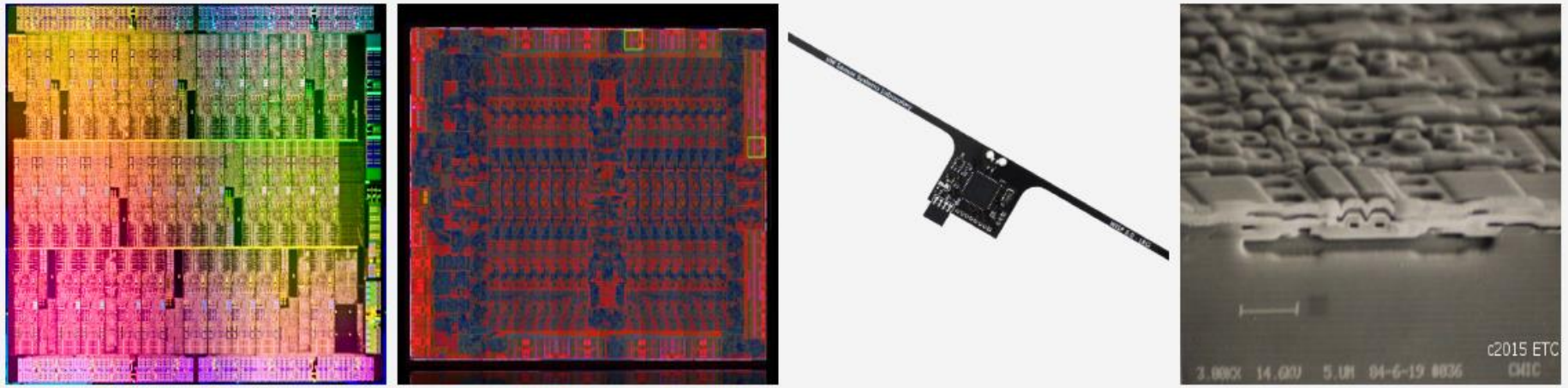
18-344: Computer Systems and the Hardware-Software Interface

Fall 2023



Course Description

Lecture 8: Cache Replacement Policies and Enhancements

This course covers the design and implementation of computer systems from the perspective of the hardware software interface. The purpose of this course is for students to understand the relationship between the operating system, software, and computer architecture. Students that complete the course will have learned operating system fundamentals, computer architecture fundamentals, compilation to hardware abstractions, and how software actually executes from the perspective of the hardware software/boundary. The course will focus especially on understanding the relationships between software and hardware, and how those relationships influence the design of a computer system's software and hardware. The course will convey these topics through a series of practical, implementation-oriented lab assignments.

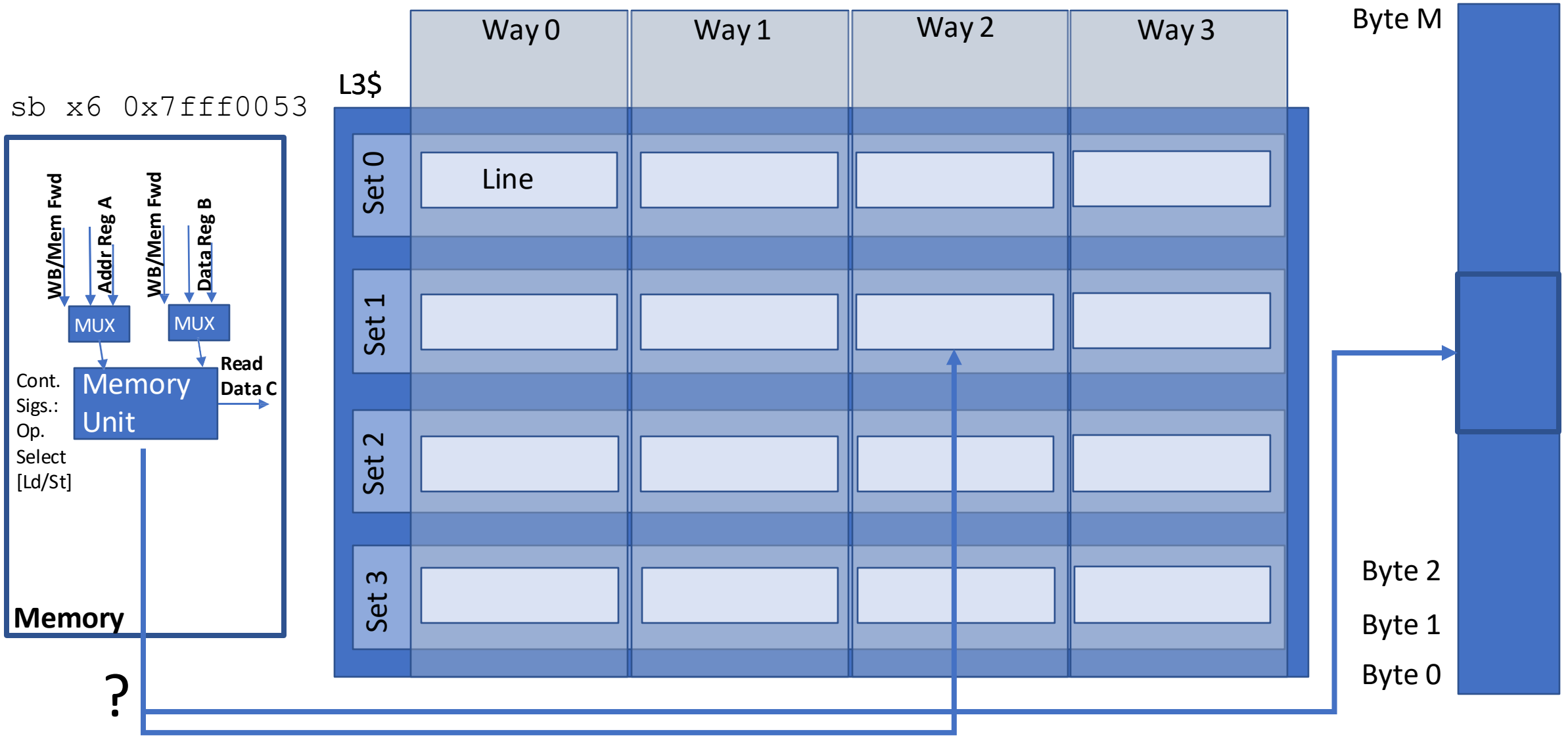
Credit: Brandon Lucia

Some details…

- Please submit feedback form AND ping me on slack to let me know that you have submitted and require an extension
- Some stuff that's going well in the course:
  - Lectures + QA format for engagement
  - Grading structure
  - No exams + hands-on learning
  - Slack + TA slack support
- Stuff to improve on:
  - More participation in class --- something I've been working on through extra credit
  - Better expectations for labs --- was the documentation for lab 1 better than lab 0 ? Attend recitations
  - Better recitation structure --- has it been better the past couple of weeks?
  - Piazza over slack --- changed
  - OHs for each TA --- added
  - Course resources are scattered
  - Way to anonymously answer Qs in class
  - Posting slides before the class
  - Canvas?
  - Make lectures more audible
  - Provide more feedback --- thoughts?
- Follow lower feedback scores with points on how to improve

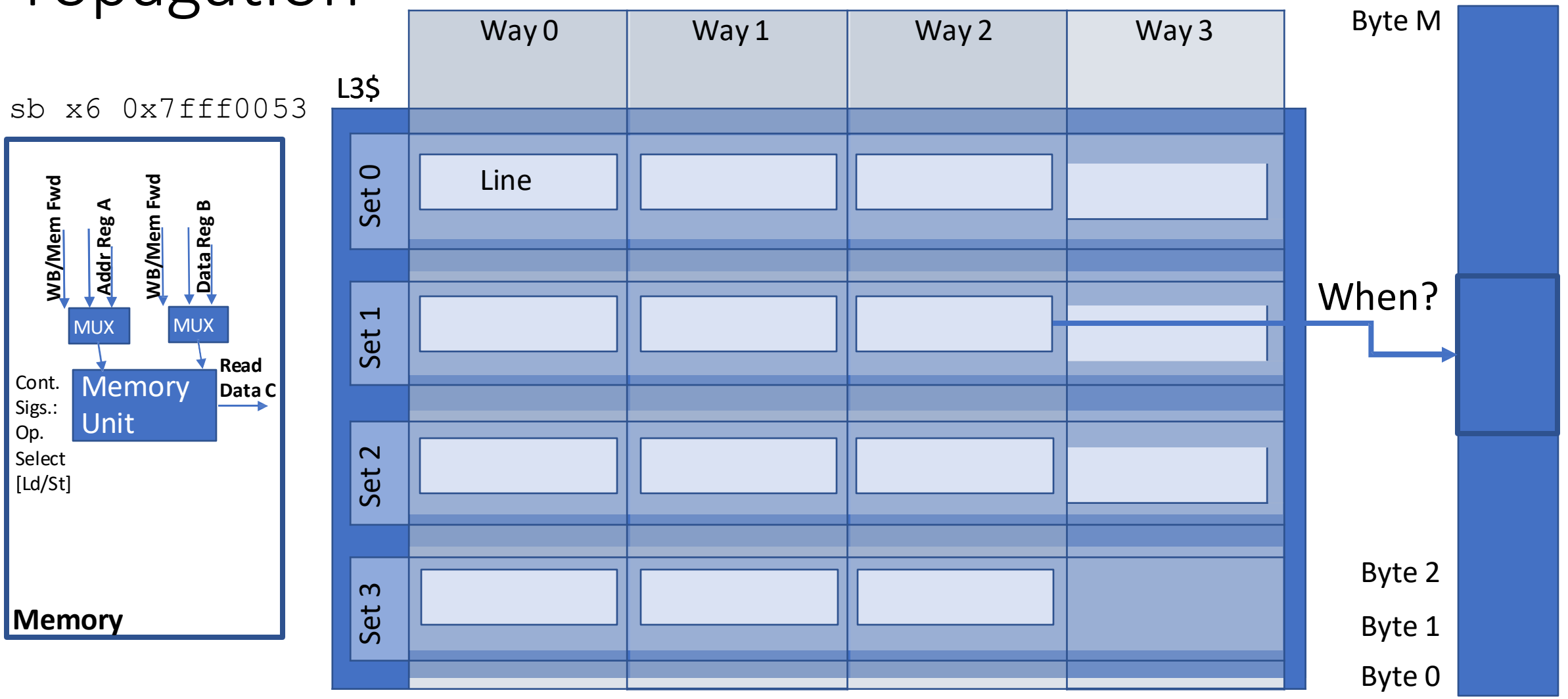
Write-Allocate: Stores go to cache
Write-No-Allocate: Stores do not go to cache

Write Policies - Allocation



Write Policies - Propagation

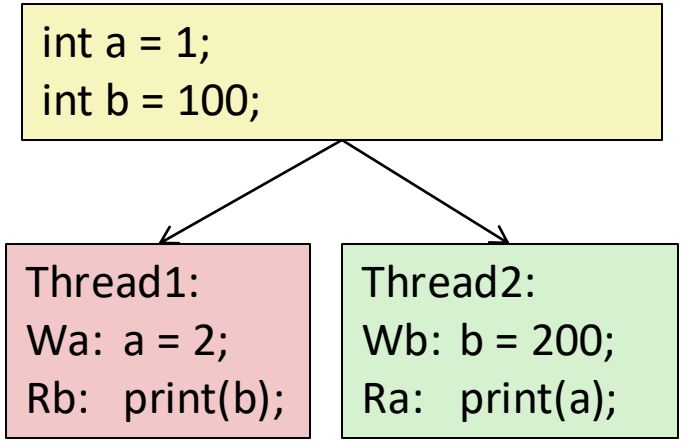
Write-Back: Wait until line evicted to writeback
Write-Through: Writeback immediately on store

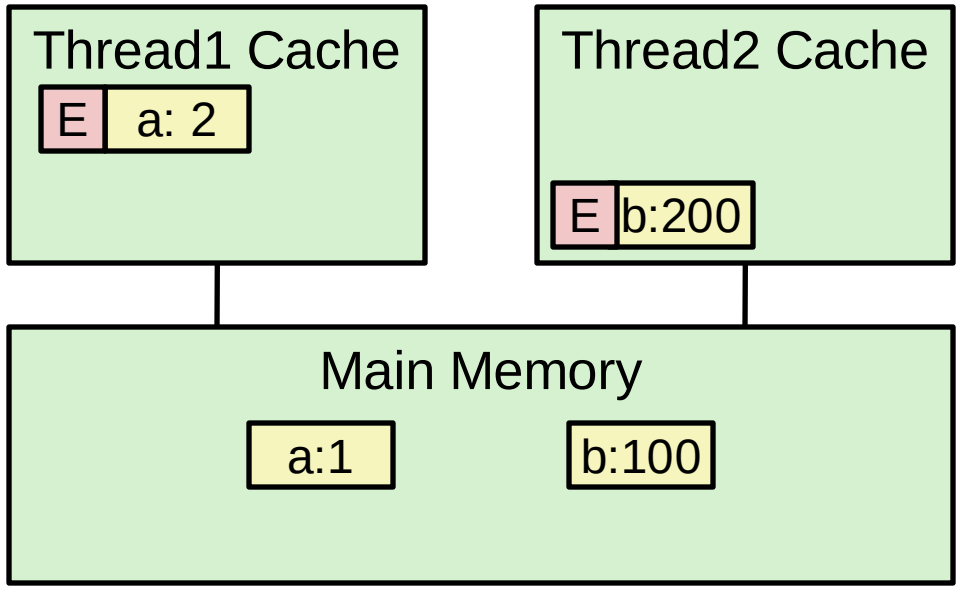


Recall 18x13: Snoopy Caches

Tag each cache block with state

Invalid	Cannot use value
Shared	Readable copy
Exclusive	Writeable copy





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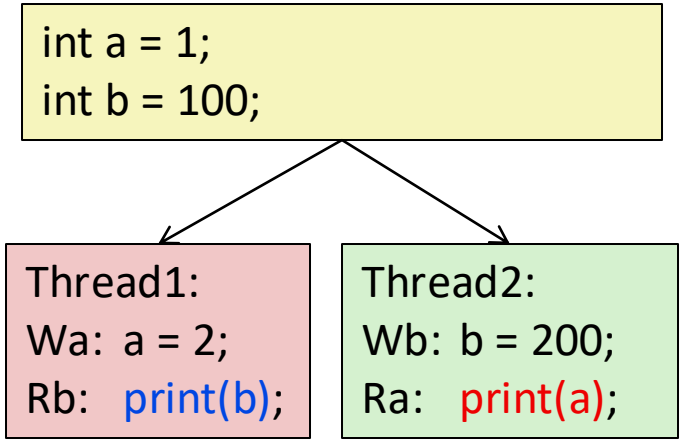
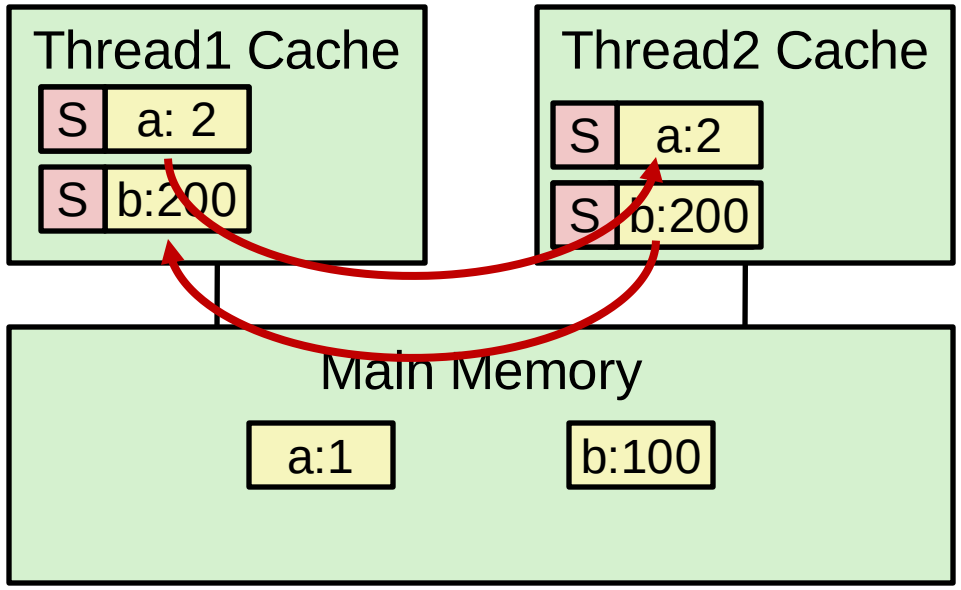


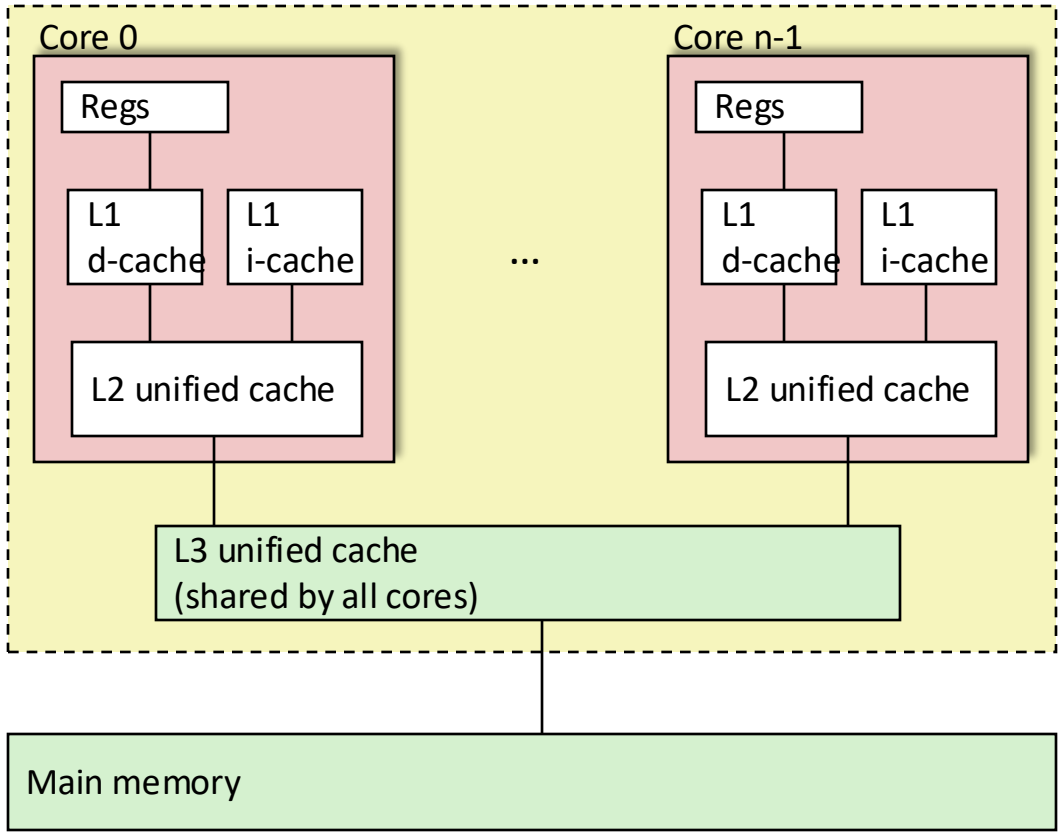


print 2

print 200

- When cache sees request for one of its E-tagged blocks
  - Supply value from cache (Note: value in memory may be stale)
  - Set tag to S

