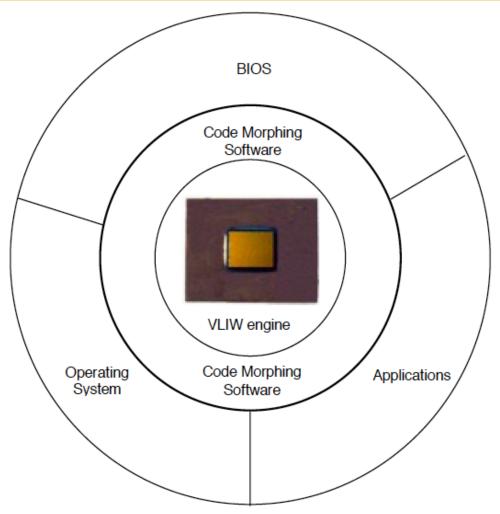


Figure 5. The Code Morphing software mediates between x86 software and the Crusoe processor.

Klaiber, "The Technology Behind Crusoe Processors," Transmeta White Paper 2000.

We did not cover the following slides in lecture. These are for your preparation for the next lecture.

# ISA-level Tradeoffs: Instruction Length

- Fixed length: Length of all instructions the same
  - + Easier to decode single instruction in hardware
  - + Easier to decode multiple instructions concurrently
  - -- Wasted bits in instructions (Why is this bad?)
  - -- Harder-to-extend ISA (how to add new instructions?)
- Variable length: Length of instructions different (determined by opcode and sub-opcode)
  - + Compact encoding (Why is this good?)
    Intel 432: Huffman encoding (sort of). 6 to 321 bit instructions. How?
  - -- More logic to decode a single instruction
  - -- Harder to decode multiple instructions concurrently

### Tradeoffs

- Code size (memory space, bandwidth, latency) vs. hardware complexity
- ISA extensibility and expressiveness vs. hardware complexity
- Performance? Smaller code vs. ease of decode

## ISA-level Tradeoffs: Uniform Decode

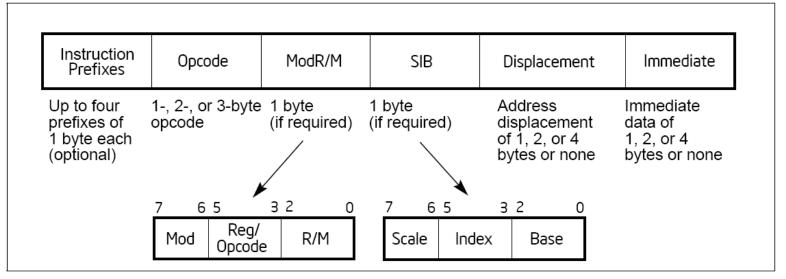
- Uniform decode: Same bits in each instruction correspond to the same meaning
  - Opcode is always in the same location
  - Ditto operand specifiers, immediate values, ...
  - Many "RISC" ISAs: Alpha, MIPS, SPARC
  - + Easier decode, simpler hardware
  - + Enables parallelism: generate target address before knowing the instruction is a branch
  - -- Restricts instruction format (fewer instructions?) or wastes space

#### Non-uniform decode

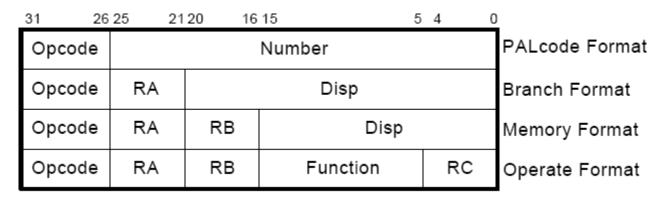
- E.g., opcode can be the 1st-7th byte in x86
- + More compact and powerful instruction format
- -- More complex decode logic