Recall 18x13: Typical Multicore Processor

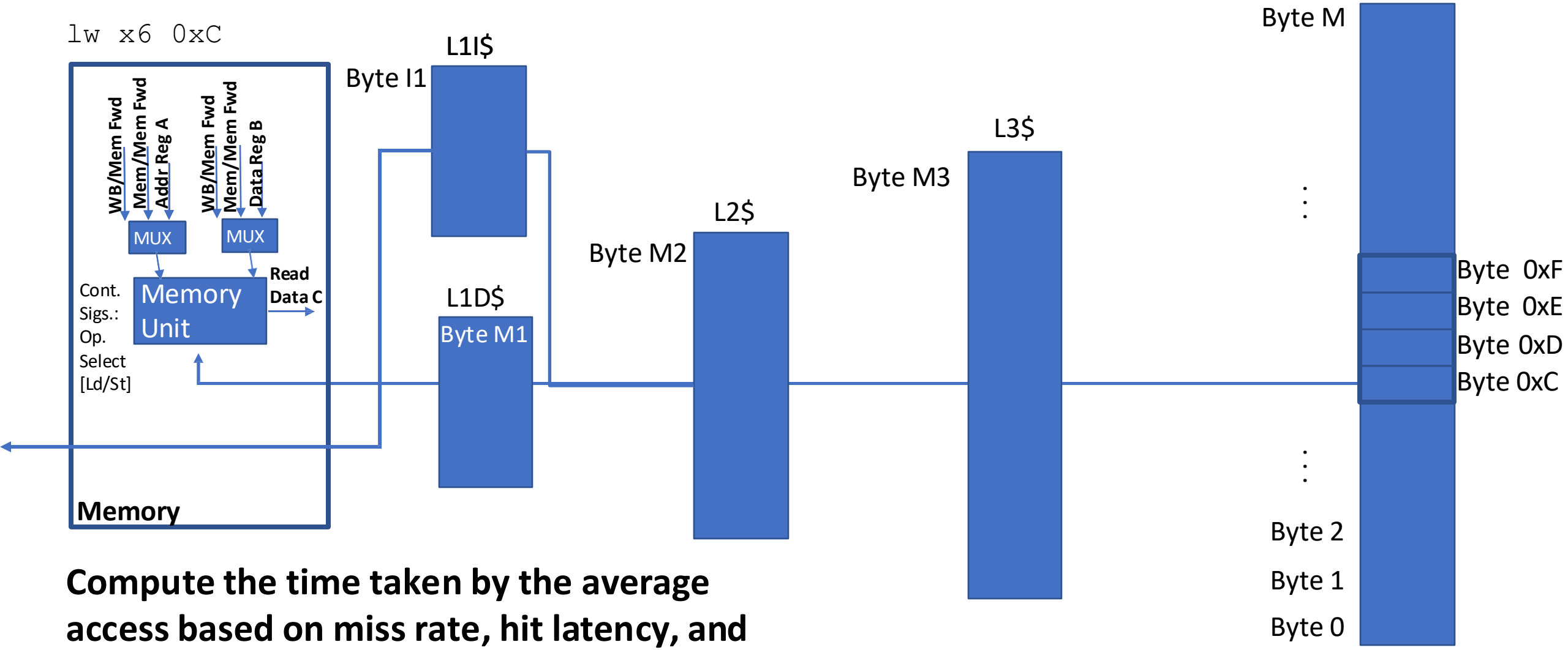


Propagation Policy v. Multicore Cache Coherency

- What is required for a snooping?
- How does propagation policy facilitate or impede this?
- What does this suggest about cache policy by level?

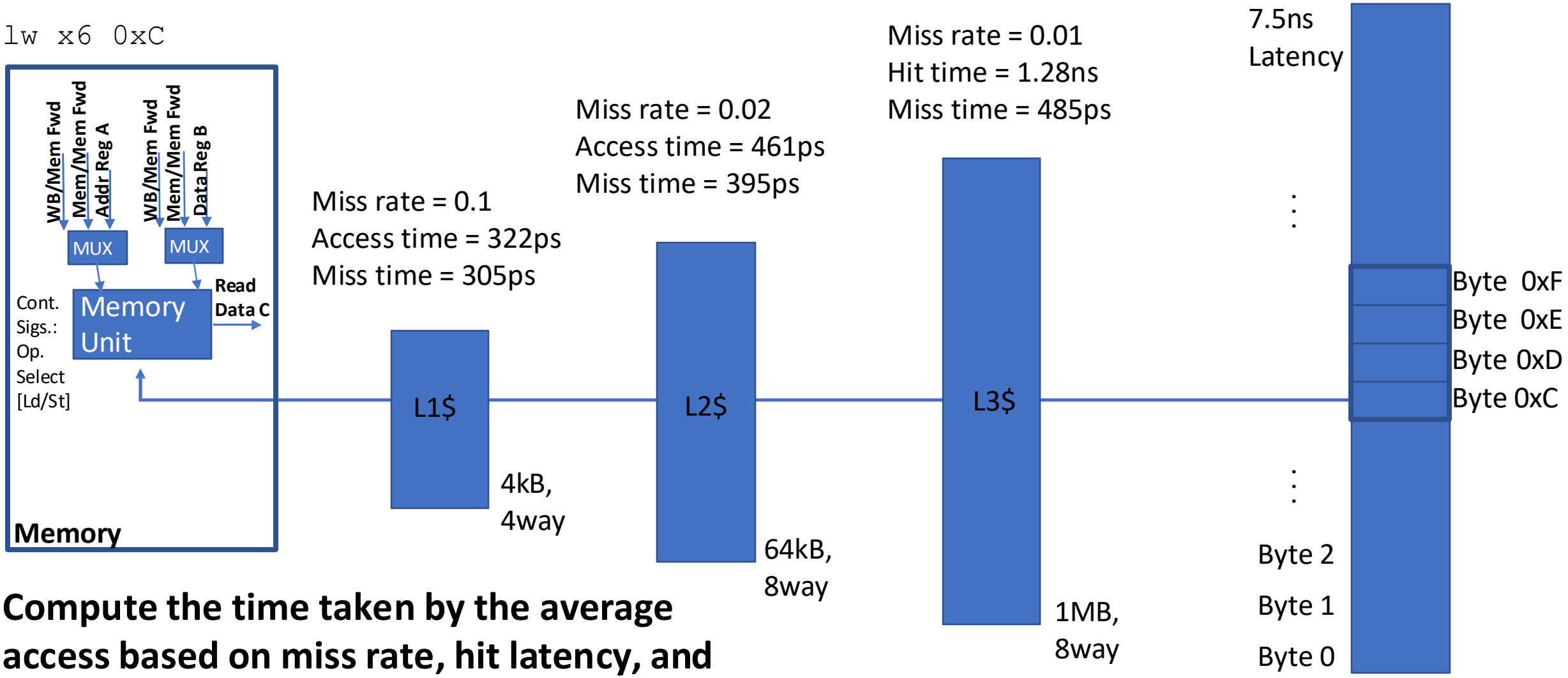
Cache Hierarchy Performance Measurement

Average Memory Access Time (AMAT): Measuring the performance of a memory hierarchy



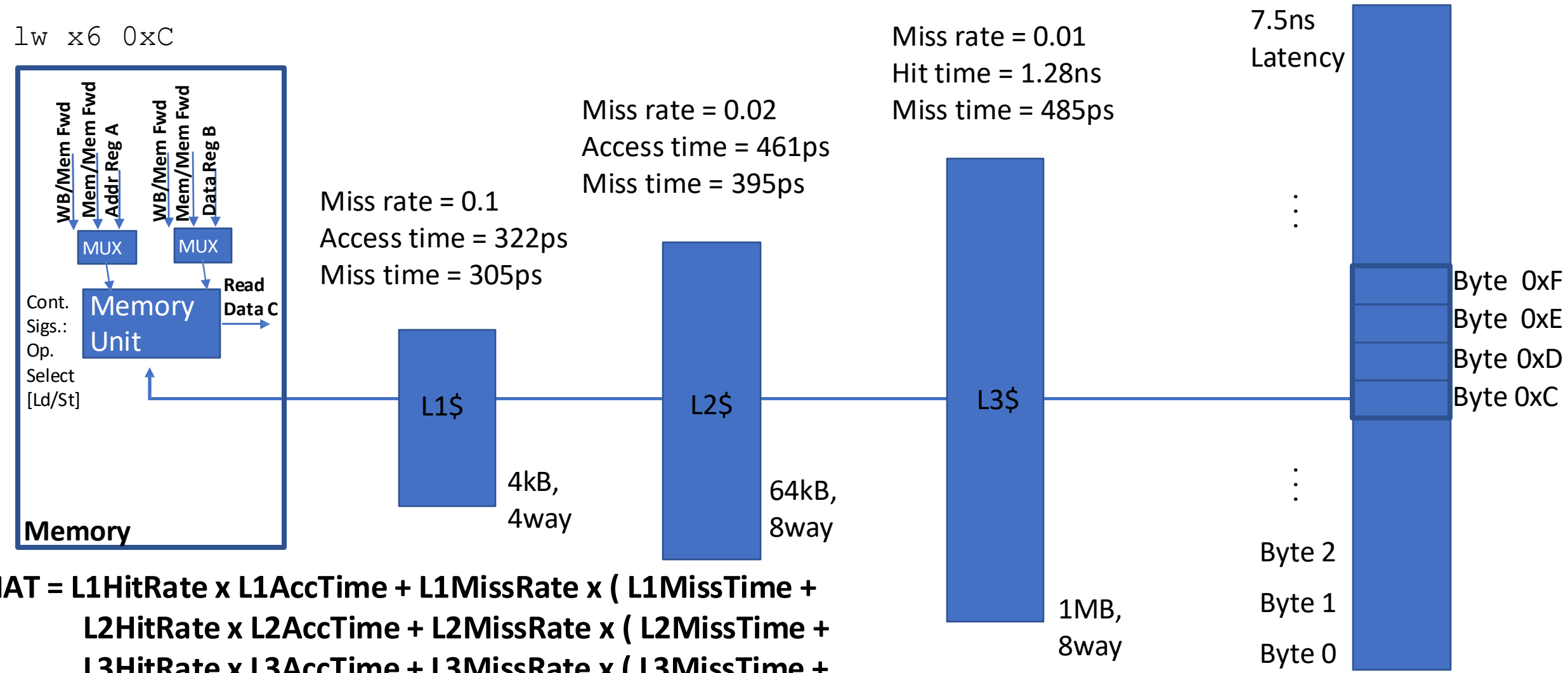
Compute the time taken by the average access based on miss rate, hit latency, and miss penalty at each level

Average Memory Access Time (AMAT): Measuring the performance of a memory hierarchy



Compute the time taken by the average access based on miss rate, hit latency, and miss penalty at each level

Average Memory Access Time (AMAT): Measuring the performance of a memory hierarchy



AMAT = L1HitRate x L1AccTime + L1MissRate x (L1MissTime +
L2HitRate x L2AccTime + L2MissRate x (L2MissTime +
L3HitRate x L3AccTime + L3MissRate x (L3MissTime +
DRAM Latency)))

Computing the AMAT 1/2/4/23 90% hits

Miss rate = 0.1
Access time = 322ps
(1 cycle @ 3GHz)
Miss time = 305ps

Miss rate = 0.02
Access time = 461ps
(2 cycles @ 3GHz)
Miss time = 395ps

Miss rate = 0.01
Hit time = 1.28ns
(4 cycles @ 3GHz)
Miss time = 485ps

DRAM Latency
7.5ns (CAS latency)
(23 cycles @ 3GHz)

0.322ns x 0.9 + 0.1 x (0.305ns +
0.461ns x 0.98 + 0.02 x (0.395ns +
1.28ns x 0.99 + 0.01 x (0.485ns +
7.5ns)))

AMAT in Seconds

1 x 0.9 + 0.1 x (1 +
2 x 0.98 + 0.02 x (2 +
4 x 0.99 + 0.01 x (2 +
23)))

AMAT in Cycles

Computing the AMAT

Miss rate = 0.1
Access time = 322ps
Miss time = 305ps

Miss rate = 0.02
Access time = 461ps
Miss time = 395ps

Miss rate = 0.01
Hit time = 1.28ns
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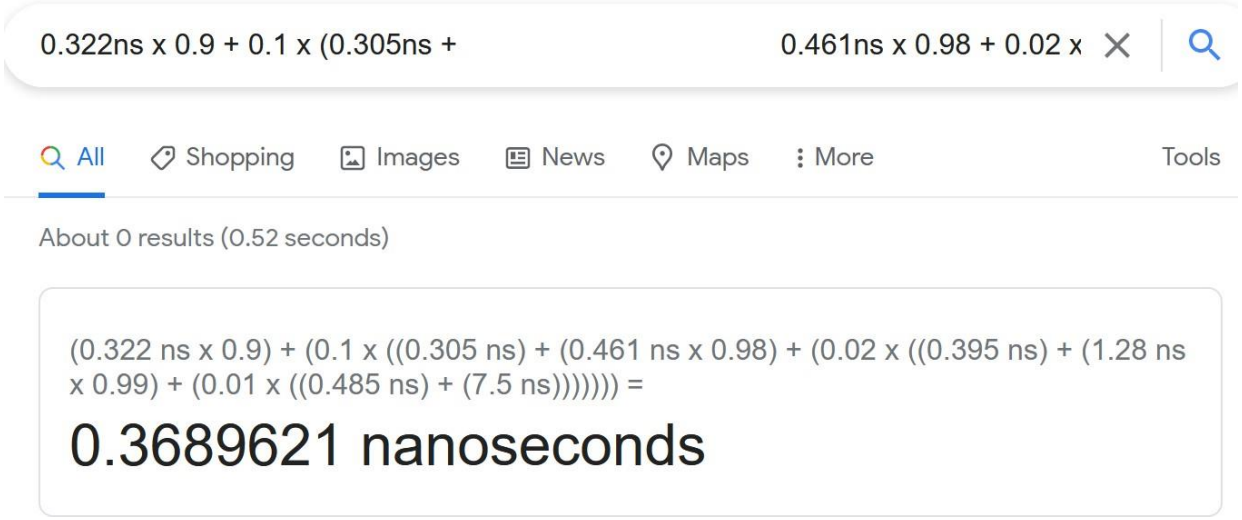
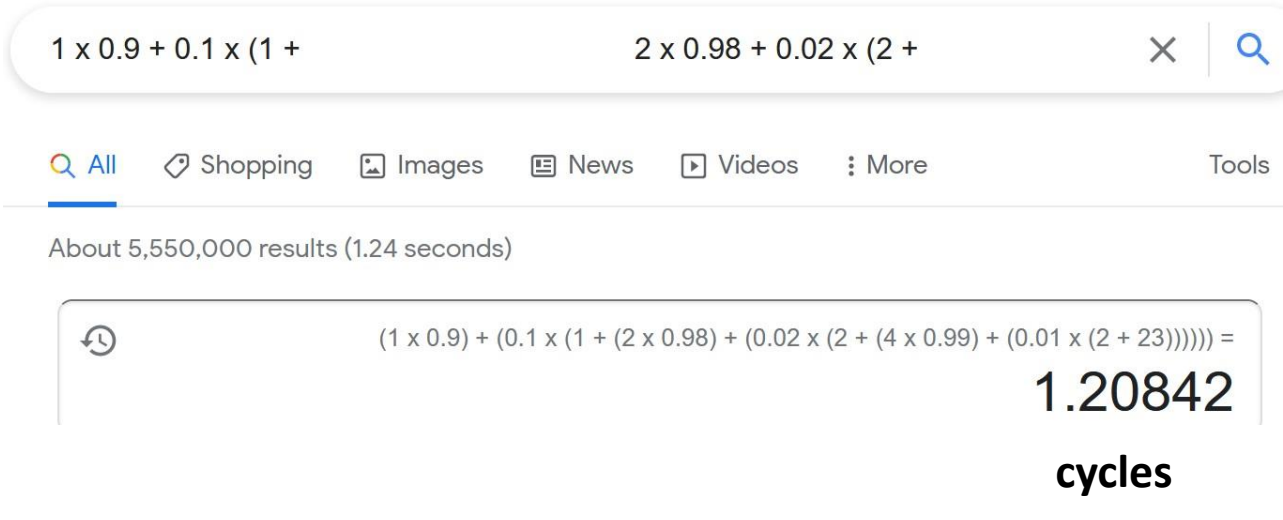
DRAM Latency
7.5ns (CAS latency)





Computing the AMAT – 2/5/10/30 90% hits

Miss rate = 0.1
Access time = 2 cycles
Miss time = 2 cycles

Miss rate = 0.02
Access time = 5 cycles
Miss time = 5 cycles

Miss rate = 0.01
Hit time = 10 cycles
Miss time = 10 cycles

DRAM Latency
30 cycles

2 x 0.9 + 0.1 x (2 +
5 x 0.98 + 0.02 x (5 +
10 x 0.99 + 0.01 x (10 +
30))) = 2.52 cycles = **3 cycles**

AMAT in cycles

Computing the AMAT – 2/5/10/30 80% hits

Miss rate = 0.2
Access time = 2 cycles
Miss time = 2 cycles

Miss rate = 0.02
Access time = 5 cycles
Miss time = 5 cycles

Miss rate = 0.01
Hit time = 10 cycles
Miss time = 10 cycles

DRAM Latency
30 cycles

2 x 0.8 + 0.2 x (2 +
5 x 0.98 + 0.02 x (5 +
10 x 0.99 + 0.01 x (10 +
30))) = 3.04 cycles **= 4 cycles = 2 x L1 latency!**

AMAT in cycles

The ABCs of Optimizing a Cache

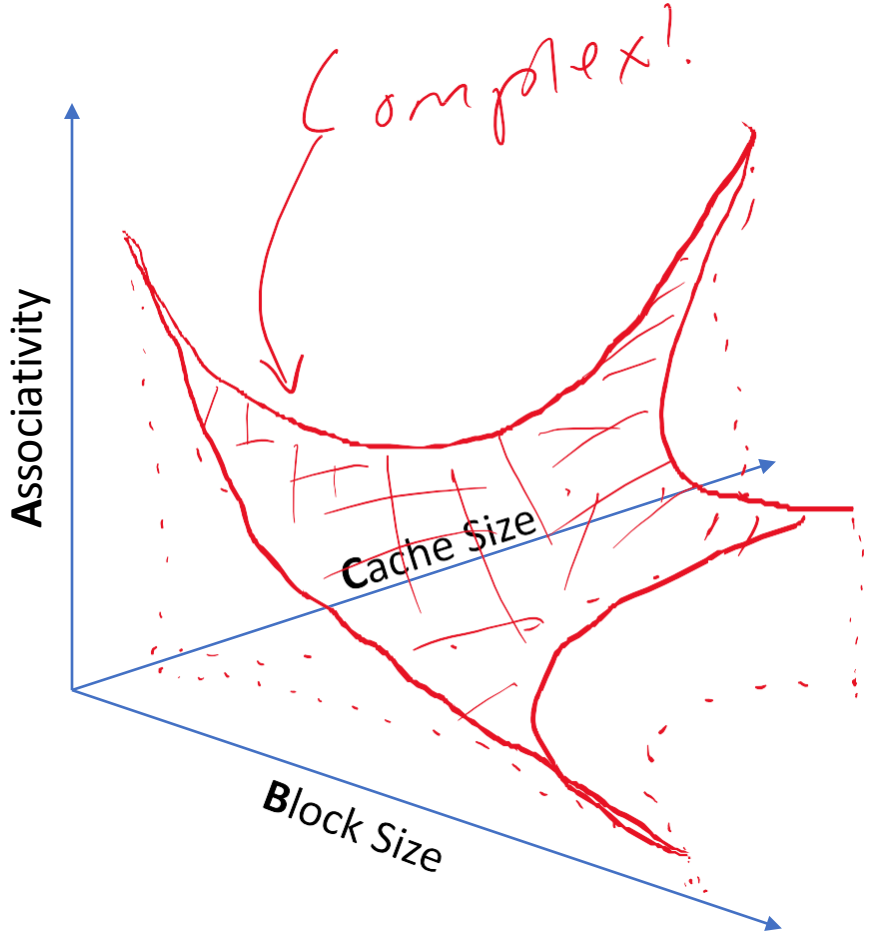
Associativity vs. Block Size vs Cache Size

Many complex inter-dependent factors determine cache performance

- Associativity
- Block Size
- Cache Size
- Replacement Policy
- Write allocation policy
- Write propagation policy

Best option depends on workload!

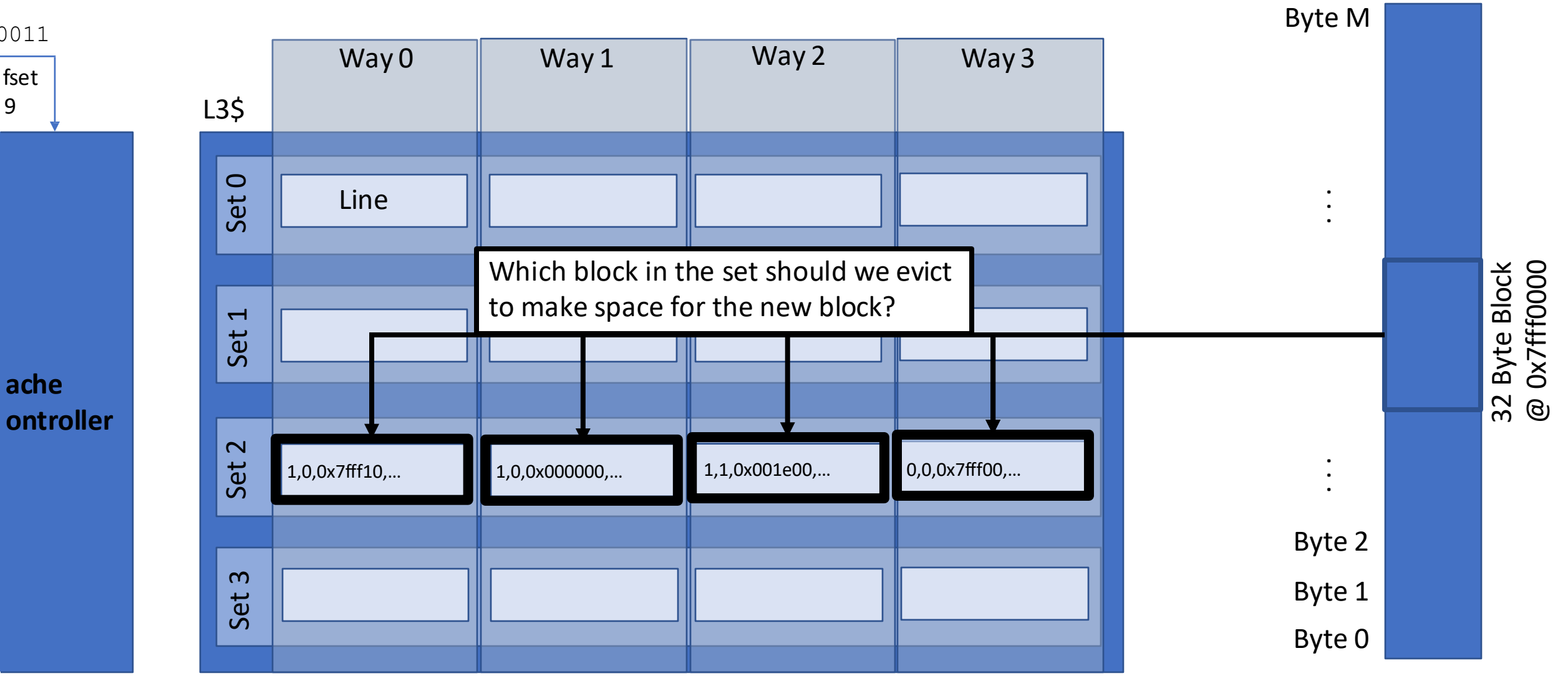
- Factors will sometimes work *against* one another, where improving degrades another. (we will study this next week)



Replacement Policies

Replacement Policies

```
lb x6 0x7fff0053
```



Replacement Policies – Round Robin

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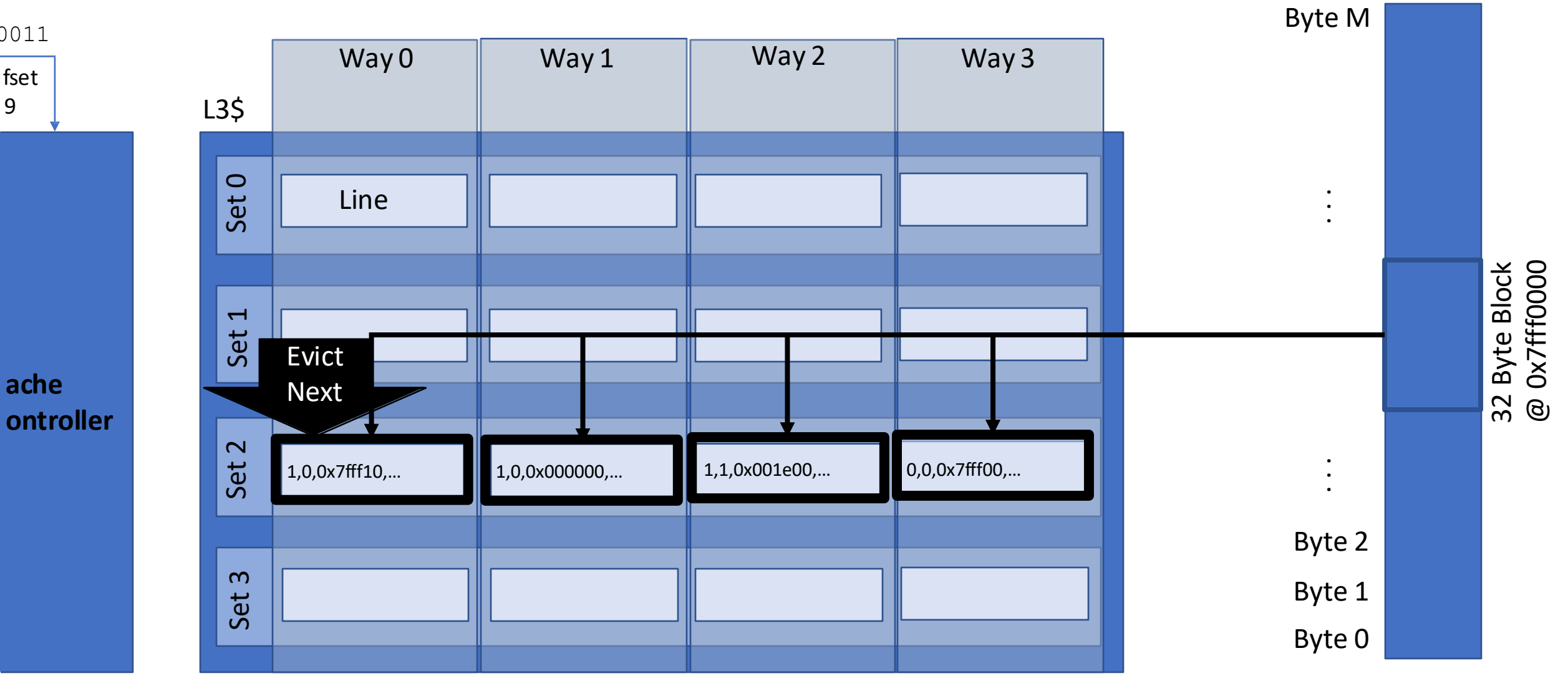

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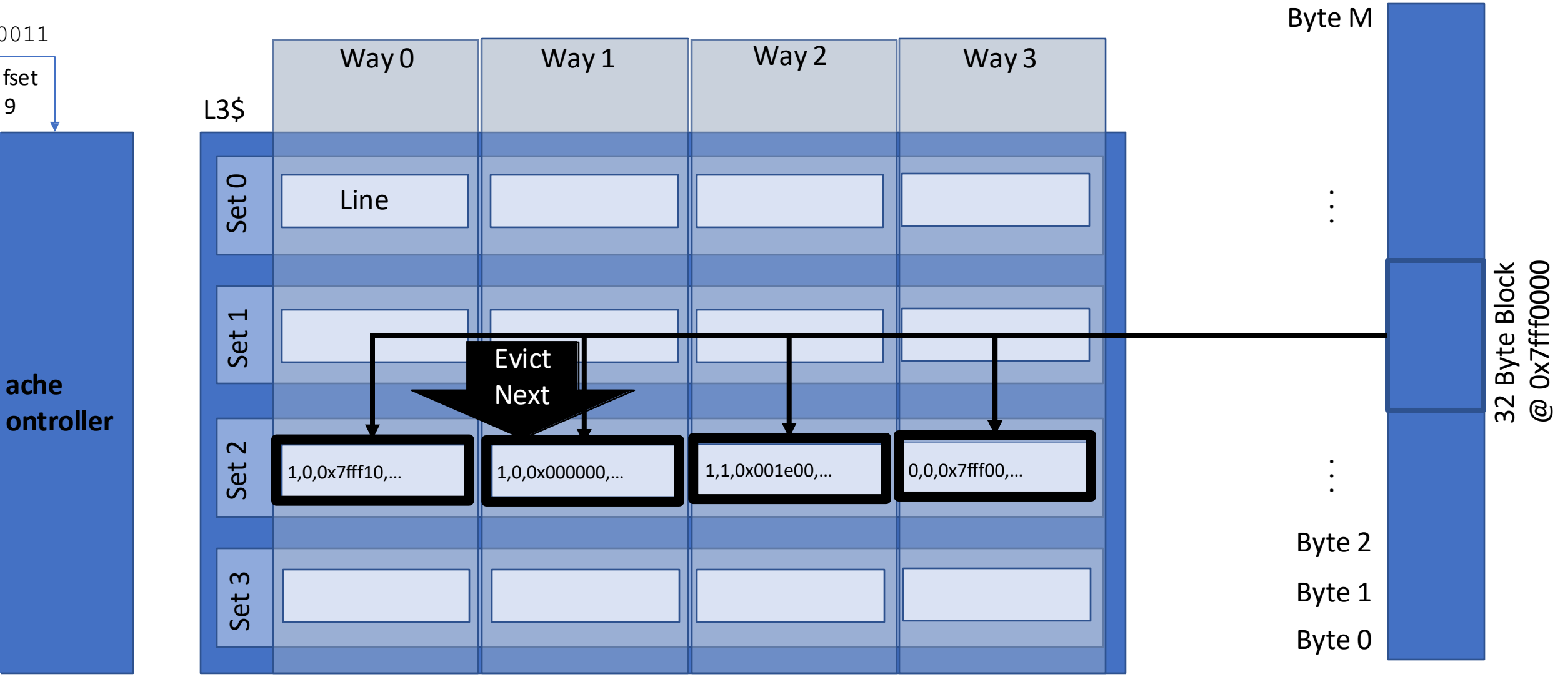

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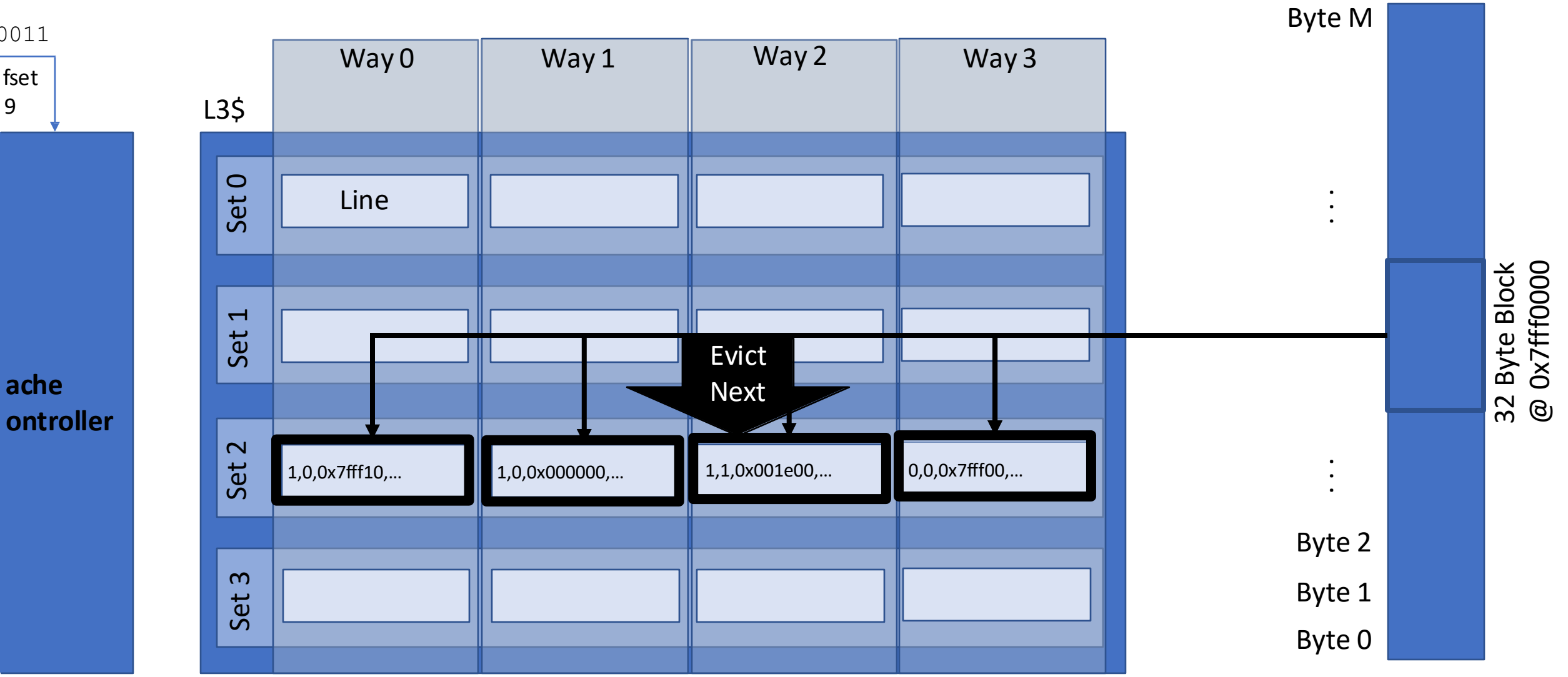

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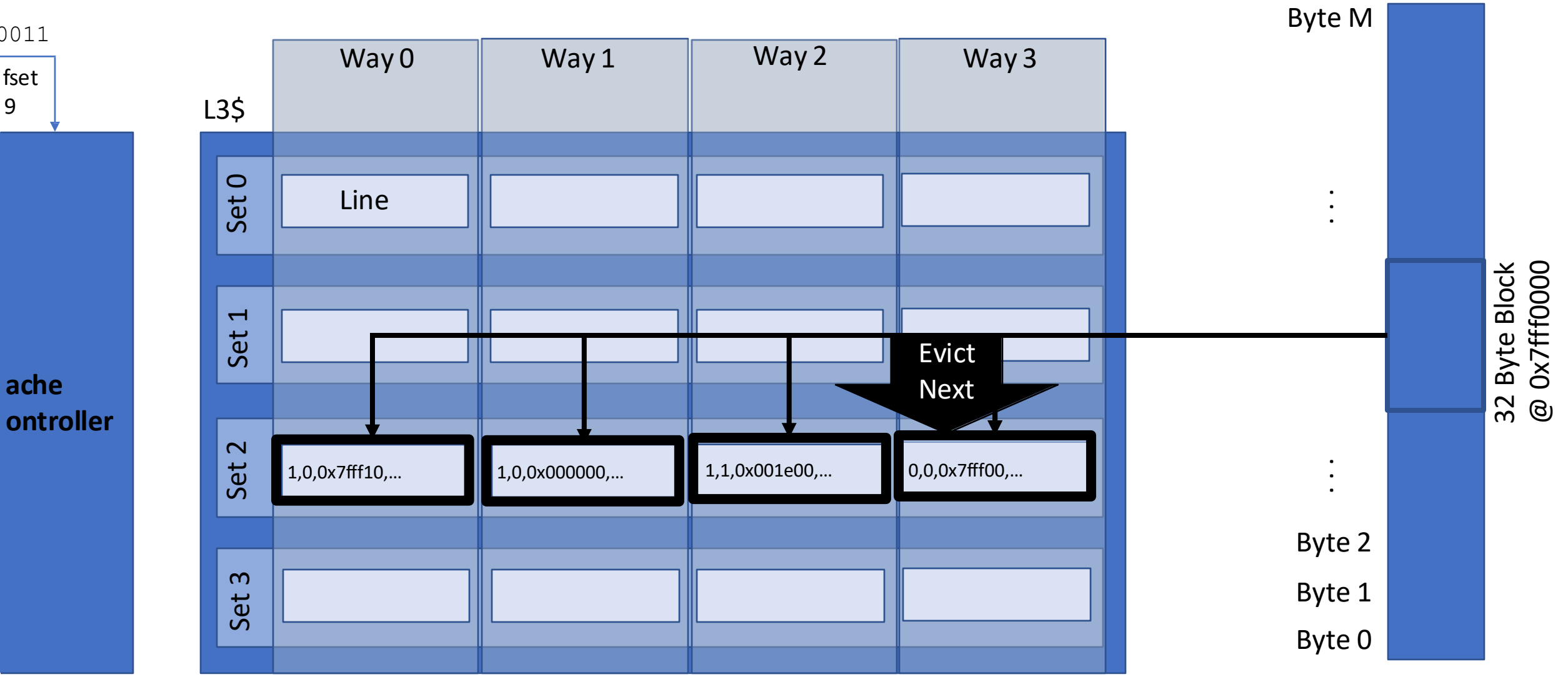