# x86 vs. Alpha Instruction Formats

### x86:

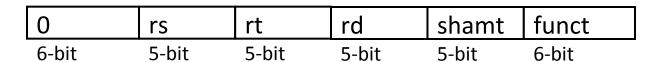


### Alpha:



### MIPS Instruction Format

R-type, 3 register operands



R-type

I-type

I-type, 2 register operands and 16-bit immediate operand

opcode	rs	rt	immediate
6-hit	5-hit	5-hit	16-hit

J-type, 26-bit immediate operand

opcode	immediate	J-type
6-bit	26-bit	. , ,

- Simple Decoding
  - 4 bytes per instruction, regardless of format
  - must be 4-byte aligned (2 lsb of PC must be 2b'00)
  - format and fields easy to extract in hardware

## ARM

#### 

Cond	0	0	I	C	po	cod	le	S	Rn	Rd						Op	oer	an	Data Processing / PSR Transfer		
Cond	0	0	0	0	0	0	Α	s	Rd		Rn		Rs				0	0	1	Rm	Multiply
Cond	0	0	0	0	1	U	Α	s	RdHi		RdLo		Rn			1	0	0	1	Rm	Multiply Long
Cond	0	0	0	1	0	В	0	0	Rn		Rd		0	C	0	1	0	0	1	Rm	Single Data Swap
Cond	0	0	0	1	0	0	1	0	1 1 1 1	1	1 1 1	1	1	1	1	0	0	0	1	Rn	Branch and Exchange
Cond	0	0	0	Р	U	0	w	L	Rn		Rd	0	0	0	0	1	s	Н	1	Rm	Halfword Data Transfer: register offset
Cond	0	0	0	Р	U	1	w	L	Rn		Rd		Offset			1	s	Н	1	Offset	Halfword Data Transfer: immediate offset
Cond	0	1	I	Р	U	В	w	L	Rn	Г	Rd					_	Off	se	Single Data Transfer		
Cond	0	1	1		•			•	1									Undefined			
Cond	1	0	0	Р	U	s	w	L	Rn	Rn Register List								Block Data Transfer			
Cond	1	0	1	L	Г	Offset											Branch				
Cond	1	1	0	Р	U	N	W	L	Rn		CRd	CP#			<b>#</b>				Off	set	Coprocessor Data Transfer
Cond	1	1	1	0	(	Р	Or	С	CRn		CRd		CP#			CF	)	0	CRm	Coprocessor Data Operation	
Cond	1	1	1	0	CI	PC	pc	L	CRn		Rd		CP#			CF	)	1	CRm	Coprocessor Register Transfer	
Cond	1	1	1	1		Ignored by processor										Software Interrupt					

# A Note on Length and Uniformity

- Uniform decode usually goes with fixed length
- In a variable length ISA, uniform decode can be a property of instructions of the same length
  - It is hard to think of it as a property of instructions of different lengths

## A Note on RISC vs. CISC

Usually, ...

### RISC

- Simple instructions
- Fixed length
- Uniform decode
- Few addressing modes

#### CISC

- Complex instructions
- Variable length
- Non-uniform decode
- Many addressing modes

# ISA-level Tradeoffs: Number of Registers

### Affects:

- Number of bits used for encoding register address
- Number of values kept in fast storage (register file)
- (uarch) Size, access time, power consumption of register file
- Large number of registers:
  - + Enables better register allocation (and optimizations) by compiler → fewer saves/restores
  - -- Larger instruction size
  - -- Larger register file size

# ISA-level Tradeoffs: Addressing Modes

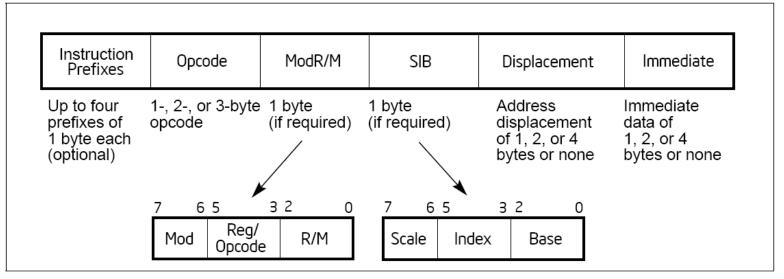
- Addressing mode specifies how to obtain an operand of an instruction
  - Register
  - Immediate
  - Memory (displacement, register indirect, indexed, absolute, memory indirect, autoincrement, autodecrement, ...)