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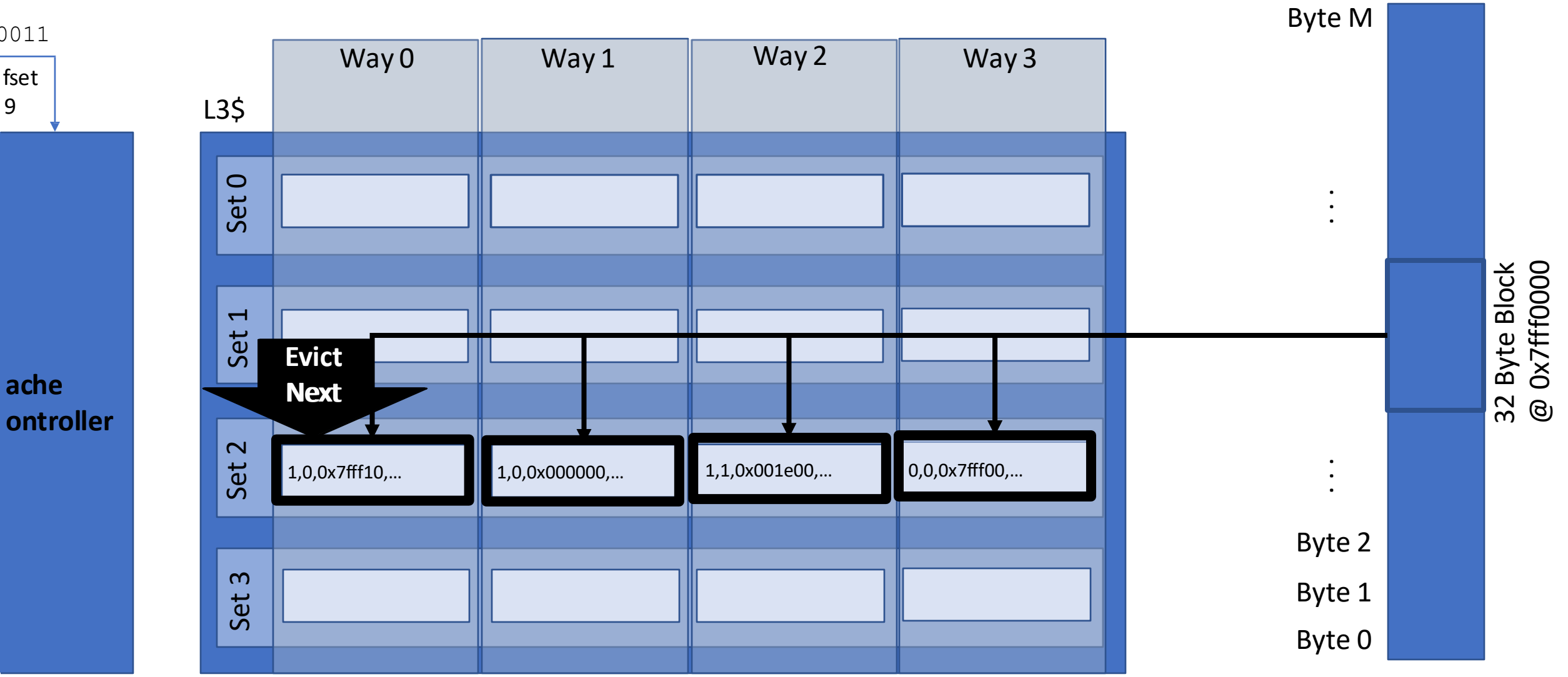
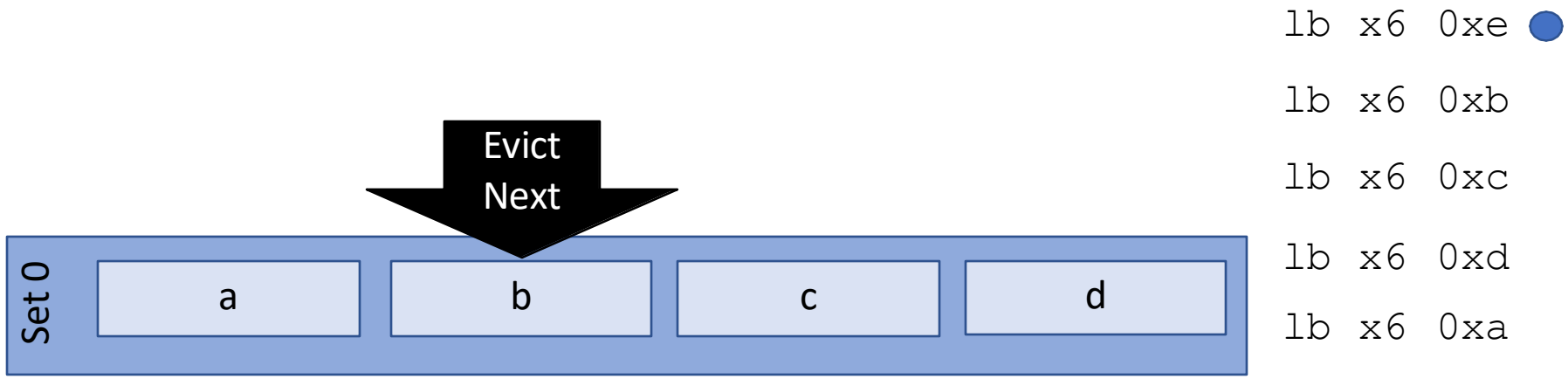


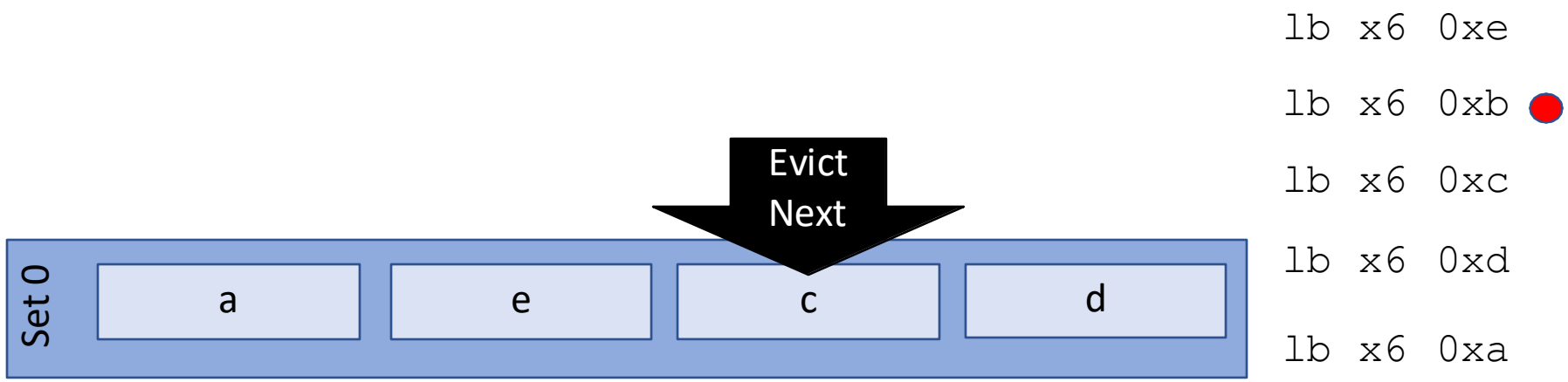
Replacement Policies – Round-Robin Analysis



Advantage: Simple to implement and understand

Disadvantage: Hopefully the next to evict isn't going to be the next to be accessed…

Replacement Policies – Round-Robin Analysis



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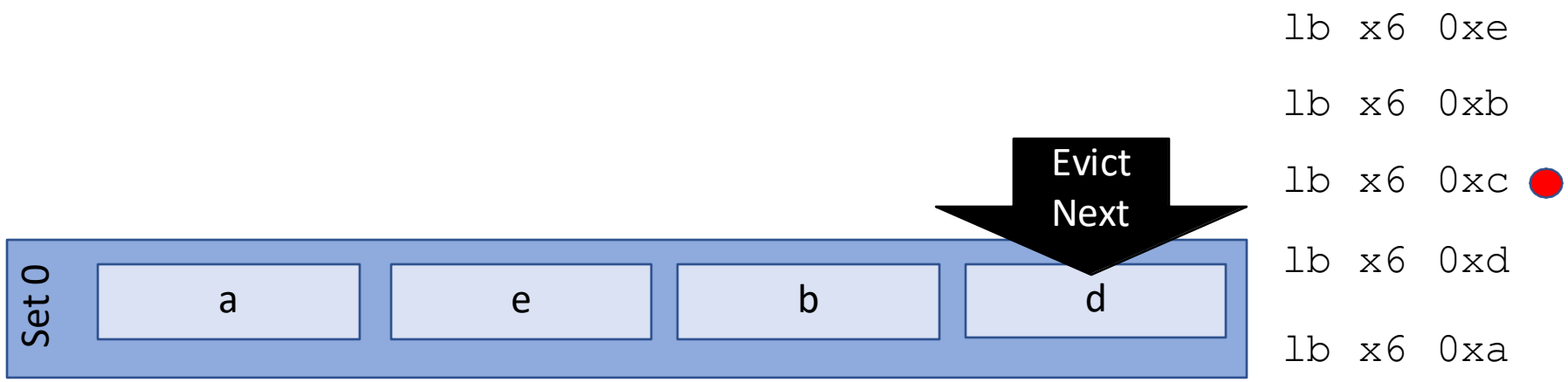

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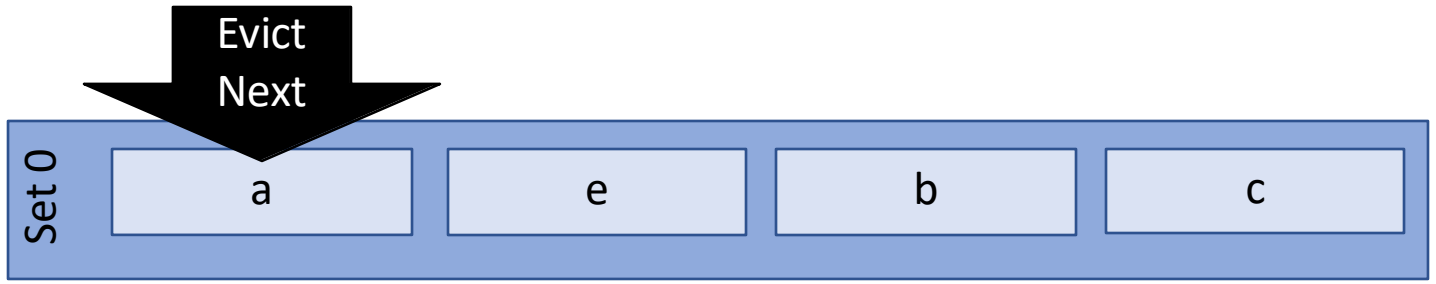
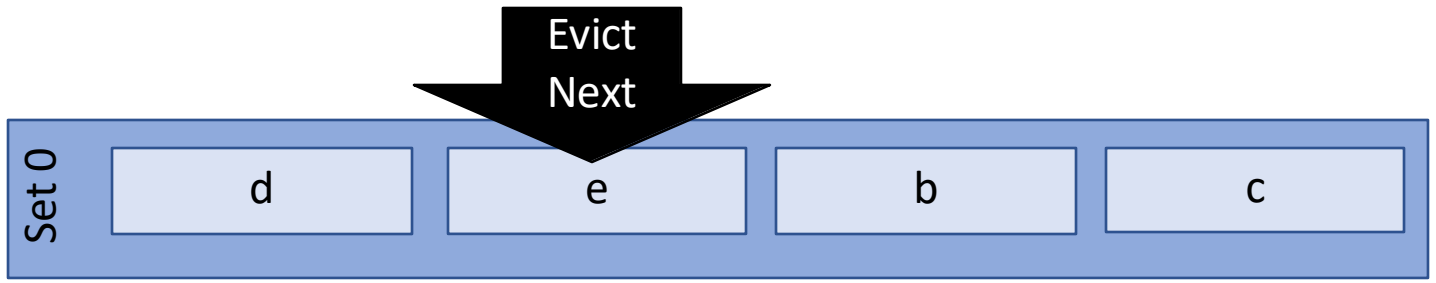



```
lb x6 0xe
lb x6 0xb
lb x6 0xc
lb x6 0xd
lb x6 0xa
```

Advantage: Simple to implement and understand

Disadvantage: Hopefully the next to evict isn't going to be the next to be accessed…

Replacement Policies – Round-Robin Analysis

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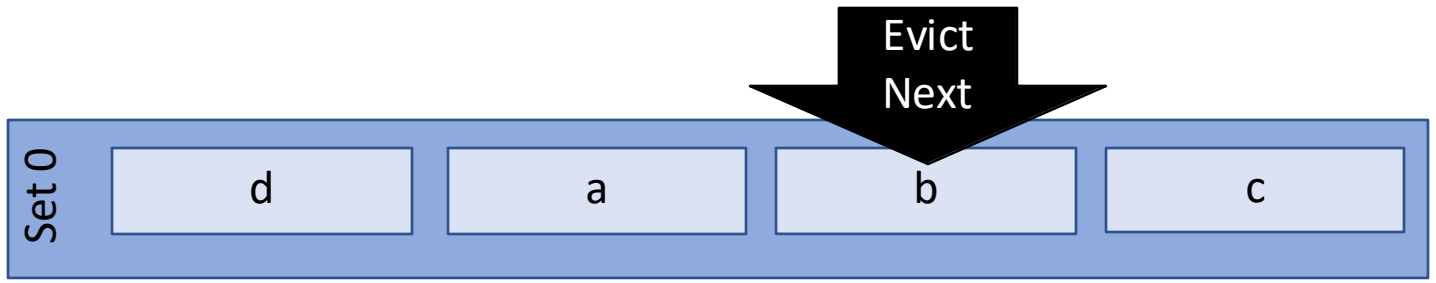
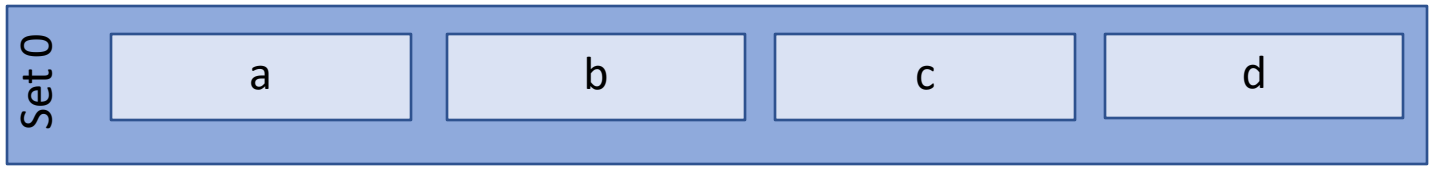



```
lb x6 0xe
lb x6 0xb
lb x6 0xc
lb x6 0xd
lb x6 0xa
```

Advantage: Simple to implement and understand
Disadvantage: Hopefully the next to evict isn't going to be the next to be accessed…

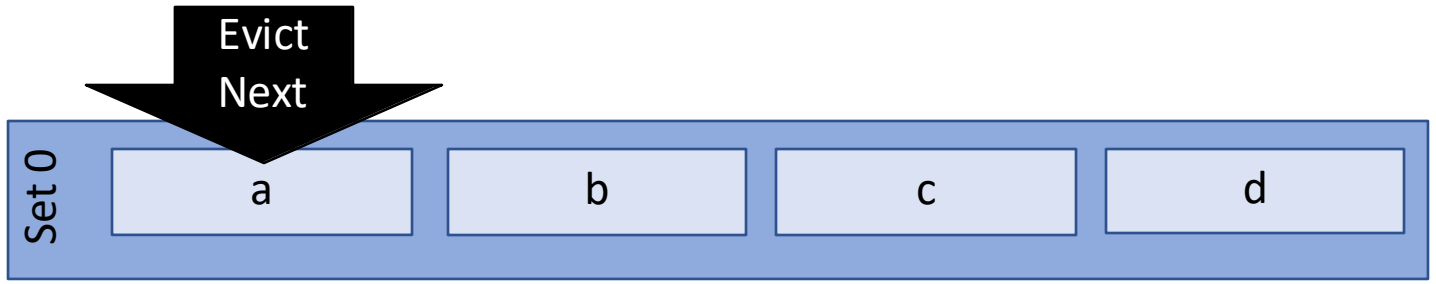
Minimum Number of Misses?

```
lb x6 0xe
lb x6 0xb
lb x6 0xc
lb x6 0xd
lb x6 0xa
```



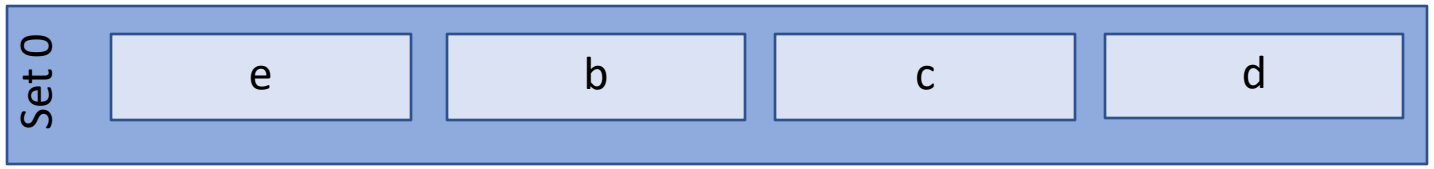
What is the best replacement strategy to minimize misses & **why**?

Minimum Number of Misses?




```
lb x6 0xe
lb x6 0xb
lb x6 0xc
lb x6 0xd
lb x6 0xa
```

When are we going to re-use cached data?

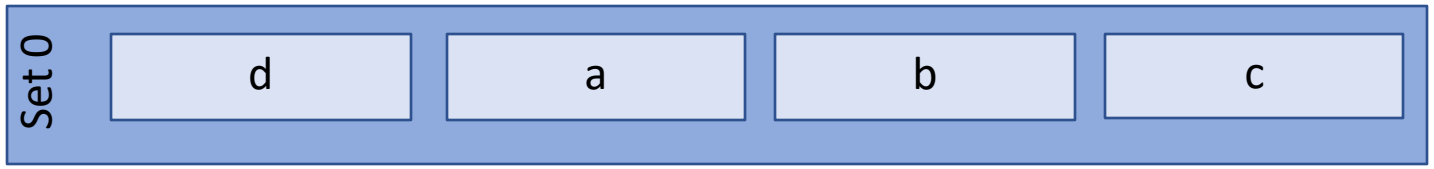



```
lb x6 0xe    Miss
lb x6 0xb    Hit
lb x6 0xc    Hit
lb x6 0xd    Hit
lb x6 0xa    Miss
```

Replacement decisions must be informed by the next **reuse** of a block of data.

Think: what is an optimal policy? How far in the future is something going to be used again?

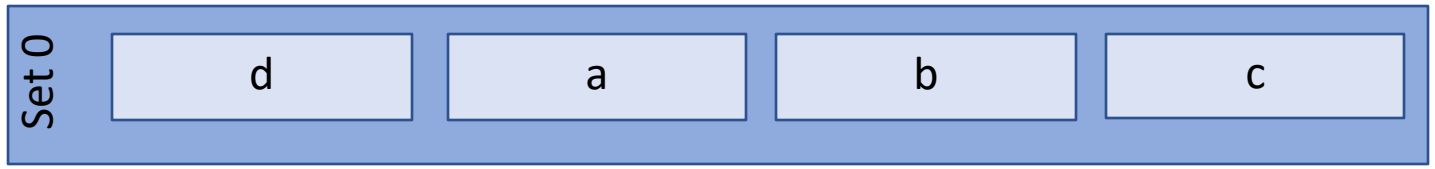
When are we going to re-use cached data?




```
lb x6 0xe    Miss
lb x6 0xb    Hit
lb x6 0xc    Hit
lb x6 0xd    Hit
lb x6 0xa    Miss
```

What defines optimality for a cache replacement algorithm?

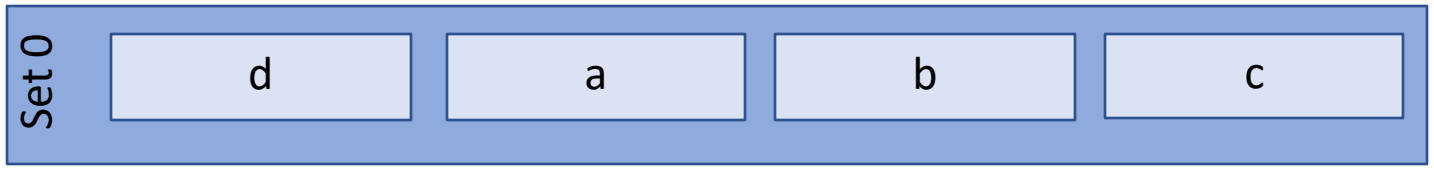
Belady's MIN Algorithm for Optimal Replacement




```
lb x6 0xe   Miss
lb x6 0xb   Hit
lb x6 0xc   Hit
lb x6 0xd   Hit
lb x6 0xa   Miss
```

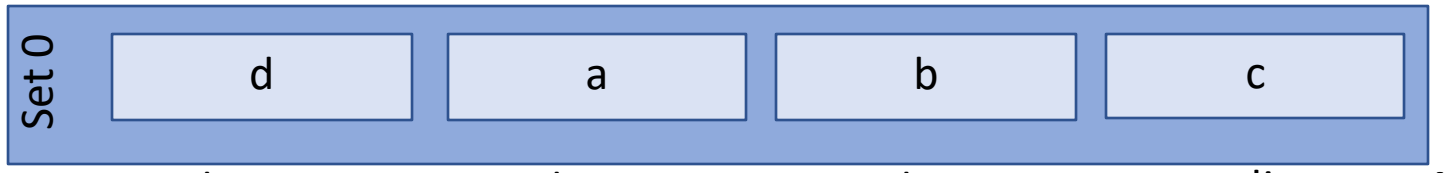
Bélády László:
"What defines optimality for a cache replacement algorithm?"
Evict the cached element that will be used furthest in the future.

Belady's MIN Algorithm for Optimal Replacement




```
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xa
lb x6 0xc
lb x6 0xa
lb x6 0xd
lb x6 0xa
lb x6 0xe
lb x6 0xa
lb x6 0xf
lb x6 0xe
```

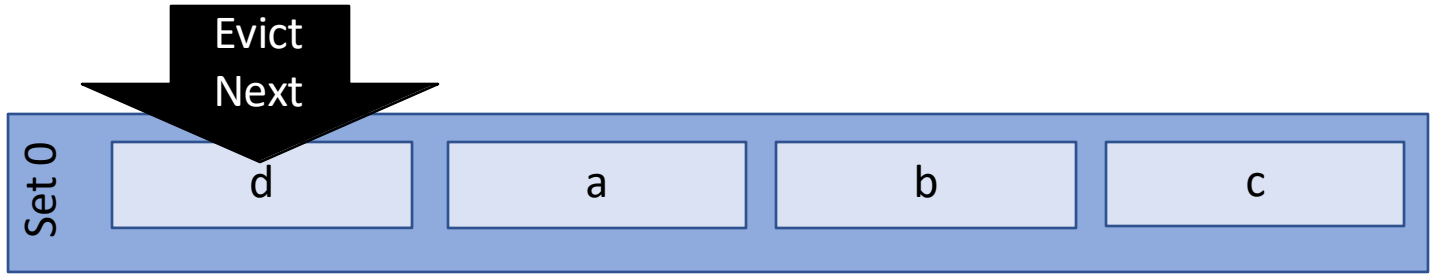
Belady's MIN Algorithm for Optimal Replacement



reuse distance: 6 reuse distance: 1 reuse distance: 2 reuse distance: 4

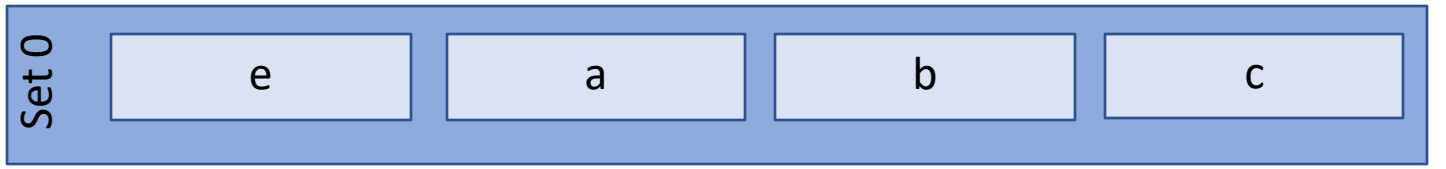
```
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xa
lb x6 0xc
lb x6 0xa
lb x6 0xd
lb x6 0xa
lb x6 0xe
lb x6 0xa
lb x6 0xf
lb x6 0xe
```

Belady's MIN Algorithm for Optimal Replacement




```
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xa
lb x6 0xc
lb x6 0xa
lb x6 0xd
lb x6 0xa
lb x6 0xe
lb x6 0xa
lb x6 0xf
lb x6 0xe
```

Belady's MIN Algorithm for Optimal Replacement



reuse distance: 2 reuse distance: 1 reuse distance: 4 reuse distance: ∞

```
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xa
lb x6 0xc
lb x6 0xa
lb x6 0xd
lb x6 0xa
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xa
```

Belady's MIN Algorithm for Optimal Replacement


```
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xa
lb x6 0xc
lb x6 0xa
lb x6 0xd
lb x6 0xa
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xa
```

Belady's MIN Algorithm for Optimal Replacement

```
findBlockMIN(){
    0://init reuse distances
    1:for each block in cache, b:
    2:    RD[b] = 0; RD_done[b] = false;
    3://look forward in the execution trace
    4:for each access, a, forward in execution trace:
    5://increment reuse distance for each block not already seen
    6:     for each block in cache, b:
    7:         if RD_done[b] == false:
    8:             RD[b]++;
    9:    RD_done[a.block] = true
   10://MIN finds the block with maximum RD
   11:return argmax(b,RD[b])
```

MIN results in the MINimum number of replacements in a cache for an execution trace.

Belady's MIN Algorithm for Optimal Replacement

```
findBlockMIN(){
    0://init reuse distances
    1:for each block in cache, b:
    2:    RD[b] = 0; RD_done[b] = false;
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```

See any limitations of the MIN algorithm for cache replacement?

Belady's MIN Algorithm for Optimal Replacement

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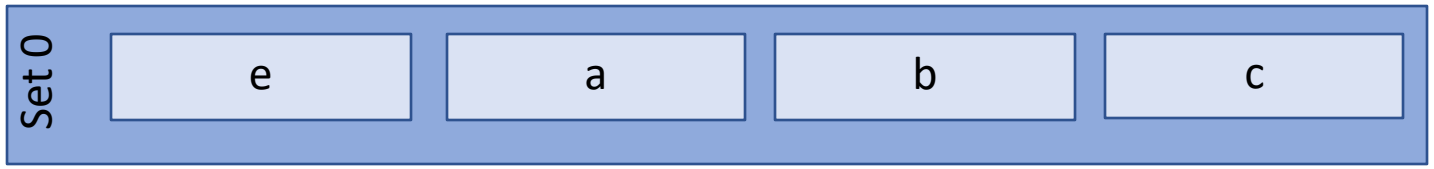
findBlockMIN(){
    0://init reuse distances
    1:for each block in cache, b:
    2:    RD[b] = 0; RD_done[b] = false;
    3://look forward in the execution trace
    4:for each access, a, forward in execution trace:
    5://increment reuse distance for each block not already seen
    6:    for each block in cache, b:
    7:        if RD_done[b] == false:
    8:            RD[b]++;
    9:    RD_done[a.block] = true
   10://MIN finds the block with maximum RD
   11:return argmax(b,RD[b])
```

Need omniscient future knowledge of the execution trace of your program!

MIN is optimal, but not practically implementable

Practical Replacement Algorithms

General idea: Assume the near future is similar to the recent past




```
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xa
lb x6 0xc
lb x6 0xa
lb x6 0xd
lb x6 0xa
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xe
```

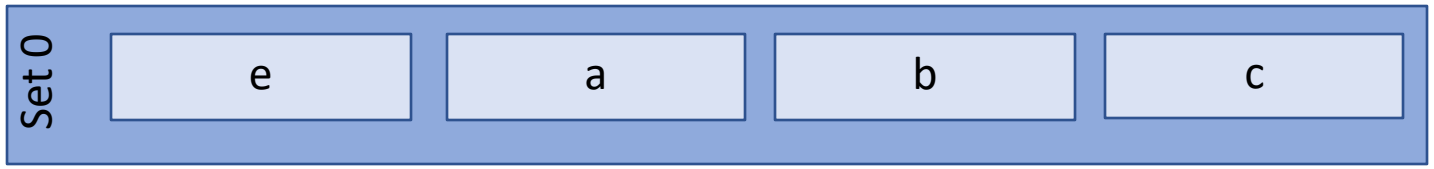
knowable

guessable

If a block was used recently, it will be used again soon

Least-Recently Used (LRU) Replacement

Evict the block that was used the furthest in the execution's past




```
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xa
lb x6 0xc
lb x6 0xa
lb x6 0xd
lb x6 0xa
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xe
```

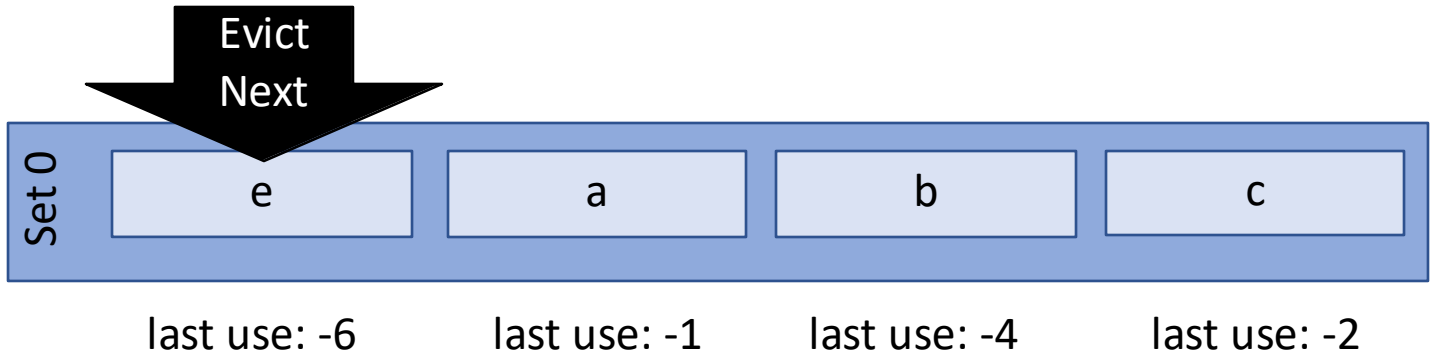
knowable

guessable

If a block was **not** used recently, it will **not** be used again soon

Least-Recently Used (LRU) Replacement

Evict the block that was used the furthest in the execution's past



LRU's Gamble: "Haven't used block 0xe for longest, probably won't use it again any time soon, either"

```
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xa
lb x6 0xc
lb x6 0xa
lb x6 0xd
lb x6 0xa
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xe
```

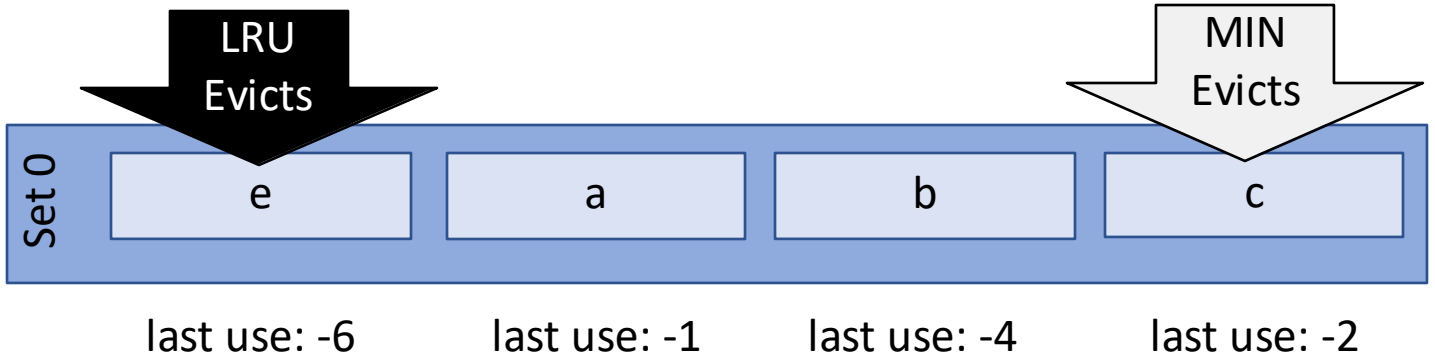
knowable

guessable

If a block was **not** used recently, it will **not** be used again soon

Least-Recently Used (LRU) Replacement

Evict the block that was used the furthest in the execution's past



Caveat: LRU is wrong if past does not predict future

Caveat to caveat: past *usually* predicts future well

```
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xa
lb x6 0xc
lb x6 0xa
lb x6 0xd
lb x6 0xa
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xe
```

knowable

guessable

If a block was **not** used recently, it will **not** be used again soon

(Naïve) LRU Algorithm & Implementability

```
accessCacheLRU(access a){
  for each block in cache, b:
    if b != a.block:
      LRU_Age[b]++
  LRU_Age[b] = 0
}


findBlockLRU(){
  return argmax(b,LRU_Age)
}
```

Implementability and limitations of LRU?

(Naïve) LRU Algorithm & Implementability

```
accessCacheLRU(access a){
  for each block in cache, b:
    if b != a.block:
      LRU_Age[b]++
  LRU_Age[b] = 0
}


findBlockLRU(){
  return argmax(b,LRU_Age)
}
```

Implementability! Does not require unknowable information about future of execution

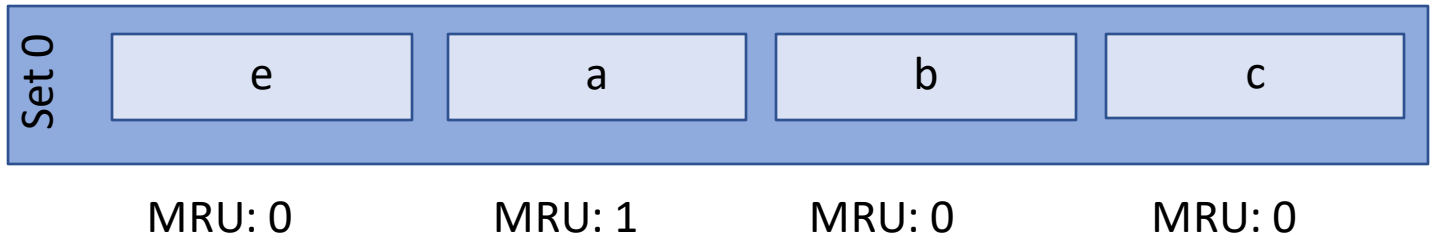
Limitation! Requires accessing metadata for *every* block on each access to *any* block.

Time & energy cost to update ages. Area & power cost to store age values.

Does not scale beyond about 4 way set associativity.

Bit-Pseudo-Least-Recently Used (Bit-PLRU)

Evict a block that was definitely not most recently used




```
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xa
lb x6 0xc
lb x6 0xa
lb x6 0xd
lb x6 0xa
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xe
```

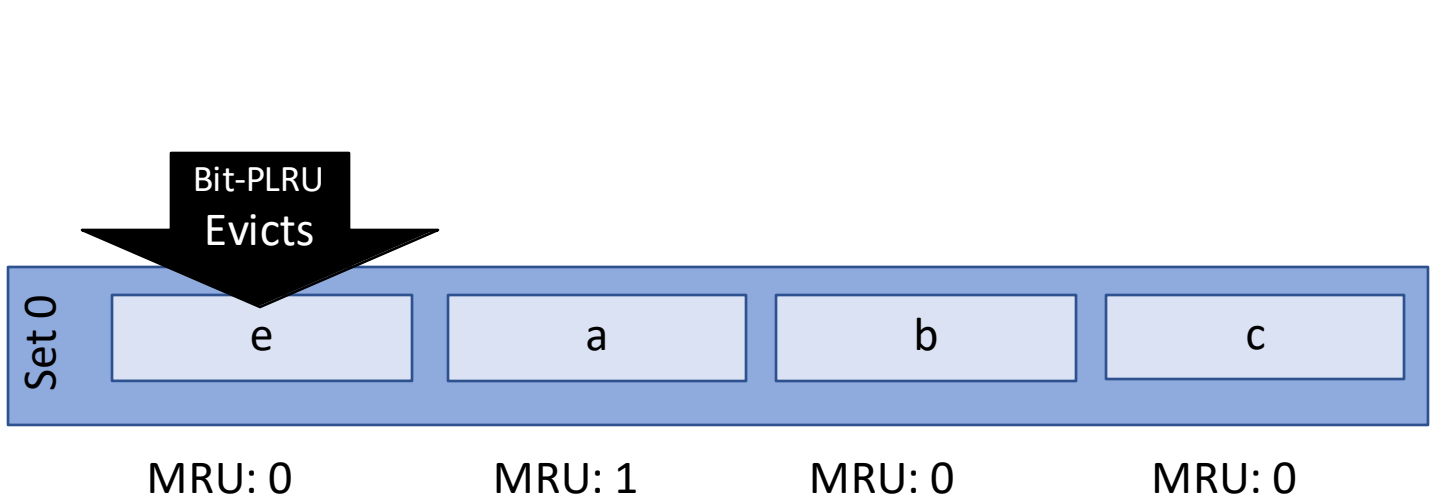
knowable

guessable

Set MRU bit when block is used (most recently), clear all MRU bits when all MRU bits are set, evict the left-most block with unset MRU bit

Bit-Pseudo-Least-Recently Used (Bit-PLRU)

Evict a block that was definitely not most recently used




```
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xa
lb x6 0xc
lb x6 0xa
lb x6 0xd
lb x6 0xa
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xe
```

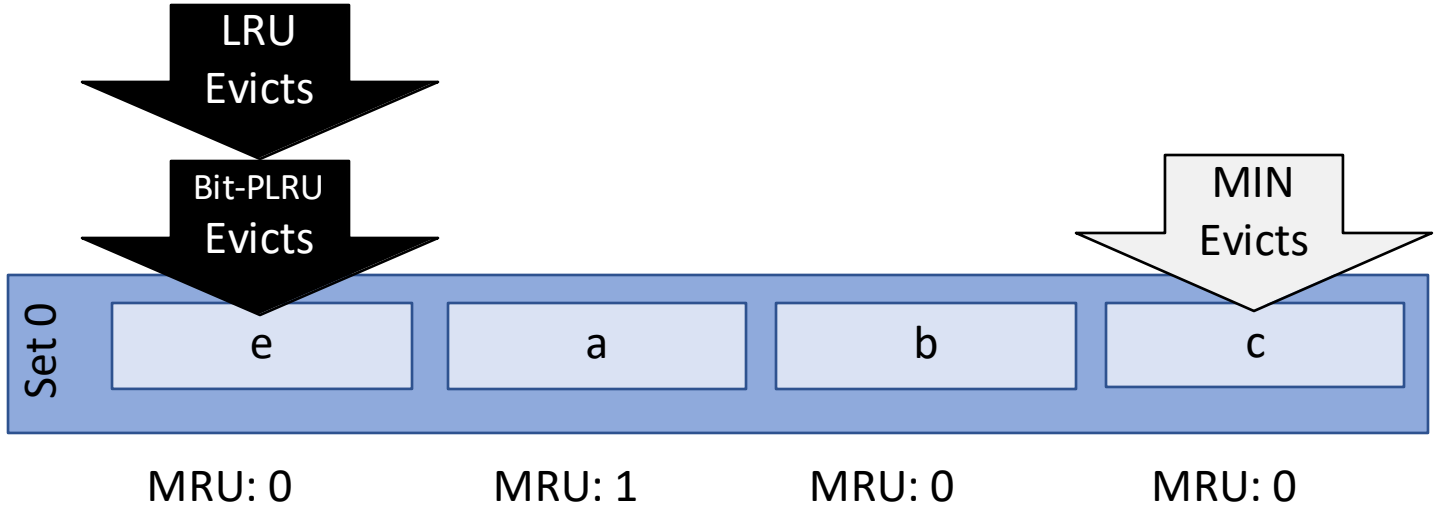
knowable

guessable

Set MRU bit when block is used (most recently), clear all MRU bits when all MRU bits are set, evict the left-most block with unset MRU bit

Bit-Pseudo-Least-Recently Used (Bit-PLRU)

Evict a block that was definitely not most recently used




```
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xa
lb x6 0xc
lb x6 0xa
lb x6 0xd
lb x6 0xa
lb x6 0xe
lb x6 0xa
lb x6 0xb
lb x6 0xe
```

knowable

guessable

Bit-PLRU is a decent approximation of LRU

Bit-PLRU Algorithm & Implementability

```
accessCachePLRU(access a){
  MRU_Bit[a.block] = 1
  if ++MRU_BitSum == setSize:
    for each block in cache, b:
      MRU_Bit[b] = 0
    MRU_BitSum = 0
}


findBlockLRU(){
  for i in 0..setSize:
    if !MRU_Bit[i]:
      return block(i);
}
```

Implementability and limitations of Bit-PLRU?