#### More modes:

- + help better support programming constructs (arrays, pointerbased accesses)
- -- make it harder for the architect to design
- -- too many choices for the compiler?
  - Many ways to do the same thing complicates compiler design
  - Wulf, "Compilers and Computer Architecture," IEEE Computer 1981

### x86 vs. Alpha Instruction Formats

#### x86:



#### Alpha:

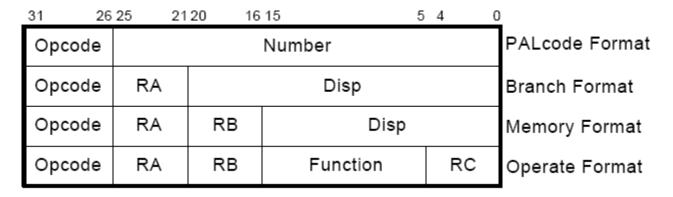


Table 2-2. 32-Bit Addressing Forms with the ModR/M Byte

Table 2-2. 52-bit Addressing Forms with the Floak/Fl byte											
x86	r8(/r) r16(/r) r32(/r) rmm(/r) xmm(/r) (In decimal) /digit (Opcode) (In binary) REG =			AL AX EAX MMO XMMO 0 0	CL CX ECX MM1 XMM1 1 001	DL DX EDX MM2 XMM2 2 010	BL BX EBX MM3 XMM3 3 011	AH SP ESP MM4 XMM4 4 100	CH BP EBP MM5 XMM5 5 101	DH SI ESI MM6 XMM6 6 110	BH DI EDI MM7 XMM7 7 111
	Effective Address Mod R/M		Value of ModR/M Byte (in Hexadecimal)								
register indirect	[EAX] [ECX] [EDX] [EBX] [][] <sup>1</sup> disp32 <sup>2</sup> [ESI] [EDI]	00	000 001 010 011 100 101 110	00 01 02 03 04 05 06 07	08 09 0A 0B 0C 0D 0E 0F	10 11 12 13 14 15 16 17	18 19 1A 1B 1C 1D 1E 1F	20 21 22 23 24 25 26 27	28 29 2A 2B 2C 2D 2E 2F	30 31 32 33 34 35 36 37	38 39 3A 3B 3C 3D 3E 3F
	[EAX]+disp8 <sup>3</sup> [ECX]+disp8 [EDX]+disp8 [EBX]+disp8 [][]+disp8 [EBP]+disp8 [ESI]+disp8 [EDI]+disp8	01	000 001 010 011 100 101 110 111	40 41 42 43 44 45 46 47	48 49 4A 4B 4C 4D 4E 4F	50 51 52 53 54 55 55 57	58 59 5A 5B 5C 5D 5E 5F	60 61 62 63 64 65 66	68 69 6A 6B 6C 6D 6E 6F	70 71 72 73 74 75 76 77	78 79 7A 7B 7C 7D 7E 7F
register +	[EAX]+disp32 [ECX]+disp32 [EDX]+disp32 [EBX]+disp32 [][]+disp32 [EBP]+disp32 [ESI]+disp32 [EDI]+disp32	10	000 001 010 011 100 101 110 111	80 81 82 83 84 85 86 87	88 89 8A 8B 8C 8D 8E 8F	90 91 92 93 94 95 96 97	98 99 9A 9B 9C 9D 9E 9F	A0 A1 A2 A3 A4 A5 A6 A7	A8 A9 AA AB AC AD AE AF	B0 B1 B2 B3 B4 B5 B6 B7	B8 B9 BA BB BC BD BE BF
displacement register	EAX/AX/AL/MM0/XMM0 ECX/CX/CL/MM/XMM1 EDX/DX/DL/MM2/XMM2 EBX/BX/BL/MM3/XMM3 ESP/SP/AH/MM4/XMM4 EBP/BP/CH/MM5/XMM5 ESI/SI/DH/MM6/XMM6 EDI/DI/BH/MM7/XMM7	11	000 001 010 011 100 101 110 111	CO C1 C2 C3 C4 C5 C6 C7	C8 C9 CA CB CC CD CE CF	D0 D1 D2 D3 D4 D5 D6 D7	D8 D9 DA DB DC DD DE DF	E0 E1 E2 E3 E4 E5 E6	E8 E9 EA EB EC ED EE	F0 F1 F2 F3 F4 F5 F6 F7	F8 F9 FA FB FC FD FE FF

#### NOTES:

- 1. The [--][--] nomenclature means a SIB follows the ModR/M byte.
- The disp32 nomenclature denotes a 32-bit displacement that follows the ModR/M byte (or the SIB byte if one is present) and that is added to the index.
- The disp8 nomenclature denotes an 8-bit displacement that follows the ModR/M byte (or the SIB byte if one is present) and that is sign-extended and added to the index.

Table 2-3 is organized to give 256 possible values of the SIB byte (in hexadecimal).

indexed

(base +

index)

Table 2-3. 32-Bit Addressing Forms with the SIB Byte
--

	Table 2-5. 52-bit Addressing Forms with the 51b byte										
	r32 (In decimal) Base = (In binary) Base =			EAX 0 000	ECX 1 001	EDX 2 010	EBX 3 011	ESP 4 100	[*] 5 101	ESI 6 110	EDI 7 111
٠	Scaled Index	SS	Index	Value of SIB Byte (in Hexadecimal)							
	EAX ECX EDX EBX none (EBP) ESI EDI	00	000 001 010 011 100 101 110 111	00 08 10 18 20 28 30 38	01 09 11 19 21 29 31 39	02 0A 12 1A 22 2A 32 3A	03 0B 13 1B 23 2B 33B	04 00 14 10 24 20 34 30	05 0D 15 1D 25 2D 35 3D	06 0E 16 1E 26 2E 36 3E	07 0F 17 1F 27 2F 37 3F
	[EAX*2] [ECX*2] [EDX*2] [EBX*2] none [EBP*2] [ESI*2] [EDI*2]	01	000 001 010 011 100 101 110 111	40 48 50 58 60 68 70 78	41 49 51 59 61 69 71 79	42 4A 52 5A 62 6A 72 7A	43 4B 53 5B 63 6B 73 7B	44 40 54 50 64 60 74 70	45 4D 55 5D 65 6D 75 7D	46 4E 56 5E 66 6E 76 7E	47 4F 57 5F 67 6F 77 7F
	[EAX*4] ECX*4] EDX*4] [EBX*4] none [EBP*4] ESI*4] [EDI*4]	10	000 001 010 011 100 101 110 111	80 88 90 98 A0 A8 B0 B8	81 89 91 89 A1 A9 B1 B9	82 8A 92 9A A2 AA B2 BA	83 8B 93 9B A3 AB B3 BB	84 8C 94 9C A4 AC B4 BC	85 8D 95 9D A5 AD B5 BD	86 8E 96 9E A6 AE B6 BE	87 8F 97 9F A7 AF B7 BF
	[EAX*8] ECX*8] EDX*8] EBX*8] none [EBP*8] ESI*8] [EDI*8]	11	000 001 010 011 100 101 110 111	080808C8	C1 C9 D1 D9 E1 E9 F1 F9	C2 CA D2 DA E2 EA F2 FA	083808CE	C4 CC4 DC E4 E4 E7 F7	56565656	669998666	77 67 67 67 67 67 67 67

scaled (base + index\*4)