Bit-PLRU Algorithm & Implementability

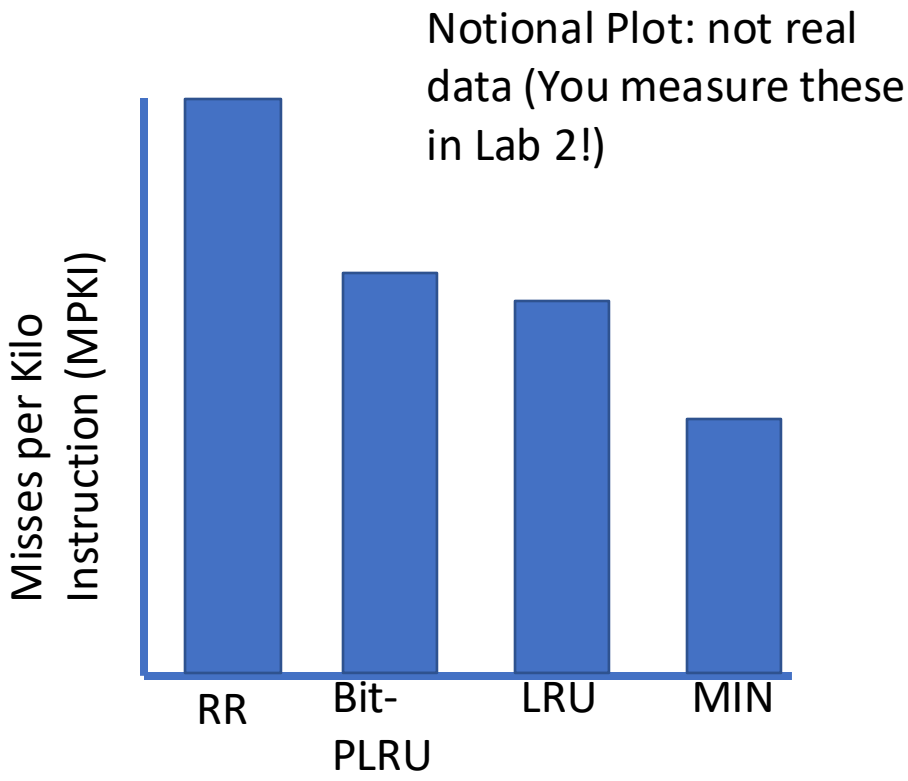
```
accessCachePLRU(access a){
  MRU_Bit[a.block] = 1
  if ++MRU_BitSum == setSize:
    for each block in cache, b:
      MRU_Bit[b] = 0
    MRU_BitSum = 0
}


findBlockLRU(){
  for i in 0..setSize:
     if !MRU_Bit[i]:
       return block(i);
}
```

Implementability! No future knowledge, 1 bit/block overhead, block-local metadata updates on access (no O(n) aging operation)

Limitation! Approximates LRU, which approximates MIN by guessing based on history…

Replacement Policies – Performance & Complexity Cost/Benefit Analysis



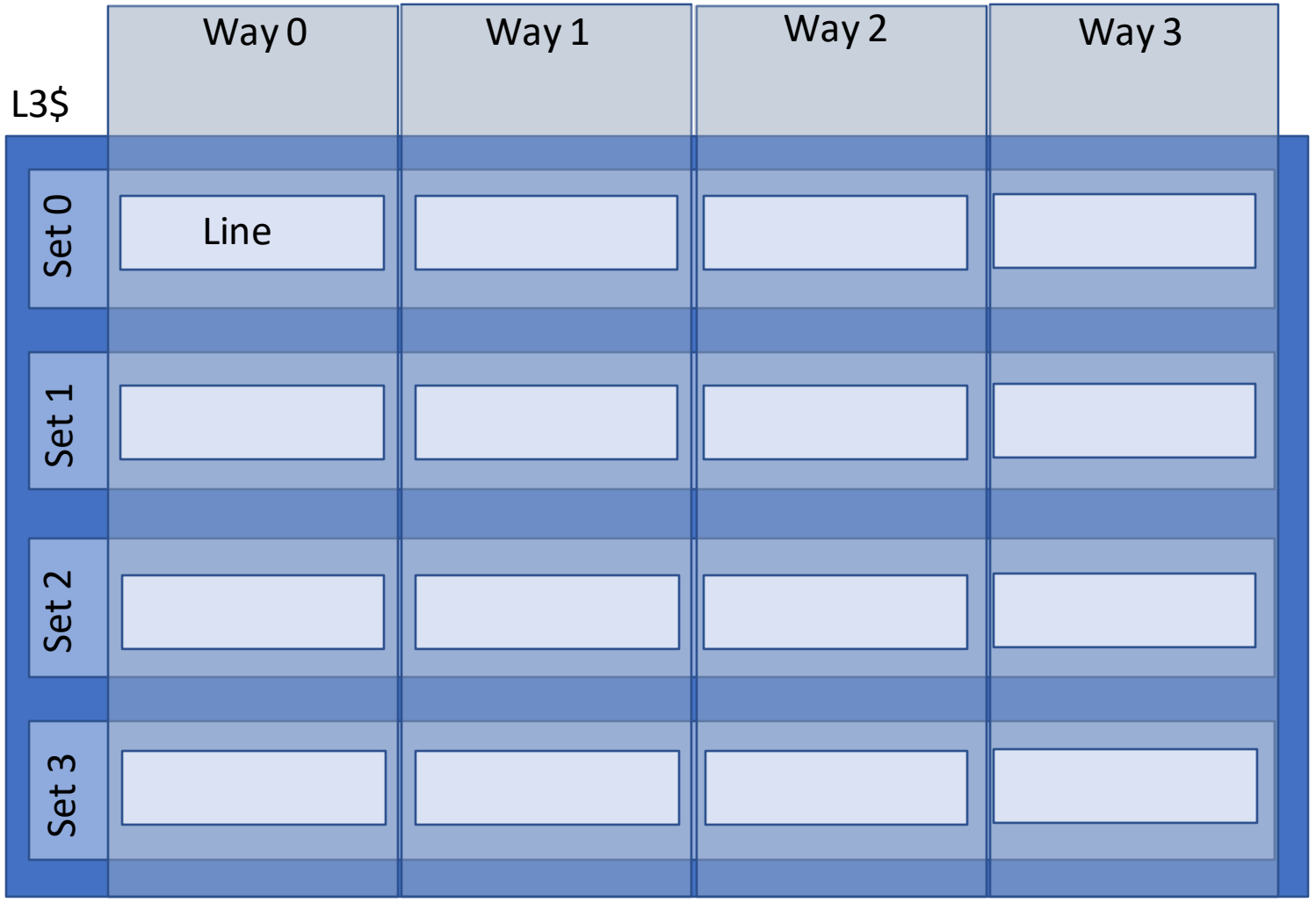
RR: log(set size) bits per set to track next to evict, no action on access

Bit-PLRU: 1 MRU bit per block + log(set size) bits per set (or equivalent logic) to detect all set,
Clear bits on access if all bits set

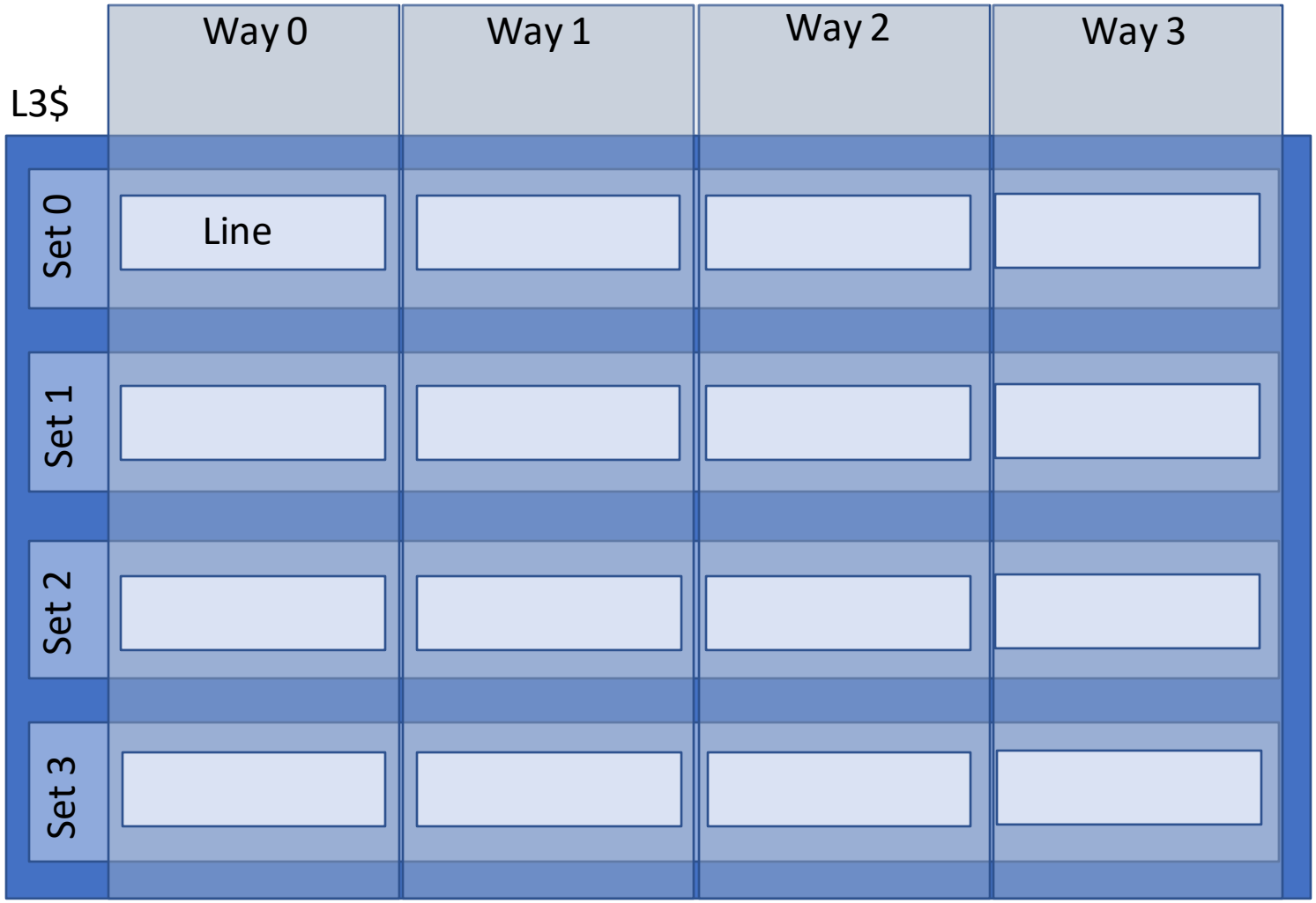
LRU: 1 age per block + logic to track max.
Update (set size - 1) ages on any access

MIN: unimplementable, requires future knowledge of execution trace.

More cache-related optimizations



Recall a Set Associative Caches



What type of miss can be addressed by cache design?

- Cold?
- Capacity?
- Conflict?

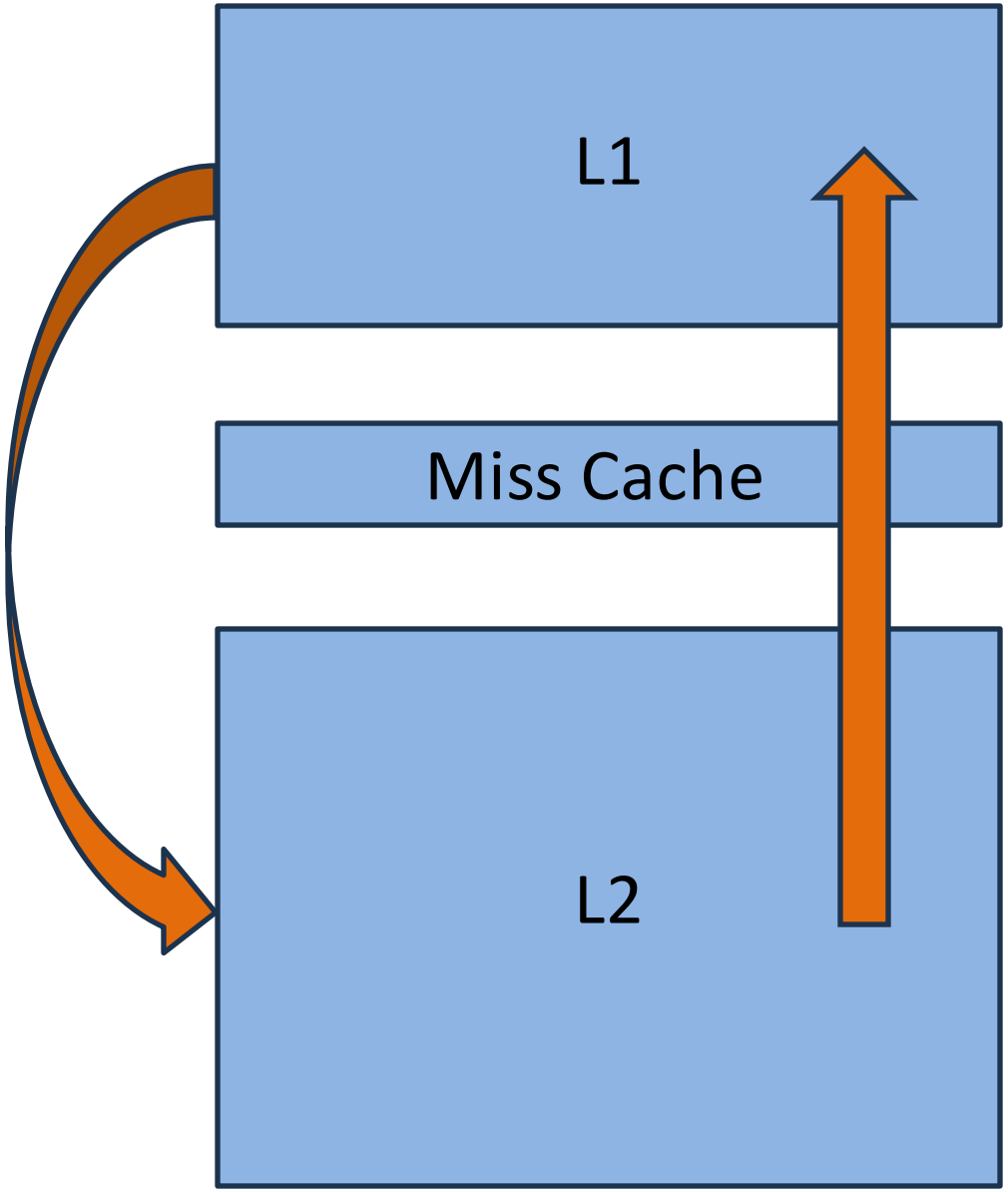
What can we do to address those misses without doing the impractical:

- Increasing cache size significantly(costly)
- Increasing associativity (slower)

Address the most glaring misses caused by partitioning, i.e. conflict misses

- But how?

Miss Cache

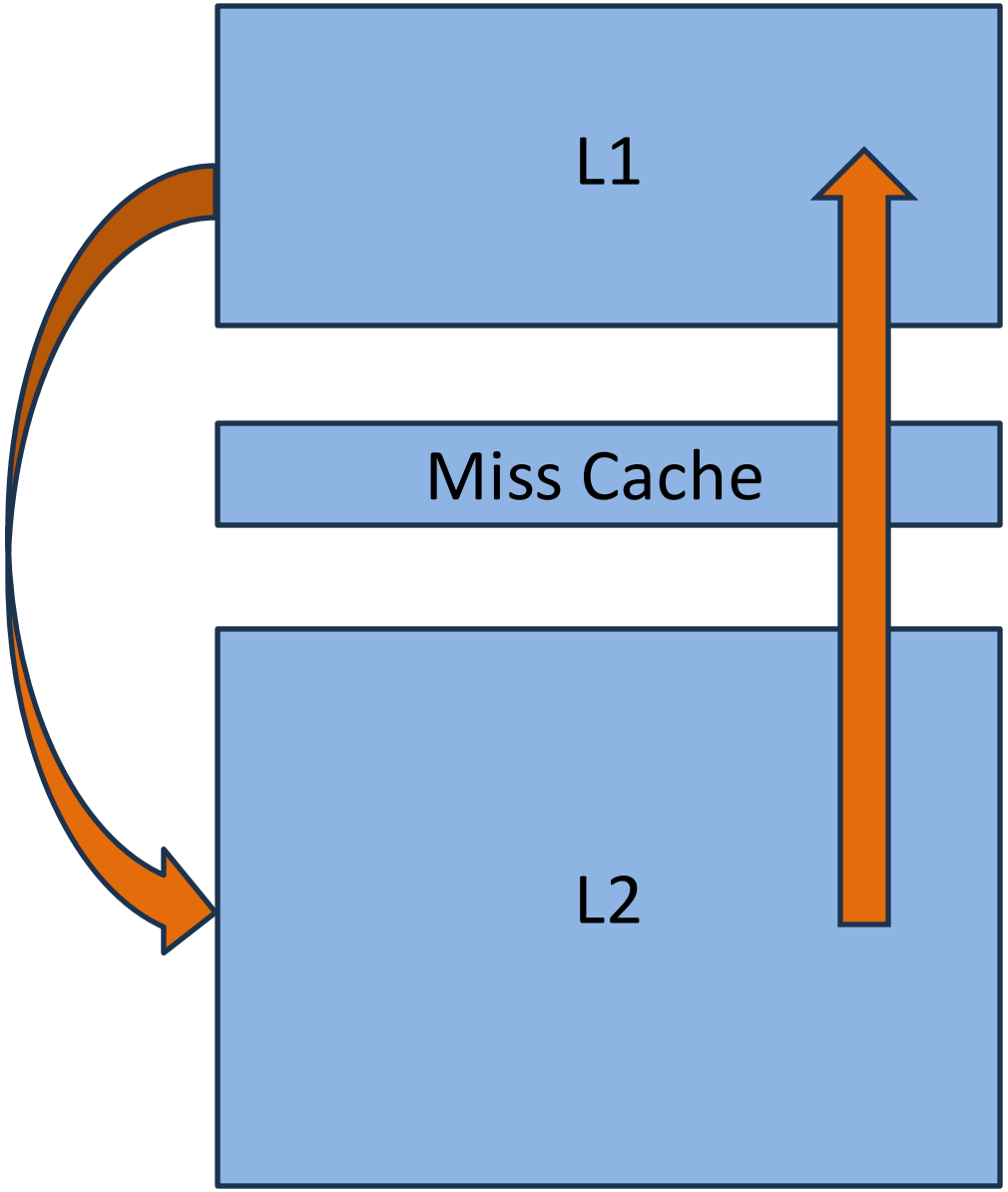


Set associative
Probably write-through (Why?)

Just a few lines, i.e. 2 – 4, but fully associative

The most recent few reads brought into L1 from L2 also hang out for a very short time in the “Miss Cache” which is fully associative. L1 misses that is satisfied by the “Miss Cache” very low penalty.