#### NOTES:

#### MOD bits Effective Address

- 00 [scaled index] + disp32
- 01 [scaled index] + disp8 + [EBP]
- 10 [scaled index] + disp32 + [EBP]

The [\*] nomenclature means a disp32 with no base if the MOD is 00B. Otherwise, [\*] means disp8
or disp32 + [EBP]. This provides the following address modes:

# X86 SIB-D Addressing Mode

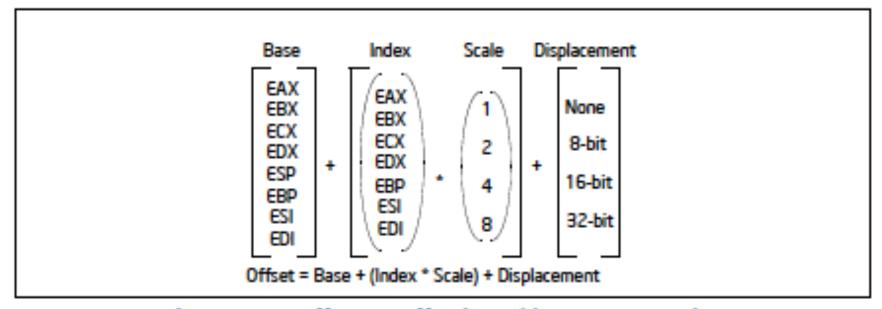


Figure 3-11. Offset (or Effective Address) Computation

x86 Manual Vol. 1, page 3-22 -- see course resources on website Also, see Section 3.7.3 and 3.7.5

### X86 Manual: Suggested Uses of Addressing Modes

The following addressing modes suggest uses for common combinations of address components.

- Displacement A displacement alone represents a direct (uncomputed) offset to the operand. Because the
  displacement is encoded in the instruction, this form of an address is sometimes called an absolute or static
  address. It is commonly used to access a statically allocated scalar operand.
- Base A base alone represents an indirect offset to the operand. Since the value in the base register can
  change, it can be used for dynamic storage of variables and data structures.
- Base + Displacement A base register and a displacement can be used together for two distinct purposes:
  - As an index into an array when the element size is not 2, 4, or 8 bytes—The displacement component
    encodes the static offset to the beginning of the array. The base register holds the results of a calculation to
    determine the offset to a specific element within the array.
  - To access a field of a record: the base register holds the address of the beginning of the record, while the
    displacement is a static offset to the field.

An important special case of this combination is access to parameters in a procedure activation record. A procedure activation record is the stack frame created when a procedure is entered. Here, the EBP register is the best choice for the base register, because it automatically selects the stack segment. This is a compact encoding for this common function.

x86 Manual Vol. 1, page 3-22 -- see course resources on website Also, see Section 3.7.3 and 3.7.5

### X86 Manual: Suggested Uses of Addressing Modes

- (Index \* Scale) + Displacement This address mode offers an efficient way
  to index into a static array when the element size is 2, 4, or 8 bytes. The
  displacement locates the beginning of the array, the index register holds the
  subscript of the desired array element, and the processor automatically converts
  the subscript into an index by applying the scaling factor.
- Base + Index + Displacement Using two registers together supports either
  a two-dimensional array (the displacement holds the address of the beginning of
  the array) or one of several instances of an array of records (the displacement is
  an offset to a field within the record).
- Base + (Index \* Scale) + Displacement Using all the addressing components together allows efficient indexing of a two-dimensional array when the elements of the array are 2, 4, or 8 bytes in size.

x86 Manual Vol. 1, page 3-22 -- see course resources on website Also, see Section 3.7.3 and 3.7.5

## Other Example ISA-level Tradeoffs

- Condition codes vs. not
- VLIW vs. single instruction
- Precise vs. imprecise exceptions
- Virtual memory vs. not
- Unaligned access vs. not
- Hardware interlocks vs. software-guaranteed interlocking
- Software vs. hardware managed page fault handling
- Cache coherence (hardware vs. software)
- \_ ...