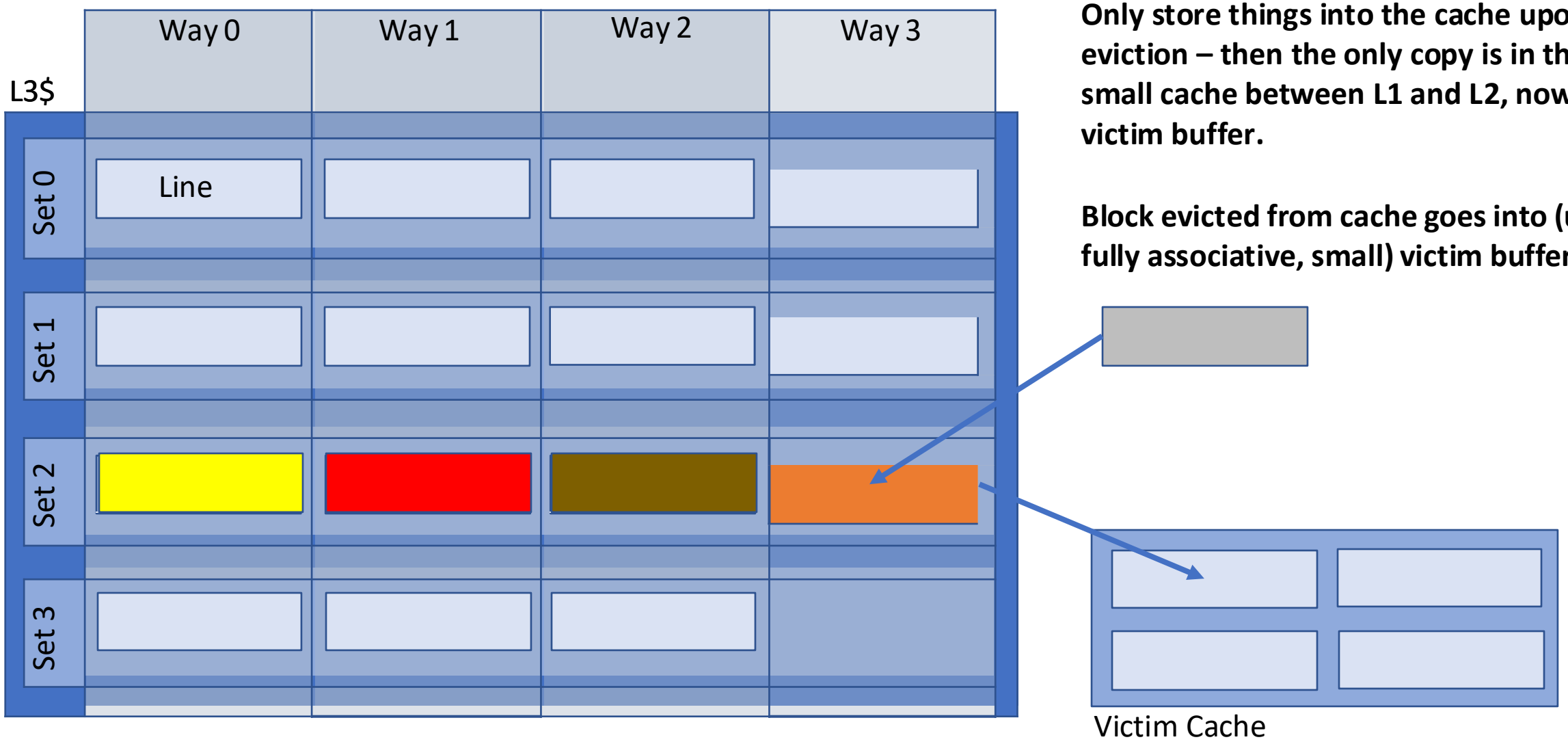
Miss Cache



But, most of the time, the miss cache stores values that are already stored in the L1 cache, as they were just read into both L1 and the miss cache from the L2 cache, which is a waste of space.

What can we do about that?

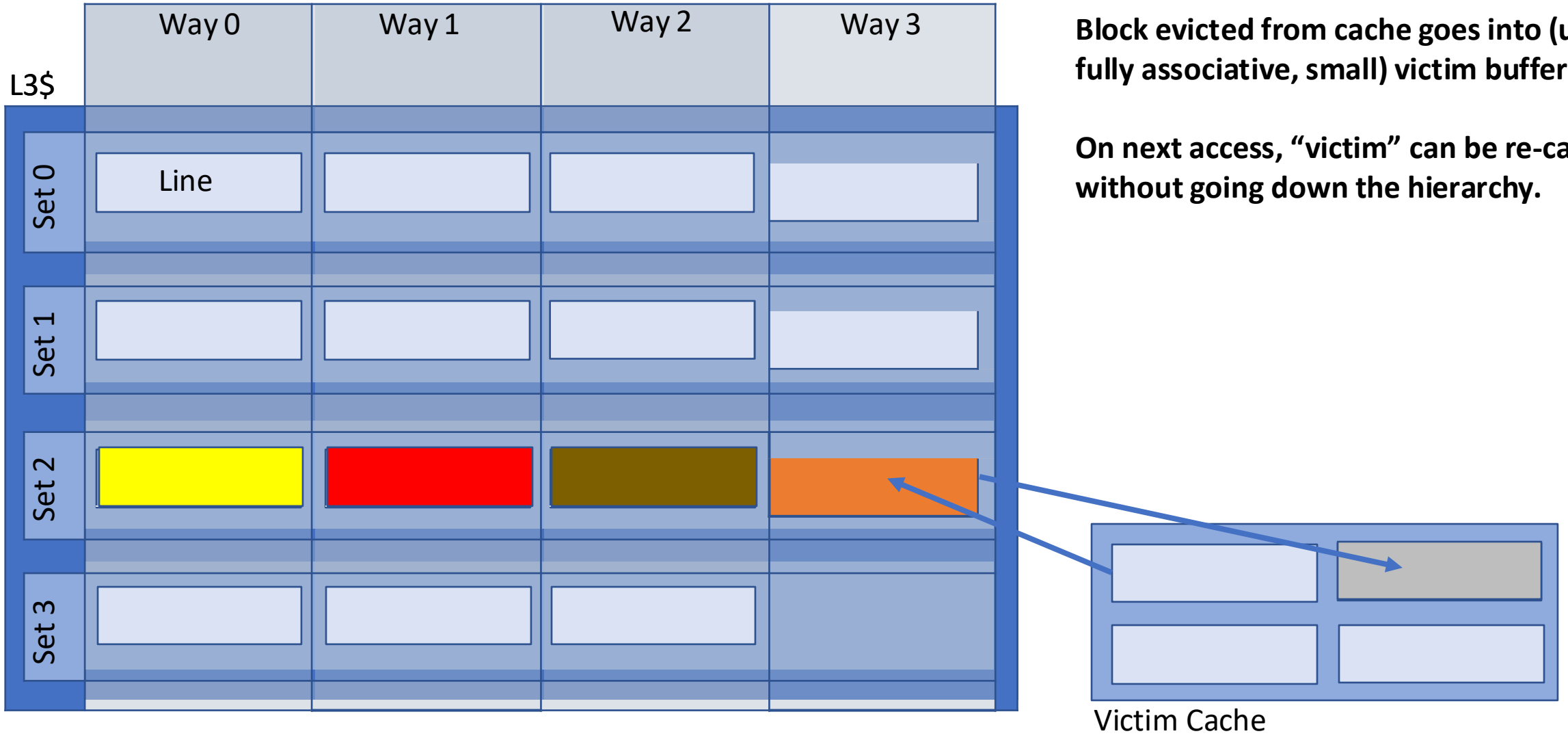
Victim Caches/Buffers



Only store things into the cache upon eviction – then the only copy is in the small cache between L1 and L2, now called victim buffer.

Block evicted from cache goes into (usually fully associative, small) victim buffer.

Victim Caches/Buffers



Block evicted from cache goes into (usually fully associative, small) victim buffer.

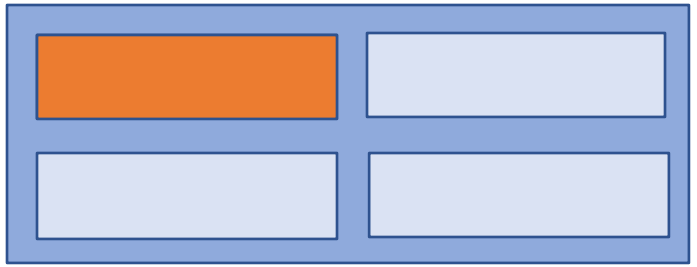
On next access, "victim" can be re-cached without going down the hierarchy.

Victim Caches/Buffers

Block evicted from cache goes into (usually fully associative, small) victim buffer.

On next access, "victim" can be re-cached without going down the hierarchy.

What problem does a victim cache solve?



Victim Cache

Miss Caching vs Victim Caching

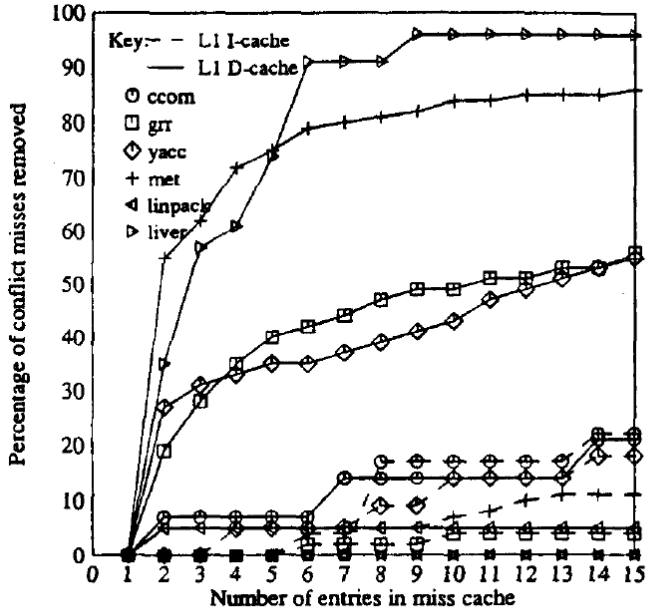


Figure 3-3: Conflict misses removed by miss caching

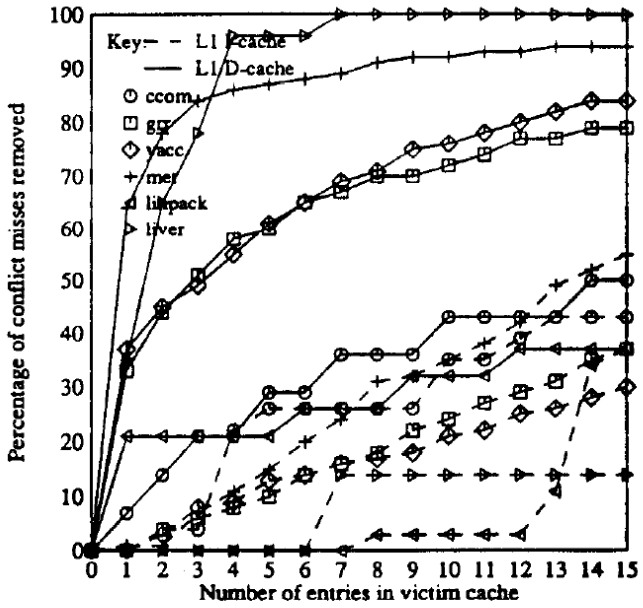
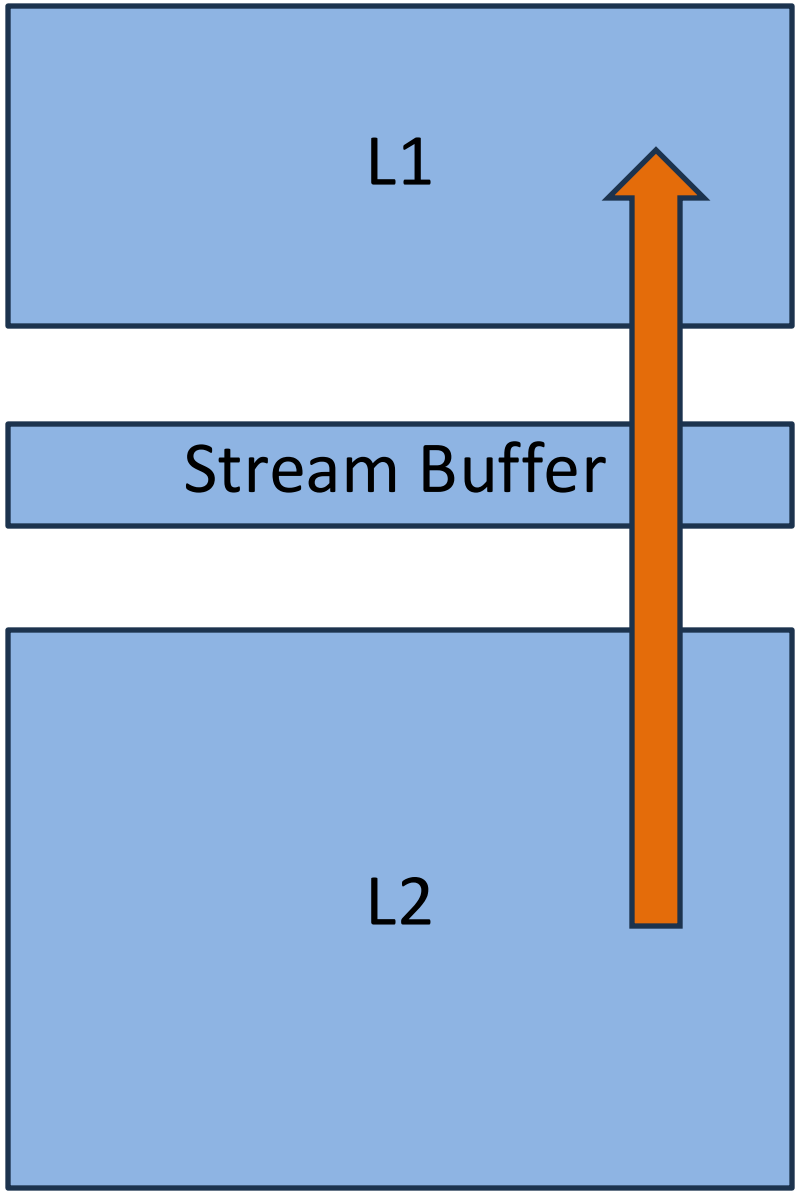


Figure 3-5: Conflict misses removed by victim caching

Norman P. Jouppi. 1990. Improving direct-mapped cache performance by the addition of a small fully-associative cache and prefetch buffers. SIGARCH Computer Architecture News 18(3):388-397.

- Victim Caches better than Miss Caches
- But, butter for d-Caches than i-Caches. Why? (Think about locality and distance)

Stream Buffer

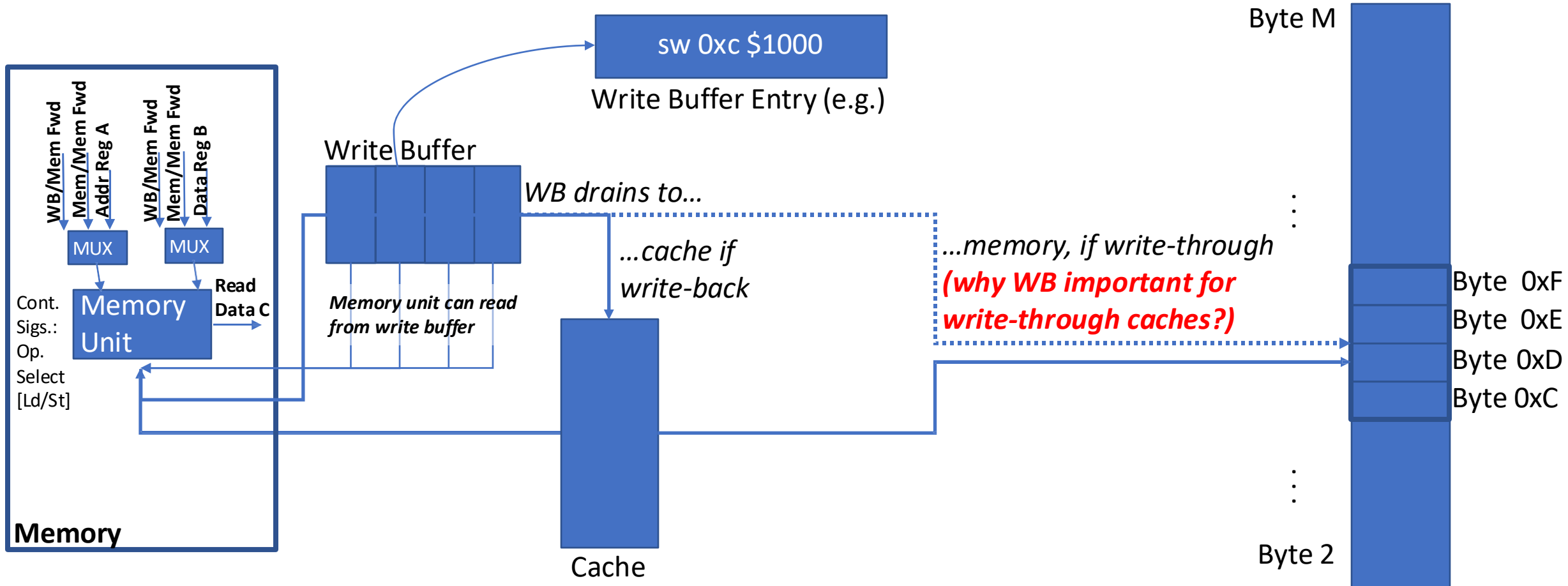


Benefits i-caches

Upon miss, prefetch next n instructions

Gets back ahead after jumps

Non-blocking Writes & Write Buffering

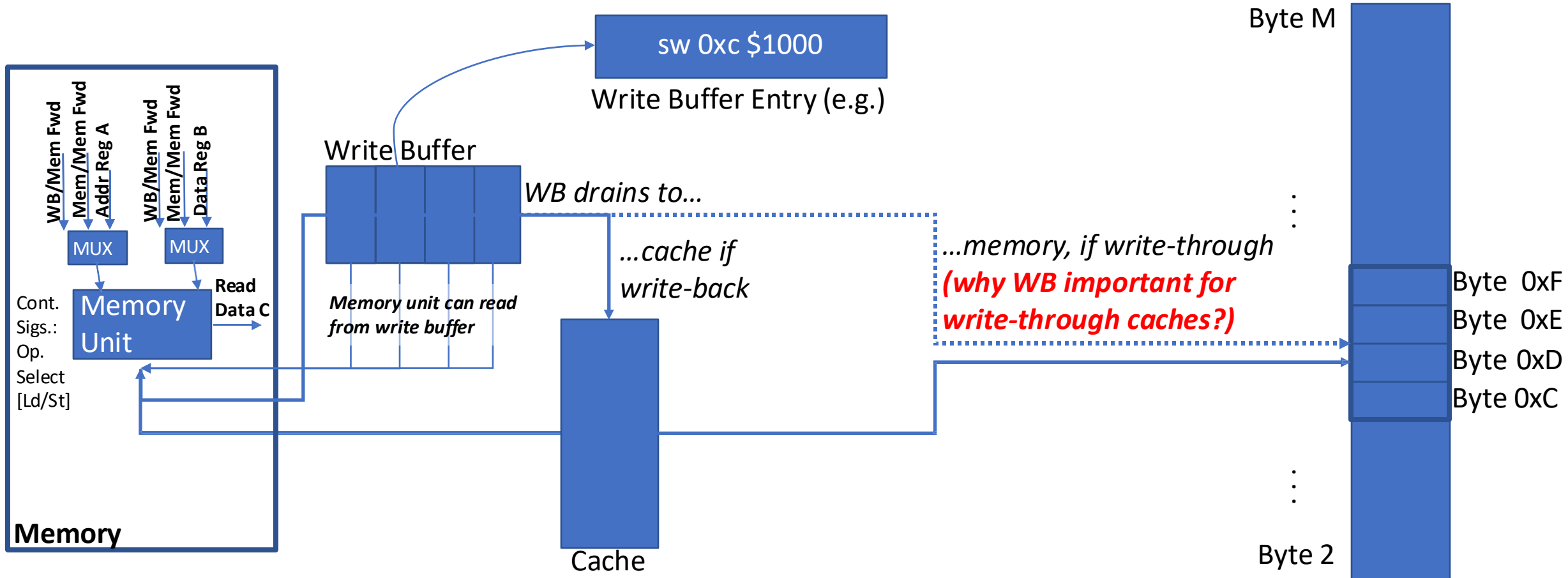


Write buffer: single cycle to add write op to write buffer

What problem does a write buffer solve?

What are key challenges associated with a write buffer?

Non-blocking Writes & Write Buffering

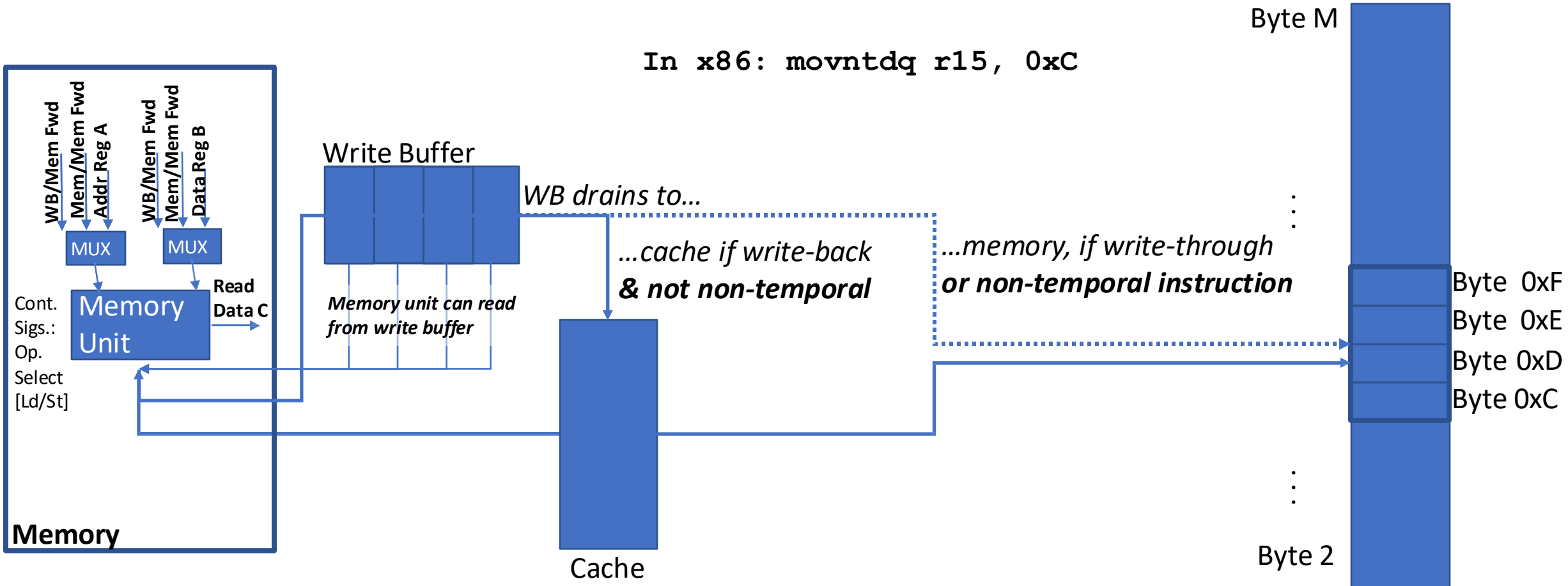


Completed memory operations' effects not yet in memory (complicated stuff, later in the semester…)

What is the latency of a write if it ends up buffered?

***Unpredictable write completion latency*. Need ordering logic.**

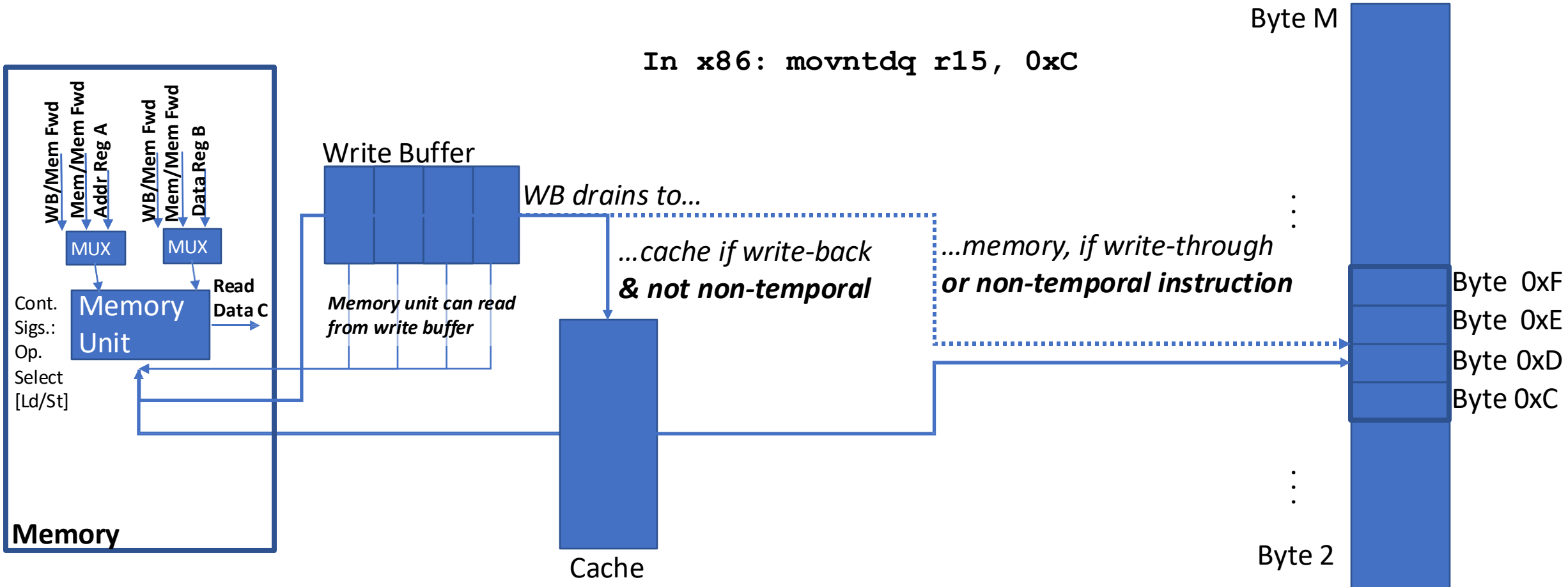
Non-temporal/Streaming Stores



Non-temporal Store: Acts like no-write-allocate+write-through even if write-allocate+write-back for rest of cache.

When would you use a non-temporal store instruction?

Non-temporal/Streaming Stores



When would you use a non-temporal store instruction?

Data streaming, accesses that are sure to have *no locality*

Not in RISCV (yet)!

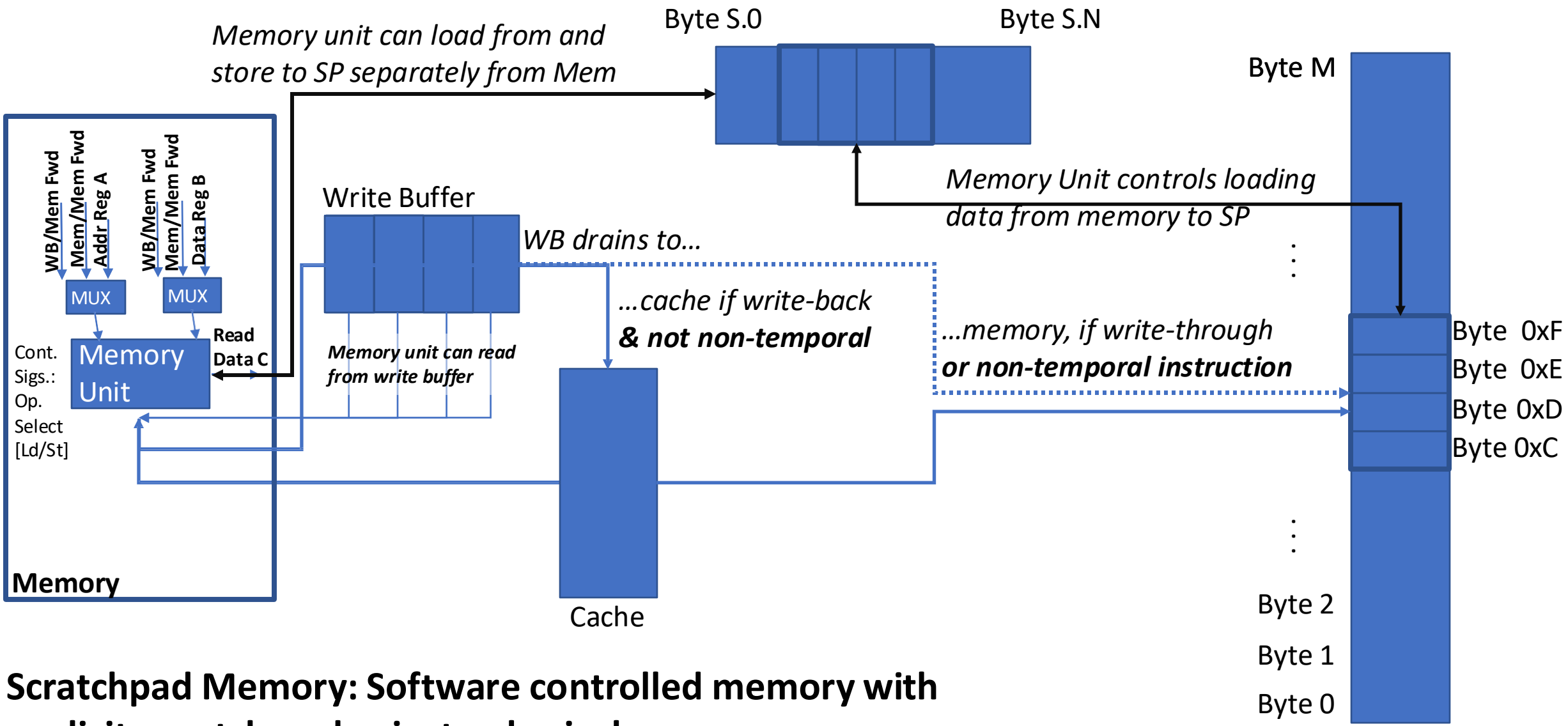
RISCV Specification:
"RV32I reserves a large encoding space for ***HINT instructions, which are usually used to communicate performance hints to the microarchitecture****. HINTs are encoded as integer computational instructions with rd=x0. Hence, like the NOP instruction,* ***HINTs do not change any architecturally visible state****, except for advancing the pc and any applicable performance counters.* ***Implementations are always allowed to ignore the encoded hints."***

No standard hints are presently defined (except the privileged WFI instruction which uses a separately reserved encoding). We anticipate standard hints to eventually include memory-system spatial and temporal locality hints, branch prediction hints, thread-scheduling hints, security tags, and instrumentation flags for simulation/emulation.

Instruction	Constraints	Code Points	Purpose
LUI	*rd*=x0	2^{20}	*Reserved for future standard use*
AUIPC	*rd*=x0	2^{20}	
ADDI	*rd*=x0, and either *rs1*≠x0 or *imm*≠0	$2^{17}-1$	
ANDI	*rd*=x0	2^{17}	
ORI	*rd*=x0	2^{17}	
XORI	*rd*=x0	2^{17}	
ADD	*rd*=x0	2^{10}	
SUB	*rd*=x0	2^{10}	
AND	*rd*=x0	2^{10}	
OR	*rd*=x0	2^{10}	
XOR	*rd*=x0	2^{10}	
SLL	*rd*=x0	2^{10}	
SRL	*rd*=x0	2^{10}	
SRA	*rd*=x0	2^{10}	
SLTI	*rd*=x0	2^{17}	*Reserved for custom use*
SLTIU	*rd*=x0	2^{17}	
SLLI	*rd*=x0	2^{10}	
SRLI	*rd*=x0	2^{10}	
SRAI	*rd*=x0	2^{10}	
SLT	*rd*=x0	2^{10}	
SLTU	*rd*=x0	2^{10}	

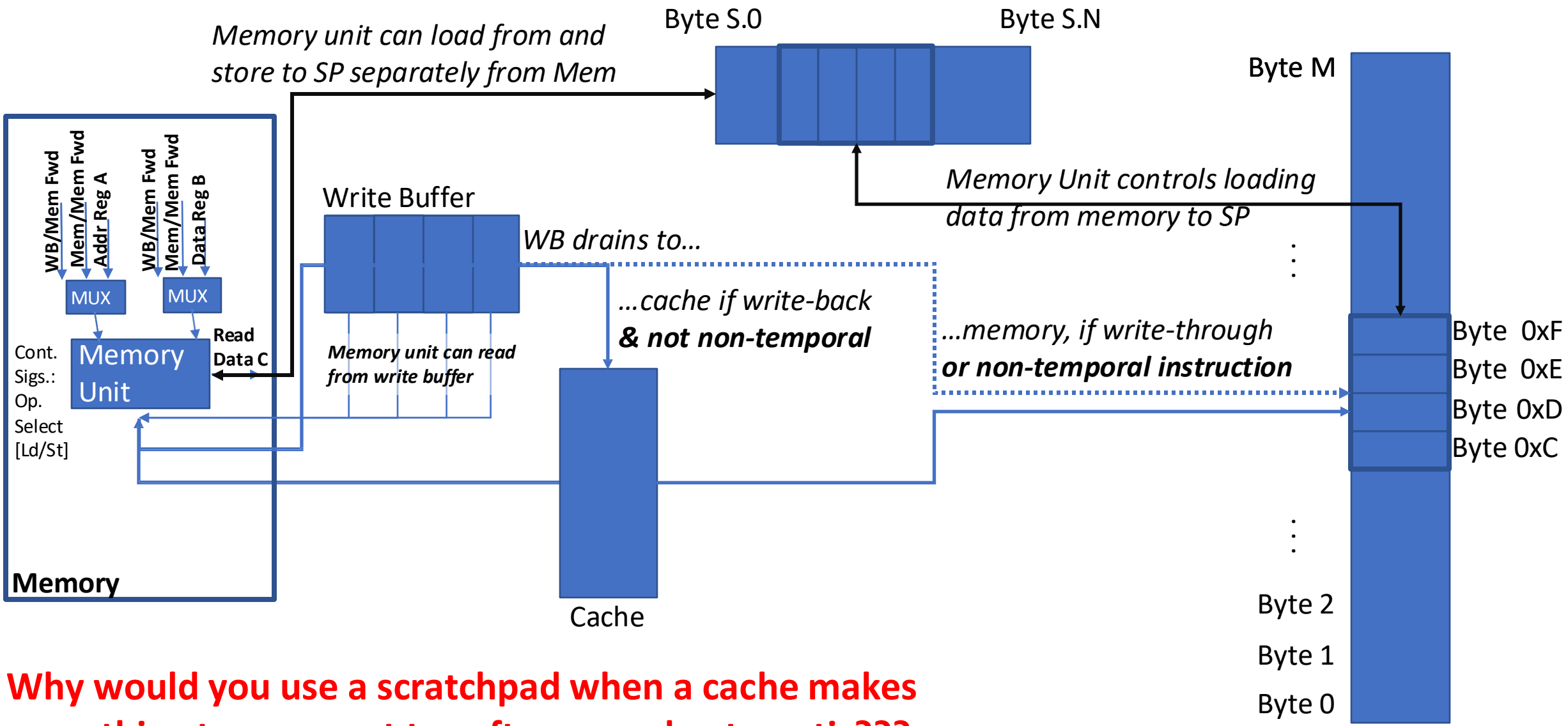
Table 2.3: RV32I HINT instructions.

Scratchpad Memories



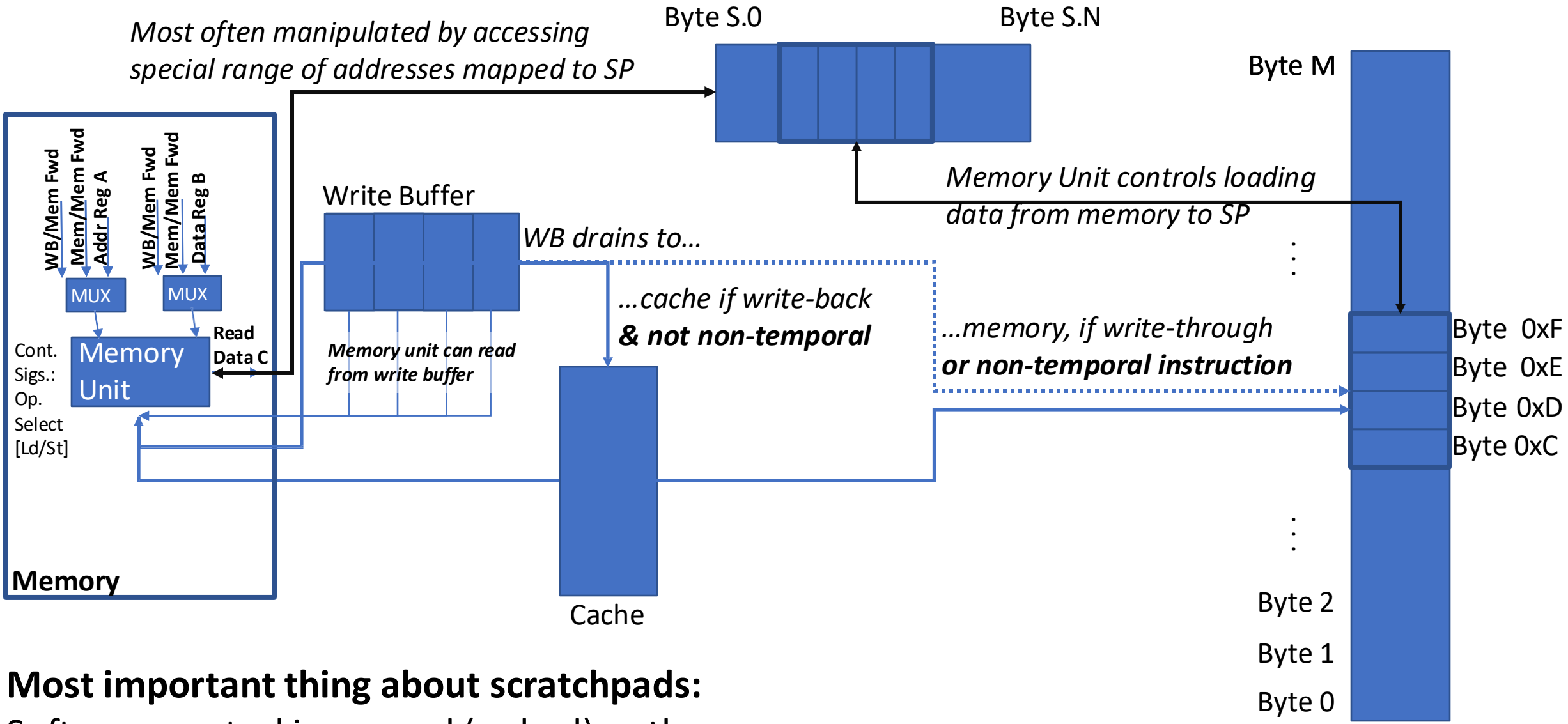
Scratchpad Memory: Software controlled memory with explicit, scratch-pad-private physical memory space

Scratchpad Memories



Why would you use a scratchpad when a cache makes everything transparent to software and automatic???

Scratchpad Memories



Most important thing about scratchpads:

Software control is as good (or bad) as the programmer.

What did we just learn?

- Replacement is a one of the key dimensions of cache design
- Different replacement algorithms present different design trade offs
- Optimal replacement is infeasible, practical replacement is non-optimal
- Many microarchitectural and architectural choices make up a memory hierarchy and the architect and programmer need to share information

What to think about next?

- Performance Evaluation (next time)
  - Design spaces, Pareto Frontiers, and design space exploration
- Miscellaneous (micro)architectural tricks & optimizations (future)
  - Vector processors, SIMD/SIMT, dataflow