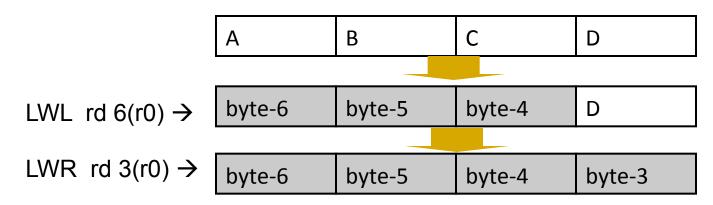
## Back to Programmer vs. (Micro)architect

- Many ISA features designed to aid programmers
- But, complicate the hardware designer's job
- Virtual memory
  - vs. overlay programming
  - Should the programmer be concerned about the size of code blocks fitting physical memory?
- Addressing modes
- Unaligned memory access
  - Compile/programmer needs to align data

### MIPS: Aligned Access

MSB	byte-3	byte-2	byte-1	byte-0	LSB
	byte-7	byte-6	byte-5	byte-4	

- LW/SW alignment restriction: 4-byte word-alignment
  - not designed to fetch memory bytes not within a word boundary
  - not designed to rotate unaligned bytes into registers
- Provide separate opcodes for the "infrequent" case



- LWL/LWR is slower
- Note LWL and LWR still fetch within word boundary

## X86: Unaligned Access

- LD/ST instructions automatically align data that spans a "word" boundary
- Programmer/compiler does not need to worry about where data is stored (whether or not in a word-aligned location)

#### 4.1.1 Alignment of Words, Doublewords, Quadwords, and Double Quadwords

Words, doublewords, and quadwords do not need to be aligned in memory on natural boundaries. The natural boundaries for words, double words, and quadwords are even-numbered addresses, addresses evenly divisible by four, and addresses evenly divisible by eight, respectively. However, to improve the performance of programs, data structures (especially stacks) should be aligned on natural boundaries when ever possible. The reason for this is that the processor requires two memory accesses to make an unaligned memory access; aligned accesses require only one memory access. A word or doubleword operand that crosses a 4-byte boundary or a quadword operand that crosses an 8-byte boundary is considered unaligned and requires two separate memory bus cycles for access.

# X86: Unaligned Access

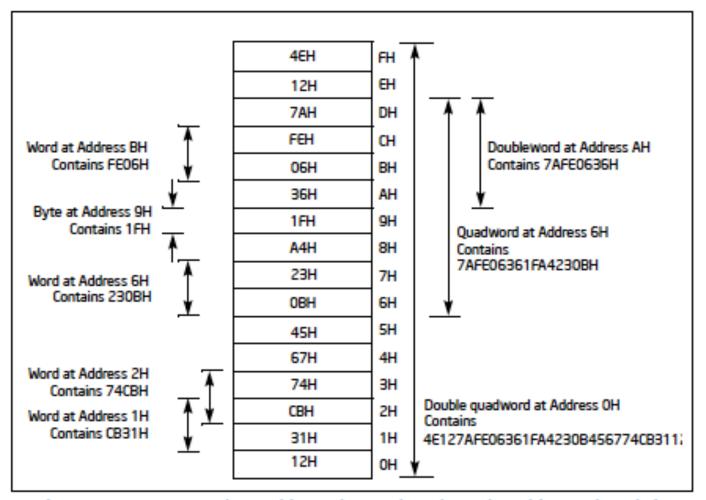


Figure 4-2. Bytes, Words, Doublewords, Quadwords, and Double Quadwords in Memory

#### What About ARM?

- https://www.scss.tcd.ie/~waldroj/3d1/arm\_arm.pdf
  - Section A2.8

# Aligned vs. Unaligned Access

Pros of having no restrictions on alignment

Cons of having no restrictions on alignment

Filling in the above: an exercise for you...